

QUARTERLY STATEMENT

OF THE

JACKSON NATIONAL LIFE INSURANCE CO. OF NEW YORK

TO THE

Insurance Department

OF THE

STATE OF

New York

**FOR THE QUARTER ENDED
SEPTEMBER 30, 2020**

☒ **LIFE AND ACCIDENT AND HEALTH**

☐ **FRATERNAL BENEFIT SOCIETIES**

2020



LIFE, ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES - ASSOCIATION EDITION

QUARTERLY STATEMENT

AS OF SEPTEMBER 30, 2020
OF THE CONDITION AND AFFAIRS OF THE

Jackson National Life Insurance Company of New York

NAIC Group Code09180918NAIC Company Code60140Employer's ID Number13-3873709
(Current)(Prior)

Organized under the Laws ofNew York, State of Domicile or Port of EntryNY

Country of DomicileUnited States of America

Licensed as business type:Life, Accident and Health [X] Fraternal Benefit Societies []

Incorporated/Organized07/11/1995Commenced Business08/16/1996

Statutory Home Office2900 Westchester Avenue, Suite 305Purchase, NY, US 10577
(Street and Number)(City or Town, State, Country and Zip Code)

Main Administrative Office1 Corporate WayLansing, MI, US 48951517-381-5500
(Street and Number)(City or Town, State, Country and Zip Code)(Area Code) (Telephone Number)

Mail Address2900 Westchester Avenue, Suite 305Purchase, NY, US 10577
(Street and Number or P.O. Box)(City or Town, State, Country and Zip Code)

Primary Location of Books and Records2900 Westchester Avenue, Suite 305Purchase, NY, US 10577517-381-5500
(Street and Number)(City or Town, State, Country and Zip Code)(Area Code) (Telephone Number)

Internet Website Addresswww.jackson.com

Statutory Statement ContactMichael Alan Costello517-381-5500
(Name)(Area Code) (Telephone Number)
statjnlny@jackson.com517-706-5522
(E-mail Address)(FAX Number)

OFFICERS

PresidentMichael Irving Falcon

TreasurerMichael Alan Costello

SecretaryAndrew John Bowden

Chief ActuaryMarcia Lynn Wadsten

OTHER

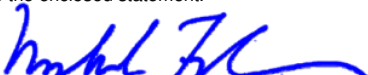
Axel Philippe Alain Andre #, Chief Financial Officer	Aimee Rochelle DeCamillo, Chief Commercial Officer	Bradley Olan Harris #, Chief Risk Officer
Laura Louene Prieskorn, Chief Operating Officer	Kenneth Harold Stewart #, Corporate Development	Savvas (Steve) Panagiotis Binioris, SVP
Devkumar Dilip Ganguly, SVP	Guillermo Esteban Guerra #, SVP	Laura Louise Hanson #, SVP
Thomas Paul Hyatte, SVP	Dana Scamarcia Rapier, SVP	Stacey Lynn Schabel #, SVP
Elizabeth Ann Werner #, SVP	Richard Charles White, SVP	Marina Costa Ashiotou, VP
Dennis Allen Blue, VP	Barrett Mark Bonemer, VP	Pamela Lynn Bottles, VP
Andrew Robert Campbell #, VP	William Thomas Devanney Jr., VP	Lisa Ilene Fox, VP
Heather Anne Gahir, VP	Joseph Kent Garrett, VP	Scott Jay Golde, VP
Robert William Hajdu, VP	Courtney Ann Hoffmann, VP	Thomas Andrew Janda, VP
Scott Francis Klus, VP	Toni Lee Klus, VP	Matthew Fox Laker, VP
Diahn Marie McHenry, VP	Ryan Tait Mellott, VP	Dean Michael Miller, VP
Jacky Morin, VP	James Aaron Schultz, VP	Muhammad Sajid Shami, VP
Dr. Bhatt Lakshmi Narayana Vadlamani, VP	Brian Michael Walta, VP	


DIRECTORS OR TRUSTEES


Barrett Mark Bonemer	Patrick George Boyle	Richard Kevin Clinton
Laura Louise Hanson	Nancy Freund Heller	Laura Louene Prieskorn (Chair)
Scott Eric Romine		

State ofMichigan
County ofInghamSS:

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.


Michael I. Falcon
President


Andrew J. Bowden
Secretary


Michael A. Costello
Treasurer

Subscribed and sworn to before me this
9th day ofNovember, 2020

a. Is this an original filing? Yes [X] No []
b. If no,
1. State the amendment number.....
2. Date filed
3. Number of pages attached.....

Cheryl Heppler
Notary Public
05-Aug-21

ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds	1,238,981,159	0	1,238,981,159	1,129,945,608
2. Stocks:				
2.1 Preferred stocks	0	0	0	0
2.2 Common stocks	0	0	0	126
3. Mortgage loans on real estate:				
3.1 First liens	0	0	0	0
3.2 Other than first liens.....	0	0	0	0
4. Real estate:				
4.1 Properties occupied by the company (less \$0 encumbrances)	0	0	0	0
4.2 Properties held for the production of income (less \$0 encumbrances)	0	0	0	0
4.3 Properties held for sale (less \$0 encumbrances)	0	0	0	0
5. Cash (\$(14,343,657)), cash equivalents (\$210,216,528) and short-term investments (\$0)	195,872,871	0	195,872,871	125,945,334
6. Contract loans (including \$0 premium notes)	360,500	0	360,500	381,883
7. Derivatives	0	0	0	0
8. Other invested assets	0	0	0	0
9. Receivables for securities	79,476	0	79,476	148,456
10. Securities lending reinvested collateral assets	0	0	0	255,000
11. Aggregate write-ins for invested assets	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11)	1,435,294,006	0	1,435,294,006	1,256,676,407
13. Title plants less \$0 charged off (for Title insurers only)	0	0	0	0
14. Investment income due and accrued	9,588,530	0	9,588,530	8,890,199
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection	(309,940,040)	0	(309,940,040)	(259,433,019)
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$0 earned but unbilled premiums)	12,139	0	12,139	17,817
15.3 Accrued retrospective premiums (\$0) and contracts subject to redetermination (\$0)	0	0	0	0
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers	39,868,003	0	39,868,003	28,271,518
16.2 Funds held by or deposited with reinsured companies	0	0	0	0
16.3 Other amounts receivable under reinsurance contracts	100,350,843	0	100,350,843	205,791,615
17. Amounts receivable relating to uninsured plans	0	0	0	0
18.1 Current federal and foreign income tax recoverable and interest thereon	0	0	0	0
18.2 Net deferred tax asset	22,939,604	5,456,707	17,482,897	15,374,698
19. Guaranty funds receivable or on deposit	0	0	0	0
20. Electronic data processing equipment and software	0	0	0	0
21. Furniture and equipment, including health care delivery assets (\$0)	0	0	0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates	0	0	0	0
23. Receivables from parent, subsidiaries and affiliates	4,271,547	0	4,271,547	0
24. Health care (\$0) and other amounts receivable	0	0	0	0
25. Aggregate write-ins for other than invested assets	4,757,095	4,757,095	0	467,003
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	1,307,141,727	10,213,802	1,296,927,925	1,256,056,238
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts	13,593,432,285	0	13,593,432,285	13,489,115,844
28. Total (Lines 26 and 27)	14,900,574,012	10,213,802	14,890,360,210	14,745,172,082
DETAILS OF WRITE-INS				
1101.				
1102.				
1103.				
1198. Summary of remaining write-ins for Line 11 from overflow page	0	0	0	0
1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above)	0	0	0	0
2501. Amounts due from agents	346,377	346,377	0	0
2502. Disallowed interest maintenance reserve	4,410,718	4,410,718	0	0
2503. Insurance department assessment on deposit	0	0	0	467,003
2598. Summary of remaining write-ins for Line 25 from overflow page	0	0	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	4,757,095	4,757,095	0	467,003

LIABILITIES, SURPLUS AND OTHER FUNDS

	1 Current Statement Date	2 December 31 Prior Year
1. Aggregate reserve for life contracts \$634,514,457 less \$0 included in Line 6.3 (including \$0 Modco Reserve)	634,514,457	617,422,386
2. Aggregate reserve for accident and health contracts (including \$0 Modco Reserve)	0	0
3. Liability for deposit-type contracts (including \$0 Modco Reserve)	14,127,633	14,041,488
4. Contract claims:		
4.1 Life	13,285,171	13,160,060
4.2 Accident and health	0	0
5. Policyholders' dividends/refunds to members \$0 and coupons \$0 due and unpaid	0	0
6. Provision for policyholders' dividends, refunds to members and coupons payable in following calendar year - estimated amounts:		
6.1 Policyholders' dividends and refunds to members apportioned for payment (including \$0 Modco)	0	0
6.2 Policyholders' dividends and refunds to members not yet apportioned (including \$0 Modco)	0	0
6.3 Coupons and similar benefits (including \$0 Modco)	0	0
7. Amount provisionally held for deferred dividend policies not included in Line 6	0	0
8. Premiums and annuity considerations for life and accident and health contracts received in advance less \$0 discount; including \$0 accident and health premiums	3,848	4,478
9. Contract liabilities not included elsewhere:		
9.1 Surrender values on canceled contracts	0	0
9.2 Provision for experience rating refunds, including the liability of \$0 accident and health experience rating refunds of which \$0 is for medical loss ratio rebate per the Public Health Service Act	0	0
9.3 Other amounts payable on reinsurance, including \$0 assumed and \$0 ceded	0	0
9.4 Interest Maintenance Reserve	0	0
10. Commissions to agents due or accrued-life and annuity contracts \$5,459,427 , accident and health \$0 and deposit-type contract funds \$0	5,459,427	5,295,216
11. Commissions and expense allowances payable on reinsurance assumed	0	0
12. General expenses due or accrued	2,910,600	2,384,199
13. Transfers to Separate Accounts due or accrued (net) (including \$(30,022,024) accrued for expense allowances recognized in reserves, net of reinsured allowances)	(33,502,881)	(28,439,753)
14. Taxes, licenses and fees due or accrued, excluding federal income taxes	2,079,426	1,957,421
15.1 Current federal and foreign income taxes, including \$740,126 on realized capital gains (losses)	1,582,666	4,115,993
15.2 Net deferred tax liability	0	0
16. Unearned investment income	0	0
17. Amounts withheld or retained by reporting entity as agent or trustee	580,991	1,421,772
18. Amounts held for agents' account, including \$0 agents' credit balances	0	0
19. Remittances and items not allocated	3,863,697	2,354,324
20. Net adjustment in assets and liabilities due to foreign exchange rates	0	0
21. Liability for benefits for employees and agents if not included above	0	0
22. Borrowed money \$0 and interest thereon \$0	0	0
23. Dividends to stockholders declared and unpaid	0	0
24. Miscellaneous liabilities:		
24.01 Asset valuation reserve	8,316,394	8,284,770
24.02 Reinsurance in unauthorized and certified (\$0) companies	0	0
24.03 Funds held under reinsurance treaties with unauthorized and certified (\$0) reinsurers	0	0
24.04 Payable to parent, subsidiaries and affiliates	0	329,604
24.05 Drafts outstanding	0	0
24.06 Liability for amounts held under uninsured plans	0	0
24.07 Funds held under coinsurance	0	0
24.08 Derivatives	0	0
24.09 Payable for securities	5,854,217	0
24.10 Payable for securities lending	0	255,000
24.11 Capital notes \$0 and interest thereon \$0	0	0
25. Aggregate write-ins for liabilities	759,343	775,227
26. Total liabilities excluding Separate Accounts business (Lines 1 to 25)	659,834,989	643,362,185
27. From Separate Accounts Statement	13,593,432,285	13,489,115,844
28. Total liabilities (Lines 26 and 27)	14,253,267,274	14,132,478,029
29. Common capital stock	2,000,000	2,000,000
30. Preferred capital stock	0	0
31. Aggregate write-ins for other than special surplus funds	0	0
32. Surplus notes	0	0
33. Gross paid in and contributed surplus	492,162,384	492,162,384
34. Aggregate write-ins for special surplus funds	0	0
35. Unassigned funds (surplus)	142,930,552	118,531,669
36. Less treasury stock, at cost:		
36.10 shares common (value included in Line 29 \$0)	0	0
36.20 shares preferred (value included in Line 30 \$0)	0	0
37. Surplus (Total Lines 31+32+33+34+35-36) (including \$0 in Separate Accounts Statement)	635,092,936	610,694,053
38. Totals of Lines 29, 30 and 37	637,092,936	612,694,053
39. Totals of Lines 28 and 38 (Page 2, Line 28, Col. 3)	14,890,360,210	14,745,172,082
DETAILS OF WRITE-INS		
2501. Escheat escrow liability - outstanding checks	89,512	79,844
2502. Interest payable on contract claims	669,831	695,383
2503.		
2598. Summary of remaining write-ins for Line 25 from overflow page	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	759,343	775,227
3101.		
3102.		
3103.		
3198. Summary of remaining write-ins for Line 31 from overflow page	0	0
3199. Totals (Lines 3101 through 3103 plus 3198)(Line 31 above)	0	0
3401.		
3402.		
3403.		
3498. Summary of remaining write-ins for Line 34 from overflow page	0	0
3499. Totals (Lines 3401 through 3403 plus 3498)(Line 34 above)	0	0

SUMMARY OF OPERATIONS

	1	2	3
	Current Year To Date	Prior Year To Date	Prior Year Ended December 31
1. Premiums and annuity considerations for life and accident and health contracts	102,405,035	98,241,451	130,342,593
2. Considerations for supplementary contracts with life contingencies	0	0	0
3. Net investment income	32,513,882	33,628,038	45,361,538
4. Amortization of Interest Maintenance Reserve (IMR)	422,512	262,291	396,308
5. Separate Accounts net gain from operations excluding unrealized gains or losses	0	0	0
6. Commissions and expense allowances on reinsurance ceded	113,279,140	104,276,044	137,370,167
7. Reserve adjustments on reinsurance ceded	0	0	0
8. Miscellaneous Income:			
8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts	23,823,296	22,769,100	30,729,703
8.2 Charges and fees for deposit-type contracts	0	0	0
8.3 Aggregate write-ins for miscellaneous income	7,171	129,276	146,046
9. Totals (Lines 1 to 8.3)	272,451,036	259,306,200	344,346,355
10. Death benefits	981,028	100,000	373,042
11. Matured endowments (excluding guaranteed annual pure endowments)	0	0	0
12. Annuity benefits	26,273,693	23,776,695	30,554,138
13. Disability benefits and benefits under accident and health contracts	6,632	5,656	7,883
14. Coupons, guaranteed annual pure endowments and similar benefits	0	0	0
15. Surrender benefits and withdrawals for life contracts	85,693,019	108,344,266	148,966,574
16. Group conversions	0	0	0
17. Interest and adjustments on contract or deposit-type contract funds	107,216	(374,238)	(1,414,065)
18. Payments on supplementary contracts with life contingencies	0	0	0
19. Increase in aggregate reserves for life and accident and health contracts	17,092,071	(18,971,440)	(19,563,534)
20. Totals (Lines 10 to 19)	130,153,659	112,880,939	158,924,038
21. Commissions on premiums, annuity considerations, and deposit-type contract funds (direct business only)	95,047,460	92,819,541	123,385,363
22. Commissions and expense allowances on reinsurance assumed	0	0	0
23. General insurance expenses and fraternal expenses	32,302,158	23,756,932	30,754,512
24. Insurance taxes, licenses and fees, excluding federal income taxes	1,372,047	1,342,312	1,412,236
25. Increase in loading on deferred and uncollected premiums	(58,973)	99,013	131,687
26. Net transfers to or (from) Separate Accounts net of reinsurance	(8,402,872)	8,444,920	5,808,337
27. Aggregate write-ins for deductions	(3,138,025)	(4,200,052)	(5,600,070)
28. Totals (Lines 20 to 27)	247,275,454	235,143,605	314,816,103
29. Net gain from operations before dividends to policyholders and federal income taxes (Line 9 minus Line 28)	25,175,582	24,162,595	29,530,252
30. Dividends to policyholders and refunds to members	0	0	0
31. Net gain from operations after dividends to policyholders, refunds to members and before federal income taxes (Line 29 minus Line 30)	25,175,582	24,162,595	29,530,252
32. Federal and foreign income taxes incurred (excluding tax on capital gains)	(2,184,776)	893,035	1,883,497
33. Net gain from operations after dividends to policyholders, refunds to members and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32)	27,360,358	23,269,560	27,646,755
34. Net realized capital gains (losses) (excluding gains (losses) transferred to the IMR) less capital gains tax of \$(214,879) (excluding taxes of \$981,788	(986,427)	(601,327)	(531,802)
35. Net income (Line 33 plus Line 34)	26,373,931	22,668,233	27,114,953
CAPITAL AND SURPLUS ACCOUNT			
36. Capital and surplus, December 31, prior year	612,694,053	583,226,893	583,226,893
37. Net income (Line 35)	26,373,931	22,668,233	27,114,953
38. Change in net unrealized capital gains (losses) less capital gains tax of \$(26)	(100)	288,882	288,854
39. Change in net unrealized foreign exchange capital gain (loss)	0	0	0
40. Change in net deferred income tax	1,842,084	5,045,142	10,088,582
41. Change in nonadmitted assets	(647,383)	(1,720,247)	(4,875,354)
42. Change in liability for reinsurance in unauthorized and certified companies	0	0	0
43. Change in reserve on account of change in valuation basis, (increase) or decrease	0	0	0
44. Change in asset valuation reserve	(31,624)	493,846	(325,794)
45. Change in treasury stock	0	0	0
46. Surplus (contributed to) withdrawn from Separate Accounts during period	0	0	0
47. Other changes in surplus in Separate Accounts Statement	0	0	0
48. Change in surplus notes	0	0	0
49. Cumulative effect of changes in accounting principles	0	0	0
50. Capital changes:			
50.1 Paid in	0	0	0
50.2 Transferred from surplus (Stock Dividend)	0	0	0
50.3 Transferred to surplus	0	0	0
51. Surplus adjustment:			
51.1 Paid in	0	0	7,200,808
51.2 Transferred to capital (Stock Dividend)	0	0	0
51.3 Transferred from capital	0	0	0
51.4 Change in surplus as a result of reinsurance	(3,138,025)	(4,200,052)	(5,600,070)
52. Dividends to stockholders	0	0	0
53. Aggregate write-ins for gains and losses in surplus	0	0	(4,424,819)
54. Net change in capital and surplus for the year (Lines 37 through 53)	24,398,883	22,575,804	29,467,161
55. Capital and surplus, as of statement date (Lines 36 + 54)	637,092,936	605,802,697	612,694,053
DETAILS OF WRITE-INS			
08.301. General account policy fees	7,171	78,124	94,894
08.302. Reinsurance recapture	0	51,152	51,152
08.303.			
08.398. Summary of remaining write-ins for Line 8.3 from overflow page	0	0	0
08.399. Totals (Lines 08.301 through 08.303 plus 08.398) (Line 8.3 above)	7,171	129,276	146,046
2701. Reinsurance on in-force business	(3,138,025)	(4,200,052)	(5,600,070)
2702.			
2703.			
2798. Summary of remaining write-ins for Line 27 from overflow page	0	0	0
2799. Totals (Lines 2701 through 2703 plus 2798)(Line 27 above)	(3,138,025)	(4,200,052)	(5,600,070)
5301. Tax settlement	0	0	(4,424,819)
5302.			
5303.			
5398. Summary of remaining write-ins for Line 53 from overflow page	0	0	0
5399. Totals (Lines 5301 through 5303 plus 5398)(Line 53 above)	0	0	(4,424,819)

CASH FLOW

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
Cash from Operations			
1. Premiums collected net of reinsurance	152,976,075	81,204,499	89,786,453
2. Net investment income	32,242,470	33,610,347	45,729,004
3. Miscellaneous income	134,392,316	130,149,400	173,614,690
4. Total (Lines 1 to 3)	319,610,861	244,964,246	309,130,147
5. Benefit and loss related payments	66,708,016	146,949,848	219,459,857
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts	(56,038,153)	7,419,453	(45,130,511)
7. Commissions, expenses paid and aggregate write-ins for deductions	127,907,719	117,310,325	154,972,553
8. Dividends paid to policyholders	0	0	0
9. Federal and foreign income taxes paid (recovered) net of \$333,707 tax on capital gains (losses)	1,115,460	(1,333,315)	473,046
10. Total (Lines 5 through 9)	139,693,042	270,346,311	329,774,945
11. Net cash from operations (Line 4 minus Line 10)	179,917,819	(25,382,065)	(20,644,798)
Cash from Investments			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds	161,779,995	155,969,740	214,462,473
12.2 Stocks	73	96,994	96,994
12.3 Mortgage loans	0	0	0
12.4 Real estate	0	0	0
12.5 Other invested assets	0	0	0
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments	0	139	139
12.7 Miscellaneous proceeds	6,178,197	481,443	781,443
12.8 Total investment proceeds (Lines 12.1 to 12.7)	167,958,265	156,548,316	215,341,049
13. Cost of investments acquired (long-term only):			
13.1 Bonds	272,001,812	155,374,612	196,415,140
13.2 Stocks	0	0	0
13.3 Mortgage loans	0	0	0
13.4 Real estate	0	0	0
13.5 Other invested assets	0	0	0
13.6 Miscellaneous applications	0	22,205	5,311
13.7 Total investments acquired (Lines 13.1 to 13.6)	272,001,812	155,396,817	196,420,451
14. Net increase (or decrease) in contract loans and premium notes	(21,383)	59,825	81,008
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	(104,022,164)	1,091,674	18,839,590
Cash from Financing and Miscellaneous Sources			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes	0	0	0
16.2 Capital and paid in surplus, less treasury stock	0	0	4,400,375
16.3 Borrowed funds	0	0	0
16.4 Net deposits on deposit-type contracts and other insurance liabilities	(2,301,225)	(2,359,021)	1,800,761
16.5 Dividends to stockholders	0	0	0
16.6 Other cash provided (applied)	(3,666,893)	3,108,040	3,002,776
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6)	(5,968,118)	749,019	9,203,912
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17) ..	69,927,537	(23,541,372)	7,398,704
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year	125,945,334	118,546,630	118,546,630
19.2 End of period (Line 18 plus Line 19.1)	195,872,871	95,005,258	125,945,334

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0001. Transfer of debt securities for debt securities and / or equity securities.	8,561,678	6,758,895	16,070,657
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EXHIBIT 1

DIRECT PREMIUMS AND DEPOSIT-TYPE CONTRACTS			
	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
1. Industrial life	0	0	0
2. Ordinary life insurance	361,013	528,363	687,201
3. Ordinary individual annuities	956,347,621	948,535,755	1,239,945,320
4. Credit life (group and individual)	0	0	0
5. Group life insurance	0	0	0
6. Group annuities	15,650	34,480	41,630
7. A & H - group	0	0	0
8. A & H - credit (group and individual)	0	0	0
9. A & H - other	0	0	0
10. Aggregate of all other lines of business	0	0	0
11. Subtotal (Lines 1 through 10)	956,724,284	949,098,598	1,240,674,151
12. Fraternal (Fraternal Benefit Societies Only)	0	0	0
13. Subtotal (Lines 11 through 12)	956,724,284	949,098,598	1,240,674,151
14. Deposit-type contracts	1,056,121	1,024,152	6,341,028
15. Total (Lines 13 and 14)	957,780,405	950,122,750	1,247,015,179
DETAILS OF WRITE-INS			
1001.			
1002.			
1003.			
1098. Summary of remaining write-ins for Line 10 from overflow page	0	0	0
1099. Totals (Lines 1001 through 1003 plus 1098)(Line 10 above)	0	0	0

NOTES TO FINANCIAL STATEMENTS

1. Summary of Significant Accounting Policies and Going Concern

A. Accounting Practices

The financial statements of Jackson National Life Insurance Company of New York (the “Company”) are presented on the basis of accounting practices prescribed or permitted by the New York State Department of Financial Services.

The New York State Department of Financial Services (“NYDFS”) recognizes only statutory accounting practices prescribed or permitted by the state of New York for determining and reporting the financial condition and results of operations of an insurance company, for determining its solvency under New York Insurance Law. The National Association of Insurance Commissioners’ (“NAIC”) *Accounting Practices and Procedures Manual* (“NAIC SAP”) has been adopted as a component of prescribed or permitted practices by the state of New York. The state has adopted certain prescribed accounting practices that differ from those found in NAIC SAP.

A Continuous CARVM reserve basis is prescribed for New York domiciled companies. In NAIC SAP, the application of Curtate CARVM reserve valuation is required. The Company’s reserves are not valued under Curtate CARVM according to NAIC SAP, but rather, are valued under Continuous CARVM according to New York Insurance Law. If the application of Curtate CARVM reserve valuation were used, statutory capital and surplus would be increased by \$7,412,428 and \$7,706,819 as of September 30, 2020 and December 31, 2019, respectively. Additionally, net income would be decreased by \$434,353 and \$1,583,584, respectively, for the periods then ended.

Valuation Manual-22: Maximum Valuation Interest Rates for Income Annuities (“VM-22”) was effective for NAIC SAP for 2018. New York state law does not reference the Valuation Manual for purposes of defining minimum reserve standards. Thus, reserves for payout business issued in calendar years 2018 and 2019 are not valued according to VM-22, but rather, are valued per New York regulation. An adaptation of VM-22 was adopted starting with 2020 business. If reserves were established according to NAIC SAP, statutory capital and surplus would be decreased by \$744,763 and \$894,345 as of September 30, 2020 and December 31, 2019, respectively. Additionally, net income would be increased by \$149,969 and decreased by \$1,408,086, respectively, for the periods then ended.

The Company’s asset adequacy testing uses the cash flow testing methodology which, under New York’s prescribed assumptions, develops negative surplus in certain years, resulting in NYDFS requiring the Company to establish additional reserves of \$39,000,000 at both September 30, 2020 and December 31, 2019. If reserves were established according to NAIC SAP, statutory capital and surplus would be increased by \$30,810,000 as of both September 30, 2020 and December 31, 2019. See Note 21 for additional information.

A reconciliation of the Company's net income and capital and surplus between NAIC SAP and practices prescribed and permitted by the State of New York is shown below.

	SSAP #	F/S Page	F/S Line #	9/30/2020	12/31/2019
<u>Net Income</u>					
Jackson National Life Insurance Company of New York,					
New York basis				\$ 26,373,931	\$ 27,114,953
New York Prescribed Practices that (decrease)/increase NAIC SAP:					
Continuous CARVM per New York state basis	51	4	19	125,357,184	2,370,403,244
Curtate CARVM per NAIC SAP	51	4	19	125,316,436	2,371,502,859
Decrease/(increase) in aggregate reserves to reflect Curtate CARVM				40,748	(1,099,615)
VM-22 reserve					
IA reserve, New York basis	51	4	19	677,297	10,168,668
IA reserve, NAIC SAP	51	4	19	489,091	11,928,859
Decrease/(increase) in aggregate reserves to reflect VM-22				188,206	(1,760,191)
Additional reserves under asset adequacy testing	51	4	19	-	24,000,000
Tax effect of prescribed practice differences	51	4	32	(513,338)	(131,865)
NAIC SAP				\$ 26,089,547	\$ 48,123,282
<u>Capital and Surplus</u>					
Jackson National Life Insurance Company of New York,					
New York basis				\$ 637,092,936	\$ 612,694,053
New York Prescribed Practices that increase NAIC SAP:					
Continuous CARVM per New York state basis	51	3	1	14,074,479,568	13,949,122,384
Curtate CARVM per NAIC SAP	51	3	1	14,069,964,555	13,944,648,119
Decrease in aggregate reserves to reflect Curtate CARVM				4,515,013	4,474,265
VM-22 reserve					
IA reserve, New York basis	51	3	1	63,153,586	62,476,289
IA reserve, NAIC SAP	51	3	1	64,090,738	63,601,647
(Increase) in aggregate reserves to reflect VM-22				(937,152)	(1,125,358)
Additional reserves under asset adequacy testing	51	3	1	39,000,000	39,000,000
Tax effect of prescribed practice differences	51	2	18.2	(5,100,196)	(4,726,434)
NAIC SAP				\$ 674,570,601	\$ 650,316,526

Significant changes have not occurred since 12/31/2019 that warrant disclosure in Note 1, item B.

NOTES TO FINANCIAL STATEMENTS

C. Accounting Policy

- (2) Bonds not backed by other loans are stated at amortized cost except those with an NAIC rating of “6,” which are stated at the lower of amortized cost or fair value. Acquisition premiums and discounts are amortized into investment income through call or maturity dates using the interest method. The Company did not have SVO-Identified investments reported at a different measurement method from prior periods.
- (6) Loan-backed and structured securities, hereafter collectively referred to as “loan-backed securities”, are stated at amortized cost except those with an NAIC carry rating of “6,” which are carried at the lower of amortized cost or fair value. The retrospective adjustment method is used to value loan-backed securities where the collection of all contractual cash flows is probable. For loan-backed securities where the collection of all contractual cash flows is not probable, the Company:
 - Recognizes the accretable yield over the life of the loan-backed security as determined at the acquisition or transaction date,
 - Continues to estimate cash flows expected to be collected at least quarterly, and
 - Recognizes an other-than-temporary impairment loss if the loan-backed security is impaired (i.e., the fair value is less than the amortized cost basis) and if the Company does not expect to recover the entire amortized cost basis when compared to the present value of cash flows expected to be collected.

Investments are reduced to estimated fair value (discounted cash flows for loan-backed securities) for declines in value that are determined to be other-than-temporary. In determining whether an other-than-temporary impairment has occurred, the Company considers a security’s forecasted cash flows as well as the severity and duration of depressed fair values.

If the Company intends to sell an impaired loan-backed security or does not have the intent and ability to retain the impaired loan-backed security for a period of time sufficient to recover the amortized cost basis, an other-than-temporary impairment has occurred. In these situations, the other-than-temporary impairment loss recognized is the difference between the amortized cost basis and fair value. For loan-backed securities, the credit portion of the recognized loss is recorded to the asset valuation reserve (“AVR”) and the non-credit portion is recorded to the interest maintenance reserve (“IMR”). If the Company does not expect to recover the entire amortized cost basis when compared to the present value of cash flows expected to be collected, it cannot assert that it has the ability to recover the loan-backed security’s amortized cost basis even though it has no intent to sell and has the intent and ability to retain the loan-backed security. Therefore, an other-than-temporary impairment has occurred and a realized loss is recognized for the non-interest related decline, which is calculated as the difference between the loan-backed security’s amortized cost basis and the present value of cash flows expected to be collected.

For situations where an other-than-temporary impairment is recognized, the previous amortized cost basis less the other-than temporary impairment recognized as a realized loss becomes the new amortized cost basis of the loan-backed security. The new amortized cost basis is not adjusted for subsequent recoveries in fair value. Therefore, the prospective adjustment method is used for periods subsequent to other-than-temporary impairment loss recognition.

D. Going Concern

There is not substantial doubt about the Company’s ability to continue as a going concern.

2. Accounting Changes and Corrections of Errors

Significant changes have not occurred since 12/31/2019 that warrant disclosure in Note 2.

3. Business Combinations and Goodwill

Significant changes have not occurred since 12/31/2019 that warrant disclosure in Note 3.

4. Discontinued Operations

Significant changes have not occurred since 12/31/2019 that warrant disclosure in Note 4.

5. Investments

D. Loan Backed and Structured Securities

- (1) Principal prepayment assumptions for loan-backed and structured securities are obtained from broker-dealers, independent providers of broker-dealer estimates, or internal models.
- (2) There were no loan-backed and structured securities with a recognized other-than-temporary impairment where the Company has either the intent to sell the securities or lacks the ability or intent to retain the securities as of the statement date.

NOTES TO FINANCIAL STATEMENTS

- (3) The following table details loan-backed and structured securities with a recognized other-than-temporary impairment recorded as of September 30, 2020 where the Company has the intent and ability to hold the securities for sufficient time to recover the amortized cost:

1	2	3	4	5	6	7
CUSIP	Book/Adj Carrying Value Amortized cost before current period OTTI	Projected Cash Flows	Recognized other-than- temporary impairment	Amortized cost after other-than temporary impairment	Fair Value	Financial Statement Reporting Period
36185MEG3	1,281,569	1,224,587	56,982	1,224,587	1,276,045	Q1-2020
058933AQ5	451,958	445,482	6,477	445,482	444,801	Q3-2020
Total			63,459			

- (4) The following table summarizes loan-backed and structured securities in an unrealized loss position as of September 30, 2020:

	Total	<12 Months	12+ Months
Fair Value	\$ 19,274,744	\$ 19,267,518	\$ 7,226
Unrealized Loss	\$ 210,344	\$ 210,239	\$ 105

The carrying value and fair value of all loan-backed and structured securities, regardless of whether the security is in an unrealized loss position, was \$232,433,288 and \$246,934,072, respectively.

- (5) The Company periodically reviews its debt securities and equities on a case-by-case basis to determine if any decline in fair value to below amortized cost is other-than-temporary. Factors considered in determining whether a decline is other-than-temporary include the length of time a security has been in an unrealized loss position, reasons for the decline in value, expectations for the amount and timing of recovery in value, and the Company’s intent and ability not to sell a security prior to a recovery in fair value.

Securities the Company determines are underperforming or potential problem securities are subject to regular review. To facilitate this review, securities with significant declines in value, or where other objective criteria evidencing credit deterioration have been met, are included on a watch list. Among the criteria for securities to be included on a watch list are: credit deterioration that has led to a significant decline in the value of the security; a significant covenant related to the security has been breached; or an issuer has filed or indicated a possibility of filing for bankruptcy, has missed or announced it intends to miss a scheduled interest or principal payment, or has experienced a specific material adverse change that may impair its creditworthiness.

In performing these reviews, the Company considers the relevant facts and circumstances relating to each investment and does exercise considerable judgment in determining whether a security is other-than-temporarily impaired. Assessment factors include judgments about an obligor’s current and projected financial position, an issuer’s current and projected ability to service and repay its debt obligations, the existence of, and realizable value of, any collateral supporting the obligations, and the macro-economic and micro-economic outlooks for specific industries or issuers. This assessment may also involve assumptions regarding underlying collateral such as prepayment rates, default and recovery rates, and third-party service capabilities.

E. Dollar Repurchase Agreements and/or Securities Lending Transactions

- (3) b. The aggregate fair value of all securities acquired from the sale, trade, or use of the accepted collateral (reinvested collateral) was \$0 and \$255,000 as of September 30, 2020 and December 31, 2019, respectively.

F. Repurchase Agreements Transactions Accounted for as Secured Borrowing

As discussed in Note 10, the Company has a Master Repurchase Agreement with Jackson National Life Insurance Company. There were no such borrowings in 2020 or 2019.

G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing

The Company does not have reverse repurchase agreements.

H. Repurchase Agreements Transactions Accounted for as a Sale

The Company did not have repurchase agreements in 2020 or 2019.

I. Reverse Repurchase Agreements Transactions Accounted for as a Sale

The Company does not have reverse repurchase agreements.

M. Working Capital Finance Investments

- (2) The Company does not have working capital finance investments.
- (3) The Company did not have any defaults on working capital finance investments.

NOTES TO FINANCIAL STATEMENTS

N. Offsetting and Netting of Assets and Liabilities

The Company does not have investments in derivatives. Repurchase agreements and securities lending assets and liabilities are reported on a gross basis.

No other significant changes have occurred since 12/31/2019 that warrant disclosure in Note 5.

6. Joint Ventures, Partnerships and Limited Liability Companies

Significant changes have not occurred since 12/31/2019 that warrant disclosure in Note 6.

7. Investment Income

Significant changes have not occurred since 12/31/2019 that warrant disclosure in Note 7.

8. Derivative Instruments

A. Derivatives under SSAP No. 86-Derivatives

(8) The Company does not have investments in derivative instruments.

B. Derivatives under SSAP No. 108-Derivatives Hedging Variable Annuity Guarantees

The Company does not have any derivative hedging variable annuity guarantees.

Significant changes have not occurred since 12/31/2019 that warrant disclosure in Note 8.

9. Income Taxes

Significant changes have not occurred since 12/31/2019 that warrant disclosure in Note 9.

10. Information Concerning Parent, Subsidiaries and Affiliates

Significant changes have not occurred since 12/31/2019 that warrant disclosure in Note 10.

11. Debt

At September 30, 2020 and December 31, 2019, the Company had no capital notes, repurchase agreements, FHLB debt or dollar repurchase agreements outstanding.

12. Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans

A. Defined Benefit Plan

The Company does not offer a defined benefit plan.

Significant changes have not occurred since 12/31/2019 that warrant disclosure in Note 12.

13. Capital and Surplus, Shareholders' Dividend Restrictions and Quasi-Reorganizations

Significant changes have not occurred since 12/31/2019 that warrant disclosure in Note 13.

14. Liabilities, Contingencies and Assessments

Significant changes have not occurred since 12/31/2019 that warrant disclosure in Note 14.

15. Leases

Significant changes have not occurred since 12/31/2019 that warrant disclosure in Note 15.

16. Information about Financial Instruments with Off-Balance Sheet Risk and Financial Instruments with Concentrations of Credit Risk

Significant changes have not occurred since 12/31/2019 that warrant disclosure in Note 16.

17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

B(2)b. The Company does not have servicing assets or liabilities.

B(4)a. Not applicable.

B(4)b. Not applicable.

NOTES TO FINANCIAL STATEMENTS

C. Wash Sales - No reportable wash sales have occurred during the year.

Significant changes have not occurred since 12/31/2019 that warrant disclosure in Note 17.

18. Gain or Loss to the Reporting Entity from Uninsured A&H Plans and the Uninsured Portion of Partially Insured Plans

Significant changes have not occurred since 12/31/2019 that warrant disclosure in Note 18.

19. Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

Significant changes have not occurred since 12/31/2019 that warrant disclosure in Note 19.

20. Fair Value Measurements

A. (1) Fair Value Measurements at Reporting Date

Description	Level 1	Level 2	Level 3	Net Asset Value (NAV)	Total
Assets at fair value:					
Separate account assets	-	13,593,432,285	-	-	13,593,432,285
Total assets are fair value/NAV	\$ -	\$ 13,593,432,285	\$ -	\$ -	\$ 13,593,432,285

(2) Fair Value Measurements in (Level 3) of the Fair Value Hierarchy

There were no Level 3 assets at September 30, 2020 or December 31, 2019.

(3) The Company’s policy for determining and disclosing transfers between levels is to recognize transfers using beginning-of-year balances.

(4) Bonds and Equity Securities

The fair values for bonds and equity securities are determined by management using information available from independent pricing services, broker-dealer quotes, or internally derived estimates. Priority is given to publicly available prices from independent sources, when available. Securities for which the independent pricing service does not provide a quotation are either submitted to independent broker-dealers for prices or priced internally. Typical inputs used by these three pricing methods include, but are not limited to, reported trades, benchmark yields, credit spreads, liquidity premiums, and/or estimated cash flows based on default and prepayment assumptions.

As a result of typical trading volumes and the lack of specific quoted market prices for most bonds, independent pricing services will normally derive the security prices through recently reported trades for identical or similar securities, making adjustments through the reporting date based upon available market observable information as outlined above. If there are no recently reported trades, the independent pricing services and brokers may use matrix or pricing model processes to develop a security price where future cash flow expectations are developed based upon collateral performance and discounted at relevant market rates. Certain securities are priced using broker-dealer quotes, which may utilize proprietary inputs and models. Additionally, the majority of these quotes are non-binding.

Included in the pricing of loan-backed and structured securities are estimates of the rate of future prepayments of principal over the remaining life of the securities. Such estimates are derived based on the characteristics of the underlying structure and prepayment assumptions believed to be relevant for the underlying collateral. Actual prepayment experience may vary from these estimates.

Internally derived estimates may be used to develop a fair value for securities for which the Company is unable to obtain either a reliable price from an independent pricing service or a suitable broker-dealer quote. These estimates may incorporate Level 2 and Level 3 inputs and are generally derived using expected future cash flows, discounted at market interest rates available from market sources based on the credit quality and duration of the instrument to determine fair value. For securities that may not be reliably priced using these internally developed pricing models, a fair value may be estimated using indicative market prices. These prices are indicative of an exit price, but the assumptions used to establish the fair value may not be observable or corroborated by market observable information and, therefore, are considered to be Level 3 inputs.

The Company performs a monthly analysis on the prices and credit spreads received from third parties to ensure that the prices represent a reasonable estimate of the fair value. This process involves quantitative and qualitative analysis and is overseen by investment and accounting professionals. Examples of procedures performed include, but are not limited to, initial and on-going review of third party pricing service methodologies, review of pricing statistics and trends, back testing recent trades and monitoring of trading volumes. In addition, the Company considers whether prices received from independent brokers represent a reasonable estimate of fair value through the use of internal and external cash flow models, which are developed based on spreads and, when available, market indices. As a result of this analysis, if the Company determines there is a more appropriate fair value based upon the available market data, the price received from the third party may be adjusted accordingly.

(5) The Company has no derivative assets or liabilities.

B. The Company provides additional fair value information in Note 5.

NOTES TO FINANCIAL STATEMENTS

C. The following tables detail the aggregate fair value of the Company’s financial instruments:

September 30, 2020						
Description	Aggregate Fair Value	Admitted Value	Level 1	Level 2	Level 3	Net Asset Value (NAV)
Assets:						
Bonds	\$ 1,336,131,021	\$ 1,238,981,159	\$ 17,060,000	\$ 1,319,071,021	\$ -	\$ -
Cash and cash equivalents	195,872,871	195,872,871	195,872,871	-	-	-
Policy loans	360,500	360,500	-	-	360,500	-
Separate account assets	13,593,432,285	13,593,432,285	-	13,593,432,285	-	-
Total assets at fair value	<u>\$ 15,125,796,677</u>	<u>\$ 15,028,646,815</u>	<u>\$ 212,932,871</u>	<u>\$ 14,912,503,306</u>	<u>\$ 360,500</u>	<u>\$ -</u>
Liabilities:						
Reserves for life insurance and annuities (1)	\$ 669,111,416	\$ 601,538,303	\$ -	\$ -	\$ 669,111,416	\$ -
Liability for deposit-type contracts	14,534,893	14,127,633	-	-	14,534,893	-
Separate account liabilities	13,593,432,285	13,593,432,285	-	13,593,432,285	-	-
Total liabilities at fair value	<u>\$ 14,277,078,594</u>	<u>\$ 14,209,098,221</u>	<u>\$ -</u>	<u>\$ 13,593,432,285</u>	<u>\$ 683,646,309</u>	<u>\$ -</u>

(1) Annuity reserves represent only the components of deposits on investment contracts that are considered to be financial instruments.

December 31, 2019						
Description	Aggregate Fair Value	Admitted Value	Level 1	Level 2	Level 3	Net Asset Value (NAV)
Assets:						
Bonds	\$ 1,178,632,545	\$ 1,129,945,608	\$ 35,303,904	\$ 1,143,328,641	\$ -	\$ -
Common stock	126	126	-	126	-	-
Cash and cash equivalents	125,945,334	125,945,334	125,945,334	-	-	-
Policy loans	381,883	381,883	-	-	381,883	-
Securities lending assets	255,000	255,000	255,000	-	-	-
Separate account assets	13,489,115,844	13,489,115,844	-	13,489,115,844	-	-
Total assets at fair value	<u>\$ 14,794,330,732</u>	<u>\$ 14,745,643,795</u>	<u>\$ 161,504,238</u>	<u>\$ 14,632,444,611</u>	<u>\$ 381,883</u>	<u>\$ -</u>
Liabilities:						
Reserves for life insurance and annuities (1)	\$ 632,448,427	\$ 583,675,437	\$ -	\$ -	\$ 632,448,427	\$ -
Liability for deposit-type contracts	14,032,364	14,041,488	-	-	14,032,364	-
Securities lending liabilities	255,000	255,000	-	255,000	-	-
Separate account liabilities	13,489,115,844	13,489,115,844	-	13,489,115,844	-	-
Total liabilities at fair value	<u>\$ 14,135,851,635</u>	<u>\$ 14,087,087,769</u>	<u>\$ -</u>	<u>\$ 13,489,370,844</u>	<u>\$ 646,480,791</u>	<u>\$ -</u>

(1) Annuity reserves represent only the components of deposits on investment contracts that are considered to be financial instruments.

D. At September 30, 2020 and December 31, 2019, the Company had no financial instruments for which it was not practicable to estimate fair value.

21. Other Items

As a result of the spread of the COVID-19 coronavirus, economic uncertainties have arisen which are likely to impact the Company’s capital position. As the economic uncertainties are on-going, the potential impact continues to vary and is unknown at this time. The Company has implemented business continuity plans that were already in place to ensure the availability of services for our customers, work at home capabilities for our staff, where appropriate, and other ongoing risk management activities related to the current ongoing market stress.

On March 27, 2020, the Coronavirus Aid, Relief, and Economic Security Act, “the CARES Act”, was signed into legislation. Some of the significant changes include reducing the interest expense disallowance for 2019 and 2020, allowing the five year carryback of net operating losses for 2018-2020, suspension of the 80% limitation of taxable income for net operating loss carryforwards for 2018-2020, and the acceleration of depreciation expense from 2018 and forward on qualified improvement property. The Company is required to recognize the effect on the financial statements in the period the law was enacted. For 2020, the Company does not expect the impact of the CARES Act on the Company’s financial position or results of operations to be material.

During 2019, the NAIC adopted changes to the variable annuity statutory reserves and required capital framework, which required revisions to *Actuarial Guideline XLIII — CARVM for Variable Annuities* (AG 43), Valuation Manual 21 (“VM-21”) and the Life Risk Based Capital (“RBC”) formula instructions in order to be implemented. This framework is effective on January 1, 2020. In early 2020, New York promulgated Regulation 213 to codify its interpretation of various principle-based reserving approaches, including the new variable annuity framework. As communicated to NYDFS, the Company is in the process of preparing its valuation models to process these changes, and therefore, the changes are not reflected in this quarterly statement.

For purposes of establishing reserves on annuity products, the NYDFS has insisted the Company perform more conservative asset adequacy testing than the Company would perform independently. This additional conservatism resulted in \$39,000,000 of reserves being posted at both September 30, 2020 and December 31, 2019. These reserves are included in the aggregate reserves and will be reported in Exhibit 5. The Company does not agree with the NYDFS that these additional reserves were necessary or required by regulation, but complied with the directive of the NYDFS and posted the additional reserves.

Effective July 17, 2020, Brooke (Holdco1) Inc., changed its name to Jackson Financial Inc., and completed a \$500,000,000 equity investment from Athene Life Re Ltd. in exchange for shares representing a 9.9% voting interest. The shares were then immediately transferred to an affiliate of Athene, Athene Co-Invest Reinsurance Affiliate 1A Ltd.

NOTES TO FINANCIAL STATEMENTS

No other significant changes have occurred since 12/31/2019 that warrant disclosure in Note 21.

22. Events Subsequent

The Company is not aware of any events occurring subsequent to the balance sheet date which require disclosure to keep the financial statements from being misleading or that may have a material effect on the financial condition of the Company.

23. Reinsurance

Significant changes have not occurred since 12/31/2019 that warrant disclosure in Note 23.

24. Retrospectively Rated Contracts & Contracts Subject to Redetermination

The Company does not issue health insurance, and therefore, does not have premium subject to the risk sharing provisions of the Affordable Care Act.

Significant changes have not occurred since 12/31/2019 that warrant disclosure in Note 24.

25. Change in Incurred Losses and Loss Adjustment Expenses

The Company does not have incurred losses or loss adjustment expenses that require disclosure in Note 25.

26. Intercompany Pooling Arrangements

Significant changes have not occurred since 12/31/2019 that warrant disclosure in Note 26.

27. Structured Settlements

Significant changes have not occurred since 12/31/2019 that warrant disclosure in Note 27.

28. Health Care Receivables

Significant changes have not occurred since 12/31/2019 that warrant disclosure in Note 28.

29. Participating Policies

Significant changes have not occurred since 12/31/2019 that warrant disclosure in Note 29.

30. Premium Deficiency Reserves

Significant changes have not occurred since 12/31/2019 that warrant disclosure in Note 30.

31. Reserves for Life Contracts and Annuity Contracts

Significant changes have not occurred since 12/31/2019 that warrant disclosure in Note 31.

32. Analysis of Annuity Actuarial Reserves and Deposit Contract Type Liabilities by Withdrawal Characteristics

Significant changes have not occurred since 12/31/2019 that warrant disclosure in Note 32.

33. Analysis of Life Actuarial Reserves by Withdrawal Characteristics

Significant changes have not occurred since 12/31/2019 that warrant disclosure in Note 33.

34. Premium and Annuity Considerations Deferred and Uncollected

Significant changes have not occurred since 12/31/2019 that warrant disclosure in Note 34.

35. Separate Accounts

Significant changes have not occurred since 12/31/2019 that warrant disclosure in Note 35.

36. Loss/Claim Adjustment Expenses

Significant changes have not occurred since 12/31/2019 that warrant disclosure in Note 36.

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

1.1

Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act?

Yes [☐] No [☒]

1.2

If yes, has the report been filed with the domiciliary state?

Yes [☐] No [☐]

2.1

Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity?

Yes [☐] No [☒]

2.2

If yes, date of change:

3.1

Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer?

If yes, complete Schedule Y, Parts 1 and 1A.

Yes [☒] No [☐]

3.2

Have there been any substantial changes in the organizational chart since the prior quarter end?

Yes [☐] No [☒]

3.3

If the response to 3.2 is yes, provide a brief description of those changes.

3.4

Is the reporting entity publicly traded or a member of a publicly traded group?

Yes [☒] No [☐]

3.5

If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group.

0001116578

4.1

Has the reporting entity been a party to a merger or consolidation during the period covered by this statement?

If yes, complete and file the merger history data file with the NAIC.

Yes [☐] No [☒]

4.2

If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1	2	3
Name of Entity	NAIC Company Code	State of Domicile

5.

If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved?

If yes, attach an explanation.

Yes [☐] No [☒] N/A [☐]

6.1

State as of what date the latest financial examination of the reporting entity was made or is being made.

12/31/2018

6.2

State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released.

12/31/2018

6.3

State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date).

06/19/2020

6.4

By what department or departments?
New York State Department of Financial Services

6.5

Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments?

Yes [☒] No [☐] N/A [☐]

6.6

Have all of the recommendations within the latest financial examination report been complied with?

Yes [☒] No [☐] N/A [☐]

7.1

Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period?

Yes [☐] No [☒]

7.2

If yes, give full information:

8.1

Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board?

Yes [☐] No [☒]

8.2

If response to 8.1 is yes, please identify the name of the bank holding company.

8.3

Is the company affiliated with one or more banks, thrifts or securities firms?

Yes [☒] No [☐]

8.4

If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1	2	3	4	5	6
Affiliate Name	Location (City, State)	FRB	OCC	FDIC	SEC
Jackson National Life Distributors, LLC	Franklin, TN				YES

GENERAL INTERROGATORIES

- 9.1

Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards?
(a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
(b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
(c) Compliance with applicable governmental laws, rules and regulations;
(d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
(e) Accountability for adherence to the code.

Yes [X] No []
- 9.11

If the response to 9.1 is No, please explain:
- 9.2

Has the code of ethics for senior managers been amended?

Yes [] No [X]
- 9.21

If the response to 9.2 is Yes, provide information related to amendment(s).
- 9.3

Have any provisions of the code of ethics been waived for any of the specified officers?

Yes [] No [X]
- 9.31

If the response to 9.3 is Yes, provide the nature of any waiver(s).

FINANCIAL

- 10.1

Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement?

Yes [X] No []
- 10.2

If yes, indicate any amounts receivable from parent included in the Page 2 amount:

\$ 4,271,547

INVESTMENT

- 11.1

Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.)

Yes [X] No []
- 11.2

If yes, give full and complete information relating thereto:
On Deposit with state: \$497,978
12.

Amount of real estate and mortgages held in other invested assets in Schedule BA:

\$ 0
13.

Amount of real estate and mortgages held in short-term investments:

\$ 0
- 14.1

Does the reporting entity have any investments in parent, subsidiaries and affiliates?

Yes [] No [X]
- 14.2

If yes, please complete the following:

	1	2
	Prior Year-End Book/Adjusted Carrying Value	Current Quarter Book/Adjusted Carrying Value
14.21 Bonds	\$ 0	\$ 0
14.22 Preferred Stock	\$ 0	\$ 0
14.23 Common Stock	\$ 0	\$ 0
14.24 Short-Term Investments	\$ 0	\$ 0
14.25 Mortgage Loans on Real Estate	\$ 0	\$ 0
14.26 All Other	\$ 0	\$ 0
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)	\$ 0	\$ 0
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above	\$ 0	\$ 0

- 15.1

Has the reporting entity entered into any hedging transactions reported on Schedule DB?

Yes [] No [X]
- 15.2

If yes, has a comprehensive description of the hedging program been made available to the domiciliary state?
If no, attach a description with this statement.

Yes [] No [] N/A [X]
16.

For the reporting entity's security lending program, state the amount of the following as of the current statement date:
- 16.1

Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2.

\$ 0
- 16.2

Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2

\$ 0
- 16.3

Total payable for securities lending reported on the liability page.

\$ 0

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE JACKSON NATIONAL LIFE INSURANCE CO. OF NEW YORK

GENERAL INTERROGATORIES

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? Yes [X] No []
- 17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
The Bank of New York Mellon	240 Greenwich Street, New York, NY 10286

- 17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes [] No [X]
- 17.4 If yes, give full information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

- 17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

1 Name of Firm or Individual	2 Affiliation
PPM America, Inc.	A.....

- 17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's invested assets?..... Yes [] No [X]
- 17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets?..... Yes [] No [X]

- 17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
107269	PPM America, Inc.	JJRYDUHMCZ72M58YS96	Securities and Exchange Comission	DS.....

- 18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? Yes [X] No []
- 18.2 If no, list exceptions:

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:

a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
b. Issuer or obligor is current on all contracted interest and principal payments.
c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.

Has the reporting entity self-designated 5GI securities? Yes [] No [X]

20. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:

a. The security was purchased prior to January 1, 2018.
b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.

Has the reporting entity self-designated PLGI securities? Yes [] No [X]

21. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:

a. The shares were purchased prior to January 1, 2019.
b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
c. The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.
d. The fund only or predominantly holds bonds in its portfolio.
e. The current reported NAIC Designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.
f. The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.

Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria? Yes [] No [X]

GENERAL INTERROGATORIES

PART 2 - LIFE AND ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES

Life and Accident Health Companies/Fraternal Benefit Societies:

1.

Report the statement value of mortgage loans at the end of this reporting period for the following categories:

1

Amount

1.1

Long-Term Mortgages In Good Standing

1.11

Farm Mortgages

\$

0

1.12

Residential Mortgages

\$

0

1.13

Commercial Mortgages

\$

0

1.14

Total Mortgages in Good Standing

\$

0

1.2

Long-Term Mortgages In Good Standing with Restructured Terms

1.21

Total Mortgages in Good Standing with Restructured Terms

\$

0

1.3

Long-Term Mortgage Loans Upon which Interest is Overdue more than Three Months

1.31

Farm Mortgages

\$

0

1.32

Residential Mortgages

\$

0

1.33

Commercial Mortgages

\$

0

1.34

Total Mortgages with Interest Overdue more than Three Months

\$

0

1.4

Long-Term Mortgage Loans in Process of Foreclosure

1.41

Farm Mortgages

\$

0

1.42

Residential Mortgages

\$

0

1.43

Commercial Mortgages

\$

0

1.44

Total Mortgages in Process of Foreclosure

\$

0

1.5

Total Mortgage Loans (Lines 1.14 + 1.21 + 1.34 + 1.44) (Page 2, Column 3, Lines 3.1 + 3.2)

\$

0

1.6

Long-Term Mortgages Foreclosed, Properties Transferred to Real Estate in Current Quarter

1.61

Farm Mortgages

\$

0

1.62

Residential Mortgages

\$

0

1.63

Commercial Mortgages

\$

0

1.64

Total Mortgages Foreclosed and Transferred to Real Estate

\$

0

2.

Operating Percentages:

2.1

A&H loss percent

0.000

%

2.2

A&H cost containment percent

0.000

%

2.3

A&H expense percent excluding cost containment expenses

0.000

%

3.1

Do you act as a custodian for health savings accounts?

Yes

[]

No

[X]

3.2

If yes, please provide the amount of custodial funds held as of the reporting date

\$

0

3.3

Do you act as an administrator for health savings accounts?

Yes

[]

No

[X]

3.4

If yes, please provide the balance of the funds administered as of the reporting date

\$

0

4.

Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states?

Yes

[X]

No

[]

4.1

If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity?

Yes

[]

No

[]

Fraternal Benefit Societies Only:

5.1

In all cases where the reporting entity has assumed accident and health risks from another company, provisions should be made in this statement on account of such reinsurances for reserve equal to that which the original company would have been required to establish had it retained the risks. Has this been done?

Yes

[]

No

[]

N/A

[]

5.2

If no, explain:

6.1

Does the reporting entity have outstanding assessments in the form of liens against policy benefits that have increased surplus?

Yes

[]

No

[]

6.2

If yes, what is the date(s) of the original lien and the total outstanding balance of liens that remain in surplus?

Date	Outstanding Lien Amount

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE JACKSON NATIONAL LIFE INSURANCE CO. OF NEW YORK

SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurance Treaties - Current Year to Date

[illegible]

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE JACKSON NATIONAL LIFE INSURANCE CO. OF NEW YORK

SCHEDULE T - PREMIUMS AND ANNUITY CONSIDERATIONS

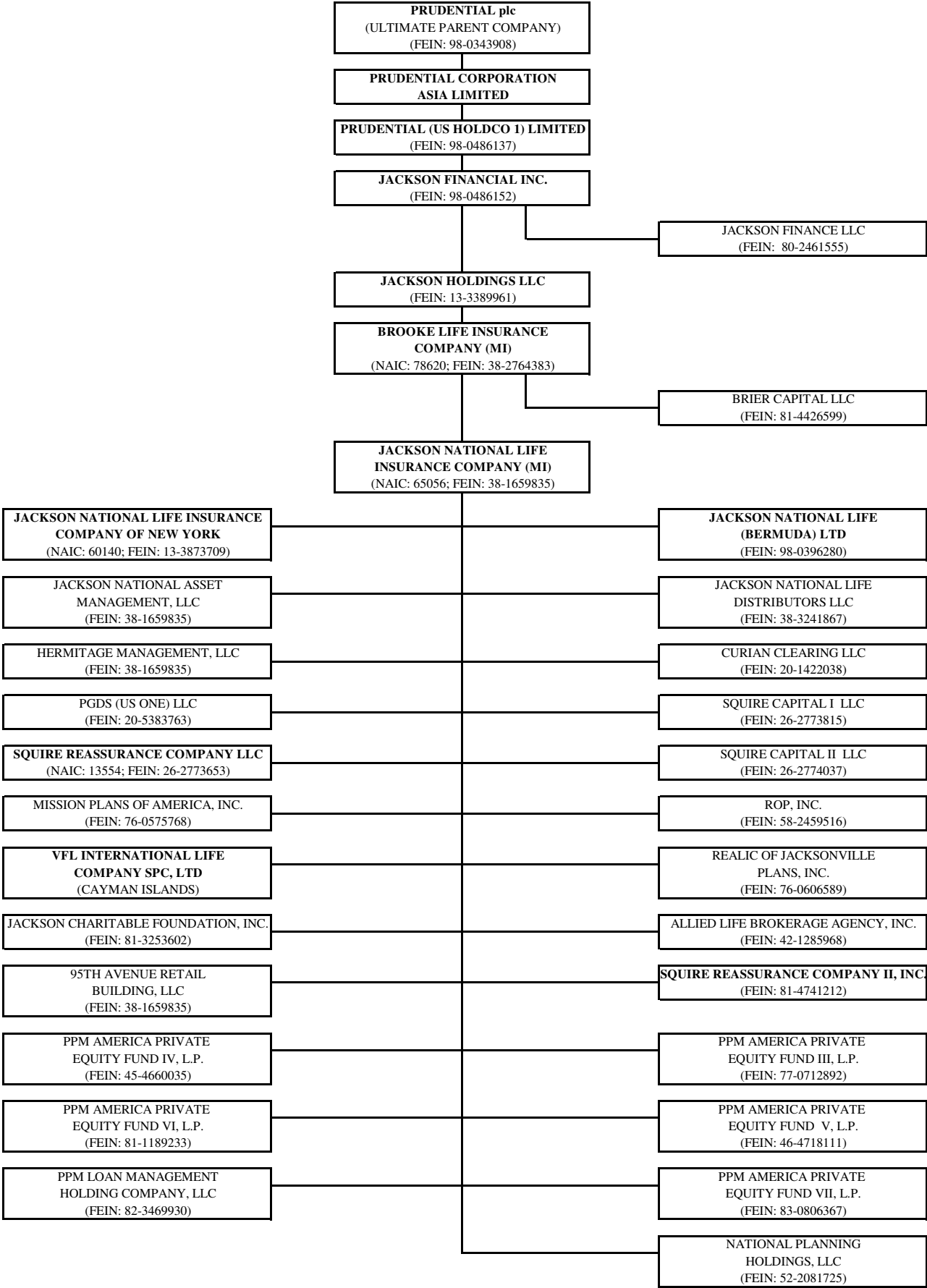
Current Year To Date - Allocated by States and Territories

States, Etc.			1	Life Contracts		Direct Business Only			7
				2	3	4	5	6	
1.	Alabama	AL	N	0	36,800	0	0	36,800	0
2.	Alaska	AK	N	0	0	0	0	0	0
3.	Arizona	AZ	N	135	241,495	0	0	241,630	0
4.	Arkansas	AR	N	0	0	0	0	0	0
5.	California	CA	N	594	1,348,987	0	0	1,349,581	0
6.	Colorado	CO	N	731	1,800	0	0	2,531	0
7.	Connecticut	CT	N	198	351,165	0	0	351,363	0
8.	Delaware	DE	L	865	126,849	0	0	127,714	0
9.	District of Columbia	DC	N	0	0	0	0	0	0
10.	Florida	FL	N	8,228	6,172,664	0	0	6,180,892	0
11.	Georgia	GA	N	4,577	388,603	0	0	393,180	0
12.	Hawaii	HI	N	0	0	0	0	0	0
13.	Idaho	ID	N	0	0	0	0	0	0
14.	Illinois	IL	N	0	427,647	0	0	427,647	0
15.	Indiana	IN	N	0	24,122	0	0	24,122	0
16.	Iowa	IA	N	0	0	0	0	0	0
17.	Kansas	KS	N	275	0	0	0	275	0
18.	Kentucky	KY	N	0	71,498	0	0	71,498	0
19.	Louisiana	LA	N	0	11,083	0	0	11,083	0
20.	Maine	ME	N	805	146,932	0	0	147,737	0
21.	Maryland	MD	N	736	91,935	0	0	92,671	0
22.	Massachusetts	MA	N	0	112,022	0	0	112,022	0
23.	Michigan	MI	L	0	136,130	0	0	136,130	0
24.	Minnesota	MN	N	0	0	0	0	0	0
25.	Mississippi	MS	N	0	900,000	0	0	900,000	0
26.	Missouri	MO	N	0	53,224	0	0	53,224	0
27.	Montana	MT	N	0	0	0	0	0	0
28.	Nebraska	NE	N	0	0	0	0	0	0
29.	Nevada	NV	N	386	137,706	0	0	138,092	0
30.	New Hampshire	NH	N	0	0	0	0	0	0
31.	New Jersey	NJ	N	13,338	4,818,649	0	0	4,831,987	0
32.	New Mexico	NM	N	0	7,000	0	0	7,000	0
33.	New York	NY	L	374,697	935,591,664	0	0	935,966,361	1,056,121
34.	North Carolina	NC	N	2,534	1,088,096	0	0	1,090,630	0
35.	North Dakota	ND	N	0	186,426	0	0	186,426	0
36.	Ohio	OH	N	0	76,900	0	0	76,900	0
37.	Oklahoma	OK	N	0	0	0	0	0	0
38.	Oregon	OR	N	90	0	0	0	90	0
39.	Pennsylvania	PA	N	4,409	946,554	0	0	950,963	0
40.	Rhode Island	RI	N	738	163,656	0	0	164,394	0
41.	South Carolina	SC	N	2,890	726,780	0	0	729,670	0
42.	South Dakota	SD	N	0	0	0	0	0	0
43.	Tennessee	TN	N	0	89,941	0	0	89,941	0
44.	Texas	TX	N	817	112,850	0	0	113,667	0
45.	Utah	UT	N	0	0	0	0	0	0
46.	Vermont	VT	N	0	794,241	0	0	794,241	0
47.	Virginia	VA	N	1,982	609,381	0	0	611,363	0
48.	Washington	WA	N	1,404	20,000	0	0	21,404	0
49.	West Virginia	WV	N	0	0	0	0	0	0
50.	Wisconsin	WI	N	0	1,000	0	0	1,000	0
51.	Wyoming	WY	N	0	105,400	0	0	105,400	0
52.	American Samoa	AS	N	0	0	0	0	0	0
53.	Guam	GU	N	0	0	0	0	0	0
54.	Puerto Rico	PR	N	0	0	0	0	0	0
55.	U.S. Virgin Islands	VI	N	0	0	0	0	0	0
56.	Northern Mariana Islands	MP	N	0	0	0	0	0	0
57.	Canada	CAN	N	0	0	0	0	0	0
58.	Aggregate Other Aliens	OT	XXX	0	244,071	0	0	244,071	0
59.	Subtotal	XXX		420,429	956,363,271	0	0	956,783,700	1,056,121
90.	Reporting entity contributions for employee benefits plans	XXX		0	0	0	0	0	0
91.	Dividends or refunds applied to purchase paid-up additions and annuities	XXX		0	0	0	0	0	0
92.	Dividends or refunds applied to shorten endowment or premium paying period	XXX		0	0	0	0	0	0
93.	Premium or annuity considerations waived under disability or other contract provisions	XXX		6,632	0	0	0	6,632	0
94.	Aggregate or other amounts not allocable by State	XXX		0	0	0	0	0	0
95.	Totals (Direct Business)	XXX		427,061	956,363,271	0	0	956,790,332	1,056,121
96.	Plus Reinsurance Assumed	XXX		0	0	0	0	0	0
97.	Totals (All Business)	XXX		427,061	956,363,271	0	0	956,790,332	1,056,121
98.	Less Reinsurance Ceded	XXX		115,455	803,698,803	0	0	803,814,258	0
99.	Totals (All Business) less Reinsurance Ceded	XXX		311,606	152,664,468	0	0	152,976,074	1,056,121
DETAILS OF WRITE-INS									
58001.	ZZZ Other Alien	XXX		0	244,071	0	0	244,071	0
58002.		XXX							
58003.		XXX							
58998.	Summary of remaining write-ins for Line 58 from overflow page	XXX		0	0	0	0	0	0
58999.	Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)	XXX		0	244,071	0	0	244,071	0
9401.		XXX							
9402.		XXX							
9403.		XXX							
9498.	Summary of remaining write-ins for Line 94 from overflow page	XXX		0	0	0	0	0	0
9499.	Totals (Lines 9401 through 9403 plus 9498)(Line 94 above)	XXX		0	0	0	0	0	0

(a) Active Status Counts:
L - Licensed or Chartered - Licensed Insurance carrier or domiciled RRG.....3
E - Eligible - Reporting entities eligible or approved to write surplus lines in the state.....0
N - None of the above - Not allowed to write business in the state.....54
R - Registered - Non-domiciled RRGs.....0
Q - Qualified - Qualified or accredited reinsurer.....0

Premiums and annuity considerations are allocated by state according to the residency of the policy or contract holder on the date the premium is received.

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF
INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART



SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Owner-ship Provide Percen-tage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
.0918	JACKSON	.65056	38-1659835				JACKSON NATIONAL LIFE INSURANCE COMPANY	.MI	.UDP	BROOKE LIFE INSURANCE COMPANY	Ownership	100.000	PRUDENTIAL plc	.N	
.0918	JACKSON	.78620	38-2764383				BROOKE LIFE INSURANCE COMPANY	.MI	.UIP	JACKSON HOLDINGS LLC	Ownership	100.000	PRUDENTIAL plc	.N	
							JACKSON NATIONAL LIFE INSURANCE COMPANY OF NEW YORK	.NY	.RE	JACKSON NATIONAL LIFE INSURANCE COMPANY	Ownership	100.000	PRUDENTIAL plc	.N	
.0918	JACKSON	.60140	13-3873709				SQUIRE REASSURANCE COMPANY LLC	.MI	.IA	JACKSON NATIONAL LIFE INSURANCE COMPANY	Ownership	100.000	PRUDENTIAL plc	.N	
.0918	JACKSON	.13554	26-2773653				SQUIRE REASSURANCE COMPANY II, INC.	.MI	.IA	JACKSON NATIONAL LIFE INSURANCE COMPANY	Ownership	100.000	PRUDENTIAL plc	.N	
.0918	JACKSON	.16094	81-4741212				BRIER CAPITAL LLC	.MI	.NIA	BROOKE LIFE INSURANCE COMPANY	Ownership	100.000	PRUDENTIAL plc	.N	
			13-3389961				JACKSON HOLDINGS LLC	.DE	.UIP	JACKSON FINANCIAL INC	Ownership	100.000	PRUDENTIAL plc	.N	
			98-0486152				JACKSON FINANCIAL INC.	.DE	.UIP	PRUDENTIAL (US HOLDCO 1) LIMITED	Ownership	90.100	PRUDENTIAL plc	.N	
			80-2461555				JACKSON FINANCE LLC	.MI	.NIA	JACKSON FINANCIAL INC	Ownership	100.000	PRUDENTIAL plc	.N	
			98-0486137				PRUDENTIAL (US HOLDCO 1) LIMITED	.GBR	.UIP	PRUDENTIAL CORPORATION ASIA LIMITED	Ownership	100.000	PRUDENTIAL plc	.N	
							PRUDENTIAL CORPORATION ASIA LIMITED	.HKG	.UIP	PRUDNETIAL plc	Ownership	100.000	PRUDENTIAL plc	.N	
			98-0343908			NEW YORK STOCK EXCHANGE	PRUDENTIAL plc	.GBR	.UIP	PUBLICLY TRADED	Board of Directors	0.000	PRUDENTIAL plc	.N	
			20-1422038				CURIAN CLEARING LLC	.MI	.NIA	JACKSON NATIONAL LIFE INSURANCE COMPANY	Ownership	100.000	PRUDENTIAL plc	.N	
			38-1659835				HERMITAGE MANAGEMENT, LLC	.MI	.NIA	JACKSON NATIONAL LIFE INSURANCE COMPANY	Ownership	100.000	PRUDENTIAL plc	.N	
			38-1659835				JACKSON NATIONAL ASSET MANAGEMENT, LLC	.MI	.NIA	JACKSON NATIONAL LIFE INSURANCE COMPANY	Ownership	100.000	PRUDENTIAL plc	.N	
			38-3241867				JACKSON NATIONAL LIFE DISTRIBUTORS LLC	.MI	.NIA	JACKSON NATIONAL LIFE INSURANCE COMPANY	Ownership	100.000	PRUDENTIAL plc	.N	
			98-0396280				JACKSON NATIONAL LIFE (BERMUDA) LTD.	.BMU	.IA	JACKSON NATIONAL LIFE INSURANCE COMPANY	Ownership	100.000	PRUDENTIAL plc	.N	
			20-5383763				PGDS (US ONE) LLC	.DE	.NIA	JACKSON NATIONAL LIFE INSURANCE COMPANY	Ownership	100.000	PRUDENTIAL plc	.N	
			26-2773815				SQUIRE CAPITAL I LLC	.MI	.NIA	JACKSON NATIONAL LIFE INSURANCE COMPANY	Ownership	100.000	PRUDENTIAL plc	.N	
			26-2774037				SQUIRE CAPITAL II LLC	.MI	.NIA	JACKSON NATIONAL LIFE INSURANCE COMPANY	Ownership	100.000	PRUDENTIAL plc	.N	
			76-0575768				MISSION PLANS OF AMERICA, INC.	.TX	.NIA	JACKSON NATIONAL LIFE INSURANCE COMPANY	Ownership	100.000	PRUDENTIAL plc	.N	
			58-2459516				ROP, INC.	.DE	.NIA	JACKSON NATIONAL LIFE INSURANCE COMPANY	Ownership	100.000	PRUDENTIAL plc	.N	
							VFL INTERNATIONAL LIFE COMPANY SPC, LTD.	.CYM	.IA	JACKSON NATIONAL LIFE INSURANCE COMPANY	Ownership	100.000	PRUDENTIAL plc	.N	
			76-0606589				REALIC OF JACKSONVILLE PLANS, INC.	.TX	.NIA	JACKSON NATIONAL LIFE INSURANCE COMPANY	Ownership	100.000	PRUDENTIAL plc	.N	
			42-1285968				ALLIED LIFE BROKERAGE AGENCY, INC.	.IA	.NIA	JACKSON NATIONAL LIFE INSURANCE COMPANY	Ownership	100.000	PRUDENTIAL plc	.N	
			81-3253602				JACKSON CHARITABLE FOUNDATION, INC.	.MI	.NIA	JACKSON NATIONAL LIFE INSURANCE COMPANY	Ownership	100.000	PRUDENTIAL plc	.N	
			38-1659835				95TH AVENUE RETAIL BUILDING, LLC	.IL	.NIA	JACKSON NATIONAL LIFE INSURANCE COMPANY	Management	0.000	PRUDENTIAL plc	.N	
			77-0712892				PPM AMERICA PRIVATE EQUITY FUND III L.P.	.DE	.NIA	PPM AMERICA, INC	Management	0.000	PRUDENTIAL plc	.N	
			45-4660035				PPM AMERICA PRIVATE EQUITY FUND IV L.P.	.DE	.NIA	PPM AMERICA, INC	Management	0.000	PRUDENTIAL plc	.N	
			46-4718111				PPM AMERICA PRIVATE EQUITY FUND V L.P.	.DE	.NIA	PPM AMERICA, INC	Management	0.000	PRUDENTIAL plc	.N	
			81-1189233				PPM AMERICA PRIVATE EQUITY FUND VI L.P.	.DE	.NIA	PPM AMERICA, INC	Management	0.000	PRUDENTIAL plc	.N	
			83-0806367				PPM AMERICA PRIVATE EQUITY FUND VII L.P.	.DE	.NIA	PPM AMERICA, INC	Management	0.000	PRUDENTIAL plc	.N	
			82-3469930				PPM LOAN MANAGEMENT HOLDING COMPANY, LLC	.DE	.NIA	PPM AMERICA, INC	Management	0.000	PRUDENTIAL plc	.N	
			52-2081725				NATIONAL PLANNING HOLDINGS, LLC	.DE	.NIA	JACKSON NATIONAL LIFE INSURANCE COMPANY	Ownership	100.000	PRUDENTIAL plc	.N	

Asterisk	Explanation

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE JACKSON NATIONAL LIFE INSURANCE CO. OF NEW YORK

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

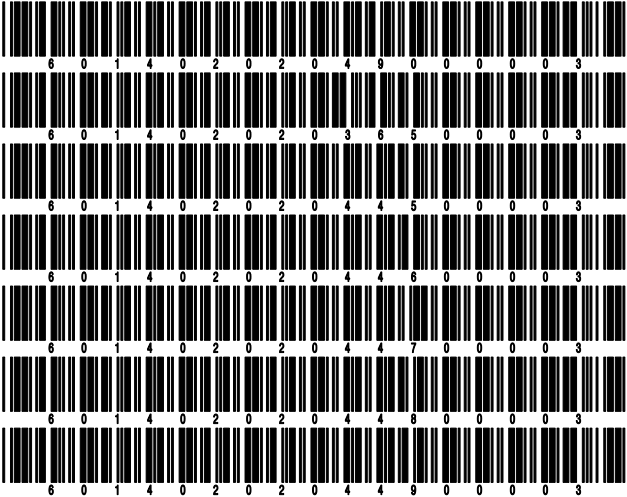
	Response
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?	NO
2. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO
3. Will the Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	NO
4. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	NO
5. Will the Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI be filed with the state of domicile and electronically with the NAIC?	NO
6. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Average Market Value) be filed with the state of domicile and electronically with the NAIC?	NO
7. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) be filed with the state of domicile and electronically with the NAIC?	NO
8. Will the Life PBR Statement of Exemption be filed with the state of domicile by July 1st and electronically with the NAIC with the second quarterly filing per the Valuation Manual (by August 15)? (2nd Quarter Only) The response for 1st and 3rd quarters should be N/A. A NO response resulting with a bar code is only appropriate in the 2nd quarter.	N/A

Explanation:

1.
2.
3.
4.
5.
6.
7.

Bar Code:

1. Trusteed Surplus Statement [Document Identifier 490]
2. Medicare Part D Coverage Supplement [Document Identifier 365]
3. Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 445]
4. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 446]
5. Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI [Document Identifier 447]
6. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI [Document Identifier 448]
7. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) [Document Identifier 449]



SCHEDULE A - VERIFICATION

Real Estate

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Current year change in encumbrances		
4. Total gain (loss) on disposals		
5. Deduct amounts received on disposals		
6. Total foreign exchange change in book/adjusted carrying		
7. Deduct current year's other than temporary impairment recognized		
8. Deduct current year's depreciation		
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8)		
10. Deduct total nonadmitted amounts		
11. Statement value at end of current period (Line 9 minus Line 10)		

SCHEDULE B - VERIFICATION

Mortgage Loans

	1	2
	Year to Date	Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and mortgage interest points and commitment fees		
9. Total foreign exchange change in book value/recorded investment excluding accrued interest		
10. Deduct current year's other than temporary impairment recognized		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		
12. Total valuation allowance		
13. Subtotal (Line 11 plus Line 12)		
14. Deduct total nonadmitted amounts		
15. Statement value at end of current period (Line 13 minus Line 14)		

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and depreciation		
9. Total foreign exchange change in book/adjusted carrying value		
10. Deduct current year's other than temporary impairment recognized		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		
12. Deduct total nonadmitted amounts		
13. Statement value at end of current period (Line 11 minus Line 12)		

SCHEDULE D - VERIFICATION

Bonds and Stocks

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	1,129,945,734	1,145,368,461
2. Cost of bonds and stocks acquired	280,563,490	212,485,798
3. Accrual of discount	708,623	1,351,798
4. Unrealized valuation increase (decrease)	(126)	365,638
5. Total gain (loss) on disposals	(546,241)	2,245,276
6. Deduct consideration for bonds and stocks disposed of	170,797,411	231,008,368
7. Deduct amortization of premium	1,130,675	1,197,043
8. Total foreign exchange change in book/adjusted carrying value	0	0
9. Deduct current year's other than temporary impairment recognized	217,900	46,373
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees	455,665	380,547
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10)	1,238,981,159	1,129,945,734
12. Deduct total nonadmitted amounts	0	0
13. Statement value at end of current period (Line 11 minus Line 12)	1,238,981,159	1,129,945,734

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a)	599,993,648	32,489,013	20,332,781	(1,242,632)	634,507,629	599,993,648	610,907,248	611,564,163
2. NAIC 2 (a)	542,977,108	70,597,793	18,444,568	585,472	532,281,938	542,977,108	595,715,805	495,576,302
3. NAIC 3 (a)	25,923,403	1,000,000	763,359	501,128	23,565,936	25,923,403	26,661,172	16,755,727
4. NAIC 4 (a)	5,950,225	500,000	752,332	(975)	5,950,804	5,950,225	5,696,918	5,977,550
5. NAIC 5 (a)	2	0	0	0	2	2	2	71,866
6. NAIC 6 (a)	0	0	0	0	0	0	0	0
7. Total Bonds	1,174,844,386	104,586,806	40,293,040	(157,007)	1,196,306,309	1,174,844,386	1,238,981,145	1,129,945,608
PREFERRED STOCK								
8. NAIC 1	0	0	0	0	0	0	0	0
9. NAIC 2	0	0	0	0	0	0	0	0
10. NAIC 3	0	0	0	0	0	0	0	0
11. NAIC 4	0	0	0	0	0	0	0	0
12. NAIC 5	0	0	0	0	0	0	0	0
13. NAIC 6	0	0	0	0	0	0	0	0
14. Total Preferred Stock	0	0	0	0	0	0	0	0
15. Total Bonds and Preferred Stock	1,174,844,386	104,586,806	40,293,040	(157,007)	1,196,306,309	1,174,844,386	1,238,981,145	1,129,945,608

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:
NAIC 1 \$0 ; NAIC 2 \$0 ; NAIC 3 \$0 NAIC 4 \$0 ; NAIC 5 \$0 ; NAIC 6 \$0

Schedule DA - Part 1 - Short-Term Investments

N O N E

Schedule DA - Verification - Short-Term Investments

N O N E

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards

N O N E

Schedule DB - Part B - Verification - Futures Contracts

N O N E

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

N O N E

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

N O N E

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of
Derivatives

N O N E

SCHEDULE E - PART 2 - VERIFICATION

(Cash Equivalents)

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	140,450,816	134,960,183
2. Cost of cash equivalents acquired	555,090,505	509,627,738
3. Accrual of discount	0	0
4. Unrealized valuation increase (decrease)	0	0
5. Total gain (loss) on disposals	0	0
6. Deduct consideration received on disposals	485,324,793	504,137,105
7. Deduct amortization of premium	0	0
8. Total foreign exchange change in book/adjusted carrying value	0	0
9. Deduct current year's other than temporary impairment recognized	0	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	210,216,528	140,450,816
11. Deduct total nonadmitted amounts	0	0
12. Statement value at end of current period (Line 10 minus Line 11)	210,216,528	140,450,816

Schedule A - Part 2 - Real Estate Acquired and Additions Made

N O N E

Schedule A - Part 3 - Real Estate Disposed

N O N E

Schedule B - Part 2 - Mortgage Loans Acquired and Additions Made

N O N E

Schedule B - Part 3 - Mortgage Loans Disposed, Transferred or Repaid

N O N E

Schedule BA - Part 2 - Other Long-Term Invested Assets Acquired and Additions Made

N O N E

Schedule BA - Part 3 - Other Long-Term Invested Assets Disposed, Transferred or Repaid

N O N E

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE JACKSON NATIONAL LIFE INSURANCE CO. OF NEW YORK

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation and Administrative Symbol
008513-AA-1	AGREE		.08/12/2020	WELLS FARGO		999,270	1,000,000	.0	2FE
02209S-BJ-1	ALTRIA GROUP		.09/15/2020	JP MORGAN		3,324,210	3,000,000	37,117	2FE
04010L-AZ-6	ARES CAPITAL		.09/16/2020	BANK OF AMERICA		3,043,380	3,000,000	21,958	2FE
05526D-BR-5	BAT CAPITAL		.09/22/2020	DEUTSCHE BANK		1,000,000	1,000,000	.0	2FE
05526Q-AG-1	BAMLL 2015-200P C		.07/27/2020	BANK OF AMERICA		1,061,953	1,000,000	2,890	1FE
161175-BJ-2	CHARTER COMMUNICATIONS		.07/21/2020	BARCLAYS CAPITAL		1,114,740	1,000,000	16,458	2FE
161175-BJ-2	CHARTER COMMUNICATIONS		.09/15/2020	CREDIT SUISSE		3,348,900	3,000,000	10,000	2FE
17328C-AD-4	CGCMT 2019-CT A4		.09/14/2020	BARCLAYS CAPITAL		5,674,219	5,000,000	6,463	1FE
24703D-BA-8	DELL INTERNATIONAL		.09/16/2020	CREDIT SUISSE		2,329,532	1,996,000	49,074	2FE
24703D-BA-8	DELL INTERNATIONAL		.09/16/2020	BANK OF AMERICA		1,172,692	1,004,000	24,684	2FE
25470D-BJ-7	DISCOVERY COMMUNICATIONS		.09/29/2020	TRUIST		3,339,840	3,000,000	40,177	2FE
30244S-AE-1	FLIR SYSTEMS		.07/20/2020	BANK OF AMERICA		998,070	1,000,000	.0	2FE
31620R-AK-1	FIDELITY NATIONAL TITLE		.09/10/2020	BANK OF AMERICA		3,985,680	4,000,000	.0	2FE
32055R-C*-6	FIRST INDUSTRIAL		.07/01/2020	WELLS FARGO		3,000,000	3,000,000	.0	2Z
34490B-AC-8	NATIONAL FOOTBALL LEAGUE		.07/17/2020	BANK OF AMERICA		3,000,000	3,000,000	.0	1Z
35805B-AB-4	FRESENTIUS MED CARE III		.09/09/2020	JP MORGAN		1,993,980	2,000,000	.0	2FE
37045X-BG-0	GENERAL MOTORS FINANCIAL		.09/28/2020	BANK OF AMERICA		2,255,160	2,000,000	8,458	2FE
410867-AG-0	HANOVER INSURANCE GROUP		.08/19/2020	MORGAN STANLEY		499,340	500,000	.0	2FE
431282-AT-9	HIGHWOODS REALTY		.09/17/2020	BARCLAYS CAPITAL		3,328,566	3,320,000	9,112	2FE
44891A-BP-1	HYUNDAI CAPITAL		.09/15/2020	BNP PARIBAS		5,998,380	6,000,000	.0	2FE
47233J-DX-3	JEFFERIES GROUP		.09/30/2020	JEFFERIES & COMPANY		2,474,200	2,500,000	.0	2Z
488401-AC-4	KEMPER CORP		.09/22/2020	BANK OF AMERICA		2,995,770	3,000,000	.0	2FE
53948K-AA-7	LPSLT 2020-2Gf A		.07/16/2020	BARCLAYS CAPITAL		2,998,736	3,000,000	.0	1FE
53948K-AA-7	LPSLT 2020-2Gf A		.09/10/2020	BARCLAYS CAPITAL		2,017,355	1,966,128	3,605	1FE
563136-AG-5	OMIV 2020-OMIV C		.08/17/2020	DEUTSCHE BANK		1,475,645	1,500,000	2,714	1FE
61945L-AA-1	MSAIC 2019-2A A		.07/28/2020	GOLDMAN SACHS		754,026	723,286	579	1FE
61945L-AA-1	MSAIC 2019-2A A		.09/17/2020	DEUTSCHE BANK		3,357,082	3,175,367	254	1FE
62927H-AS-5	NATIONAL FOOTBALL LEAGUE G-4		.08/18/2020	BANK OF AMERICA		4,000,000	4,000,000	.0	1FE
62942Q-CT-8	NRART 2020-T1 CT1		.08/18/2020	BARCLAYS CAPITAL		749,999	750,000	.0	1FE
62942Q-CU-5	NRART 2020-T1 DT1		.08/18/2020	BARCLAYS CAPITAL		1,249,999	1,250,000	.0	2FE
62942Q-CZ-4	NRART NRART 2020-T2		.09/10/2020	BARCLAYS CAPITAL		2,499,995	2,500,000	.0	2FE
65409Q-BF-8	NIELSEN FINANCE		.09/10/2020	JP MORGAN		500,000	500,000	.0	4FE
67079B-AD-2	NUTRITION & BIOSCIENCES		.09/09/2020	MORGAN STANLEY		2,999,940	3,000,000	.0	2FE
67575N-BY-8	OMART 2020-T1 CT1		.08/12/2020	BARCLAYS CAPITAL		1,399,998	1,400,000	.0	1FE
67575N-BZ-5	OMART 2020-T1 DT1		.08/12/2020	BARCLAYS CAPITAL		499,999	500,000	.0	2FE
74166W-AF-3	PRIME SECSRVC BRW		.08/06/2020	DEUTSCHE BANK		500,000	500,000	.0	3FE
74762E-AF-9	QUANTA SERVICES INC		.09/17/2020	BANK OF AMERICA		1,993,260	2,000,000	.0	2FE
75886F-AF-4	REGENERON PHARMACEUTICAL		.08/07/2020	GOLDMAN SACHS		997,150	1,000,000	.0	2FE
759509-AG-7	RELIANCE STEEL AND ALUMINUM		.07/28/2020	JP MORGAN		1,495,830	1,500,000	.0	2FE
871829-BK-2	SYSCO		.07/17/2020	WELLS FARGO		1,007,260	1,000,000	10,533	2FE
87612B-BR-2	TARGA RESOURCES PARTNERS		.08/11/2020	WELLS FARGO		500,000	500,000	.0	3FE
913903-AV-2	UNIVERSAL HEALTH SVCS		.09/10/2020	JP MORGAN		498,590	500,000	.0	2FE
92203H-AU-2	VANGUARD VANGUARD		.07/30/2020	JP MORGAN		3,000,000	3,000,000	.0	1Z
98655*-AA-2	TPG PARTNER		.08/28/2020	GOLDMAN SACHS		3,000,000	3,000,000	.0	1Z
06738E-BM-6	BARCLAYS	C	.09/16/2020	BNP PARIBAS		2,022,340	2,000,000	12,343	2FE
225401-AP-3	CREDIT SUISSE	C	.09/28/2020	MORGAN STANLEY		2,316,520	2,000,000	41,707	2FE
78081B-AD-5	ROYALTY PHARMA	C	.08/24/2020	BANK OF AMERICA		1,955,200	2,000,000	.0	2FE
66363H-AQ-0	NAC AVIATION	C	.07/22/2020	Tax Free Exchange		3,860,000	5,000,000	100,833	2Z
8399999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						104,586,806	102,584,781	398,959	XXX
8399997. Total - Bonds - Part 3						104,586,806	102,584,781	398,959	XXX
8399998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX
8399999. Total - Bonds						104,586,806	102,584,781	398,959	XXX
8999997. Total - Preferred Stocks - Part 3						0	XXX	0	XXX
8999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX
8999999. Total - Preferred Stocks						0	XXX	0	XXX
9799997. Total - Common Stocks - Part 3						0	XXX	0	XXX
9799998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX
9799999. Total - Common Stocks						0	XXX	0	XXX

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation and Administrative Symbol
9899999. Total - Preferred and Common Stocks						0	XXX	0	XXX
9999999 - Totals						104,586,806	XXX	398,959	XXX

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE JACKSON NATIONAL LIFE INSURANCE CO. OF NEW YORK

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Ident-ification	Description	For- eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid- eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization)/ Accretion	Current Year's Other Than Temporary Impairment Recog- nized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con- tractual Maturity Date	NAIC Desig- nation and Admini- strative Symbol
36202E-BD-0	GINNIE MAE II POOL 3636		09/01/2020	Paydown		2,244	2,244	2,258	2,255	.0	(11)	.0	(11)	.0	2,244	.0	.0	.0	.73	05/01/2033	1
36202S-XJ-2	GINNIE MAE I POOL 608281		09/01/2020	Paydown		1,893	1,893	1,884	1,884	.0	.8	.0	.8	.0	1,893	.0	.0	.0	.57	04/01/2032	1
36211N-R7-5	GINNIE MAE I POOL 518110		09/01/2020	Paydown		156	156	156	156	.0	.1	.0	.1	.0	156	.0	.0	.0	.8	05/01/2028	1
36290W-K9-2	GINNIE MAE I POOL 619620		09/01/2020	Paydown		1,018	1,018	1,009	1,009	.0	.9	.0	.9	.0	1,018	.0	.0	.0	.34	10/01/2032	1
36291A-R9-2	GINNIE MAE I POOL 622512		09/01/2020	Paydown		265	265	263	263	.0	.1	.0	.1	.0	265	.0	.0	.0	.9	07/01/2032	1
36292L-CK-8	GINNIE MAE I POOL 651774		09/01/2020	Paydown		6,874	6,874	6,846	6,848	.0	25	.0	25	.0	6,874	.0	.0	.0	280	01/01/2035	1
0599999	Subtotal - Bonds - U.S. Governments					12,450	12,450	12,416	12,415	0	33	0	33	0	12,450	0	0	0	461	XXX	XXX
96928*-DC-8	GSA HENDERSON NEVADA		09/15/2020	Redemption		30,798	30,798	31,131	30,993	.0	(195)	.0	(195)	.0	30,798	.0	.0	.0	1,093	09/15/2031	1
1799999	Subtotal - Bonds - U.S. States, Territories and Possessions					30,798	30,798	31,131	30,993	0	(195)	0	(195)	0	30,798	0	0	0	1,093	XXX	XXX
3128M6-QV-3	FREDDIE MAC GOLD POOL 604668		09/01/2020	Paydown		4,678	4,678	4,616	4,616	.0	.62	.0	.62	.0	4,678	.0	.0	.0	.61	02/01/2037	1
3128M7-MV-5	FREDDIE MAC GOLD POOL 605472		09/01/2020	Paydown		21,853	21,853	22,044	22,044	.0	(191)	.0	(191)	.0	21,853	.0	.0	.0	.681	05/01/2038	1
31293A-NK-4	FREDDIE MAC GOLD POOL A87594		09/01/2020	Paydown		104,305	104,305	105,120	104,922	.0	(617)	.0	(617)	.0	104,305	.0	.0	.0	3,113	01/01/2038	1
3136AD-YK-9	FANNIE MAE REMICS 2013-27 UL		09/01/2020	Paydown		1,393,053	1,393,053	1,387,394	1,389,080	.0	3,973	.0	3,973	.0	1,393,053	.0	.0	.0	26,341	11/01/2020	1
3137A3-RB-4	FREDDIE MAC REMICS 3762 WP		09/01/2020	Paydown		300,769	300,769	288,797	297,040	.0	3,729	.0	3,729	.0	300,769	.0	.0	.0	7,811	12/15/2039	1
3199999	Subtotal - Bonds - U.S. Special Revenues					1,824,658	1,824,658	1,807,971	1,817,702	0	6,956	0	6,956	0	1,824,658	0	0	0	38,107	XXX	XXX
00436M-AA-3	AALLC 2018-1 A		09/02/2020	Paydown		39,773	39,773	39,763	39,763	.0	.9	.0	.9	.0	39,773	.0	.0	.0	1,024	12/02/2033	1FE
00436M-AB-1	AALLC 2018-1 B		09/02/2020	Paydown		39,774	39,774	39,765	39,766	.0	.7	.0	.7	.0	39,774	.0	.0	.0	1,193	12/02/2033	2FE
00774C-AB-3	AECOM		07/21/2020	Call		500,000	500,000	518,750	514,812	.0	(1,013)	.0	(1,013)	.0	513,798	.0	(13,798)	(13,798)	21,781	03/15/2027	3FE
01309Z-AC-5	ALBERTSONS COS		08/12/2020	CITICORP		264,375	250,000	252,500	.0	.0	(168)	.0	(168)	.0	252,332	.0	12,043	12,043	8,415	01/15/2027	4FE
03065M-AG-2	AMCAR 2015-4 D		08/08/2020	Paydown		775,778	775,778	775,665	775,767	.0	.11	.0	.11	.0	775,778	.0	.0	.0	19,002	12/08/2021	1FE
04249B-AD-3	ARMY & AIR FORCE EXCHANGE SERVICES		09/15/2020	Redemption		38,336	38,336	38,336	38,336	.0	.0	.0	.0	.0	38,336	.0	.0	.0	1,266	10/15/2024	1
04542B-MS-8	BAFC 2005-AQ1 A4		09/01/2020	Paydown		63,584	63,584	63,567	63,567	.0	.16	.0	.16	.0	63,584	.0	.0	.0	1,941	01/25/2034	1FM
05588U-AA-0	HGVGI 2019-A A		09/25/2020	Paydown		58,883	58,883	58,868	58,868	.0	.15	.0	.15	.0	58,883	.0	.0	.0	1,308	09/26/2033	1FE
05588U-AB-8	HGVGI 2019-A B		09/25/2020	Paydown		29,442	29,442	29,441	29,441	.0	.1	.0	.1	.0	29,442	.0	.0	.0	754	09/26/2033	2FE
05606U-AB-6	BXGNT 2012-A B		09/02/2020	Paydown		12,602	12,602	12,578	12,595	.0	.7	.0	.7	.0	12,602	.0	.0	.0	335	12/02/2027	2FE
05606V-AA-6	BXGNT 2013-A A		09/02/2020	Paydown		38,606	38,606	38,598	38,598	.0	.8	.0	.8	.0	38,606	.0	.0	.0	777	12/04/2028	1FE
05607B-AB-7	BXGNT 2017-A B		09/02/2020	Paydown		26,074	26,074	26,072	26,073	.0	.1	.0	.1	.0	26,074	.0	.0	.0	628	10/04/2032	2FE
05607Q-AN-8	BX 2020-BXLP C		07/15/2020	Paydown		914	914	914	.0	.0	.0	.0	.0	.0	914	.0	.0	.0	.8	12/15/2036	1FE
058933-AQ-5	BAFC 2006-D 5A3		09/01/2020	Paydown		72,503	72,607	64,310	66,302	.0	6,201	.0	6,201	.0	72,503	.0	.0	.0	1,850	05/20/2036	1FM
08866*-AA-4	SYSCO DANVILLE ILLINOIS		08/15/2020	Redemption		558,462	558,462	558,462	558,462	.0	.0	.0	.0	.0	558,462	.0	.0	.0	63,958	04/15/2023	2
12429T-AD-6	BWAY HOLDING		09/11/2020	BARCLAYS CAPITAL		503,750	500,000	500,000	500,000	.0	.0	.0	.0	.0	500,000	.0	3,750	3,750	25,208	04/15/2024	4FE
12622D-AC-8	COMM 2010-C1 A3		09/01/2020	Paydown		139,246	139,246	143,422	139,347	.0	(101)	.0	(101)	.0	139,246	.0	.0	.0	4,355	07/10/2046	1FM
12652C-AC-3	CSMC 2017-HL2 A3		09/01/2020	Paydown		196,110	196,110	196,447	196,185	.0	(75)	.0	(75)	.0	196,110	.0	.0	.0	4,568	10/25/2047	1FM
12666P-AE-4	CIVL 2006-10 1AF5		09/01/2020	Paydown		.0	(4,069)	(2,322)	(2,474)	.0	2,474	.0	2,474	.0	.0	.0	.0	.0	105	09/25/2046	1FM
12667B-AA-5	CVS PASS THRU TRUST		09/10/2020	Redemption		55,156	55,156	55,156	55,156	.0	.0	.0	.0	.0	55,156	.0	.0	.0	2,704	07/10/2030	2
12667F-W5-0	CIVALT 2005-SR A4		07/01/2020	Paydown		21,363	21,363	21,295	21,363	.0	.0	.0	.0	.0	21,363	.0	.0	.0	623	03/31/2030	1FM
12669F-VS-9	CIVIL 2004-5 1A5		09/01/2020	Paydown		14,908	14,908	13,937	14,492	.0	417	.0	417	.0	14,908	.0	.0	.0	546	06/25/2034	1FM
161175-AY-0	CHARTER COMMUNICATIONS		07/21/2020	BARCLAYS CAPITAL		1,159,240	1,000,000	1,000,000	1,000,000	.0	.0	.0	.0	.0	1,000,000	.0	159,240	159,240	49,080	07/23/2025	2FE
19687X-AA-5	COLT 2019-A A1		09/01/2020	Paydown		209,918	209,918	209,915	209,915	.0	.3	.0	.3	.0	209,918	.0	.0	.0	5,207	03/25/2049	1FM
20279S-HV-5	COMMONWEALTH EDISON		08/01/2020	Maturity		2,000,000	2,000,000	1,999,520	1,999,911	.0	.89	.0	.89	.0	2,000,000	.0	.0	.0	80,000	08/01/2020	1FE
20605P-AG-6	CONCHO RESOURCES		09/09/2020	Call		516,405	500,000	500,000	500,000	.0	.0	.0	.0	.0	500,000	.0	.0	.0	41,561	01/15/2025	2FE
22541S-Z4-3	CSFB 2004-8 CB2		09/01/2020	Paydown		5,261	5,682	2,305	.0	.0	5,261	.0	5,261	.0	5,261	.0	.0	.0	188	12/25/2034	1FM
22822T-BE-3	CROWN CASTLE INTERNATIONAL		07/06/2020	DIRECT		500,000	500,000	497,500	499,146	.0	175	.0	175	.0	499,321	.0	679	679	54,667	04/15/2022	2FE
233050-AC-7	DBUS 2011-LC1A A3		09/01/2020	Paydown		337,544	337,544	340,898	337,552	.0	(8)	.0	(8)	.0	337,544	.0	.0	.0	10,526	11/10/2046	1FM
28415P-AA-2	EHGVT 2016-A A		09/25/2020	Paydown		47,031	47,031	47,031	47,031</												

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE JACKSON NATIONAL LIFE INSURANCE CO. OF NEW YORK

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Ident-ification	Description	For-ign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid-eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization)/ Accretion	Current Year's Other Than Temporary Impairment Recogn-ized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con-tractual Maturity Date	NAIC Desig-nation and Admini-strative Symbol
38218D-AA-7	GOODG 2019-1A		09/15/2020	Redemption		97,026	97,026	96,996	96,996	.0	29	.0	29	.0	97,026	.0	.0	.0	1,915	10/15/2054	1FE
38218G-AA-0	GOODG 2018-1A A		09/15/2020	Redemption		53,749	53,749	53,744	53,741	.0	.8	.0	.8	.0	53,749	.0	.0	.0	1,093	10/15/2030	1FE
38218Q-AA-8	GOODG 2017-2A A		09/15/2020	Redemption		67,509	67,509	67,502	67,499	.0	.10	.0	.10	.0	67,509	.0	.0	.0	1,135	10/15/2045	1FE
40417Q-AA-3	HERO 2016-4A A1		09/20/2020	Redemption		52,527	52,527	52,506	52,512	.0	.15	.0	.15	.0	52,527	.0	.0	.0	1,676	09/20/2037	1FE
42770U-AA-1	HERO 2015-2A A		09/20/2020	Paydown		73,359	73,359	73,351	73,353	.0	.6	.0	.6	.0	73,359	.0	.0	.0	3,050	09/20/2040	1FE
42770U-AA-1	HERO 2015-2A A		08/20/2020	Redemption		19,633	19,633	19,631	19,632	.0	.2	.0	.2	.0	19,633	.0	.0	.0	409	09/20/2040	1FE
42770W-AA-7	HERO 2016-2A A		09/20/2020	Paydown		99,310	99,310	99,278	99,274	.0	.36	.0	.36	.0	99,310	.0	.0	.0	7,196	09/20/2041	1FE
42770W-AA-7	HERO 2016-2A A		08/20/2020	Redemption		35,538	35,538	35,525	35,525	.0	.13	.0	.13	.0	35,538	.0	.0	.0	1,904	09/20/2041	1FE
42770X-AA-5	HERO 2016-3A A1		09/20/2020	Redemption		162,726	162,726	162,713	162,740	.0	(14)	.0	(14)	.0	162,726	.0	.0	.0	4,498	09/20/2036	1FE
42771T-AA-3	HERO 2015-3A A		09/20/2020	Paydown		107,319	107,319	107,312	107,303	.0	.16	.0	.16	.0	107,319	.0	.0	.0	4,756	09/20/2041	1FE
42771T-AA-3	HERO 2015-3A A		08/20/2020	Redemption		36,693	36,693	36,691	36,688	.0	.6	.0	.6	.0	36,693	.0	.0	.0	804	09/20/2041	1FE
42772B-AA-1	HERO 2020-1A A		09/20/2020	Redemption		32,239	32,239	32,234	.0	.0	.6	.0	.6	.0	32,239	.0	.0	.0	13,387	05/20/2029	1FE
460146-CJ-0	INTERNATIONAL PAPER		09/23/2020	Call		1,081,660	1,000,000	996,260	998,155	.0	251	.0	251	.0	998,406	.0	1,594	1,594	139,846	06/15/2024	2FE
46616M-AA-8	HENDR 2010-3A A		09/15/2020	Paydown		38,657	38,657	38,649	38,653	.0	.4	.0	.4	.0	38,657	.0	.0	.0	984	12/15/2048	1FE
46616M-AB-6	HENDR 2010-3A B		09/15/2020	Paydown		19,896	19,896	19,896	19,896	.0	.0	.0	.0	.0	19,896	.0	.0	.0	909	12/15/2050	1FE
46616Y-AA-2	HENDR 2012-2A A		09/15/2020	Paydown		11,065	11,065	11,061	11,062	.0	.3	.0	.3	.0	11,065	.0	.0	.0	280	10/15/2059	1FE
46617A-AA-3	HENDR 2012-3A A		09/15/2020	Paydown		24,869	24,869	24,852	24,864	.0	.5	.0	.5	.0	24,869	.0	.0	.0	536	09/15/2065	1FE
46617L-AA-9	HENDR 2013-3A A		09/15/2020	Paydown		14,769	14,769	14,757	14,768	.0	.0	.0	.0	.0	14,769	.0	.0	.0	395	01/17/2073	1FE
466313-AF-0	JABIL CIRCUIT		08/06/2020	DIRECT		500,000	500,000	505,000	500,587	.0	(434)	.0	(434)	.0	500,154	.0	(154)	(154)	27,137	12/15/2020	2FE
46649Y-AC-9	JPMIT 2018-9 A3		09/01/2020	Paydown		219,427	219,427	220,422	220,221	.0	(793)	.0	(793)	.0	219,427	.0	.0	.0	5,786	02/25/2049	1FM
46650H-AD-0	JPMIT 2019-1 A4		09/01/2020	Paydown		359,091	359,091	361,728	360,183	.0	(1,092)	.0	(1,092)	.0	359,091	.0	.0	.0	9,342	05/25/2049	1FM
513272-AB-0	LAMB WESTON HOLDINGS		08/12/2020	US BANCORP		261,675	250,000	249,375	249,527	.0	34	.0	34	.0	249,561	.0	12,114	12,114	9,581	11/01/2026	3FE
53948K-AA-7	LPSTL 2020-26F A		09/20/2020	Paydown		118,674	118,674	119,342	.0	(669)	.0	(669)	.0	.0	118,674	.0	.0	.0	358	07/20/2047	1FE
548661-DP-9	LOWES		08/05/2020	MARKET AXESS		565,475	500,000	499,230	499,399	.0	.41	.0	.41	.0	499,440	.0	66,035	66,035	11,797	05/03/2027	2FE
55388P-AB-6	MVIOT 2015-1A B		09/20/2020	Paydown		15,795	15,795	15,792	15,794	.0	.2	.0	.2	.0	15,795	.0	.0	.0	313	12/20/2032	2FE
553891-AA-0	MVIOT 2014-1A A		09/20/2020	Paydown		34,297	34,297	34,288	34,294	.0	.4	.0	.4	.0	34,297	.0	.0	.0	516	09/22/2031	1FE
553891-AB-8	MVIOT 2014-1A B		09/20/2020	Paydown		51,446	51,446	51,439	51,443	.0	.3	.0	.3	.0	51,446	.0	.0	.0	928	09/22/2031	2FE
553893-AA-6	MVIOT 2013-1A A		08/20/2020	Paydown		75,735	75,735	75,732	75,733	.0	.3	.0	.3	.0	75,735	.0	.0	.0	1,155	04/22/2030	1FE
55400D-AC-5	MVIOT 2019-2A C		09/20/2020	Paydown		83,876	83,876	83,849	83,849	.0	.27	.0	.27	.0	83,876	.0	.0	.0	1,490	10/20/2038	2FE
57643L-LC-8	MABS 2005-AB1 A4		09/01/2020	Paydown		208,804	208,804	208,792	208,804	.0	.0	.0	.0	.0	208,804	.0	.0	.0	5,129	11/25/2035	1FM
59982V-AA-7	MCSLT 2019-26S A		09/20/2020	Paydown		58,448	58,448	58,444	58,444	.0	.4	.0	.4	.0	58,448	.0	.0	.0	1,448	07/20/2043	1FE
61690F-AM-5	MSBAM 2015-C22 A4		09/14/2020	CITICORP		3,273,984	3,000,000	3,039,883	3,020,992	.0	(3,134)	.0	(3,134)	.0	3,017,859	.0	256,123	256,123	78,518	04/15/2048	1FM
61690Q-AD-1	MSBAM 2015-C23 A3		09/01/2020	Paydown		81,790	81,790	82,603	82,205	.0	(415)	.0	(415)	.0	81,790	.0	.0	.0	2,117	07/15/2050	1FM
61745B-AG-9	MSC 2011-C1 A4		09/01/2020	Paydown		246,977	246,977	253,809	247,095	.0	(118)	.0	(118)	.0	246,977	.0	.0	.0	9,078	09/15/2047	1FM
61945L-AA-1	MSAIC 2019-2A A		09/20/2020	Paydown		219,084	219,084	224,454	.0	(5,370)	.0	(5,370)	.0	.0	219,084	.0	.0	.0	2,502	09/20/2040	1FE
61946C-AA-0	MSAIC 2019-1A A		09/20/2020	Paydown		144,343	144,343	144,295	144,295	.0	.48	.0	.48	.0	144,343	.0	.0	.0	4,192	12/21/2043	1FE
61946F-AA-3	MSAIC 2018-1A A		09/20/2020	Paydown		118,086	118,086	118,079	118,080	.0	.6	.0	.6	.0	118,086	.0	.0	.0	3,145	06/22/2043	1FE
61946G-AA-1	MSAIC 2017-2A A		09/20/2020	Paydown		59,030	59,030	59,022	59,022	.0	.8	.0	.8	.0	59,030	.0	.0	.0	1,503	06/22/2043	1FE
62942Q-BQ-5	NRART 2019-T1 AT1		08/25/2020	Paydown		1,000,000	1,000,000	999,888	999,901	.0	.99	.0	.99	.0	1,000,000	.0	.0	.0	17,986	07/15/2052	1FE
62942Q-BR-3	NRART 2019-T1 BT1		08/25/2020	Paydown		1,000,000	1,000,000	999,968	999,973	.0	.27	.0	.27	.0	1,000,000	.0	.0	.0	19,722	07/15/2052	1FE
62942Q-BS-1	NRART 2019-T1 CT1		08/25/2020	Paydown		1,000,000	1,000,000	999,718	999,758	.0	.242	.0	.242	.0	1,000,000	.0	.0	.0	20,347	07/15/2052	1FE
651639-AY-2	NEWMONT MINING		08/13/2020	GOLDMAN SACHS		1,051,370	1,000,000	991,080	.0	.0	.301	.0	.301	.0	991,381	.0	59,989	59,989	9,313	10/01/2030	2FE
655663-D*-0	NORDSON		07/26/2020	Redemption		600,000	600,000	600,000	600,000	.0	.0	.0	.0	.0	600,000	.0	.0	.0	18,780	07/26/2024	2
68504L-AA-9	ONGLT 2016-A A		09/08/2020	Paydown		31,487	31,487	31,483	31,485	.0	.2	.0	.2	.0	31,487	.0	.0	.0	554	03/08/2029	1FE
68504L-AB-7	ONGLT 2016-A B		09/08/2020	Paydown		15,743	15,743	1													

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1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Ident-ification	Description	For-eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid-eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization)/ Accretion	Current Year's Other Than Temporary Impairment Recogn-ized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con-tractual Maturity Date	NAIC Desig-nation and Admini-strative Symbol
82653E-AB-3	SRFC 2019-1A B		09/20/2020	Paydown		51,729	51,729	51,717	51,718	.0	.10	.0	.10	.0	51,729	.0	.0	.0	1,191	01/20/2036	1FE
82653E-AC-1	SRFC 2019-1A C		09/20/2020	Paydown		25,864	25,864	25,863	25,863	.0	.1	.0	.1	.0	25,864	.0	.0	.0	656	01/20/2036	2FE
86175E-AA-5	SSCRF 2015-1A A		09/15/2020	Paydown		35,645	35,645	35,623	35,626	.0	.18	.0	.18	.0	35,645	.0	.0	.0	826	12/15/2054	1FE
86361Y-AB-3	SFS 2006-B B		09/15/2020	Paydown		6,094	6,094	6,094	6,094	.0	.0	.0	.0	.0	6,094	.0	.0	.0	257	03/15/2038	1FE
86365F-AA-2	SFS 2010-A A		09/15/2020	Paydown		29,113	29,113	29,113	29,113	.0	.0	.0	.0	.0	29,113	.0	.0	.0	996	01/16/2046	1FE
86365L-AA-9	SFS 2010-B A		09/15/2020	Paydown		34,081	34,081	34,078	34,079	.0	.2	.0	.2	.0	34,081	.0	.0	.0	878	08/15/2036	1FE
86365L-AB-7	SFS 2010-B B		09/15/2020	Paydown		13,024	13,024	13,021	13,022	.0	.2	.0	.2	.0	13,024	.0	.0	.0	725	08/15/2036	1FE
869504-AA-8	SPSS 2011-1A A		09/15/2020	Paydown		47,472	47,472	47,416	47,435	.0	.37	.0	.37	.0	47,472	.0	.0	.0	1,557	11/15/2058	1FE
87613F-AA-0	TARGET SHELBY MICHIGAN		09/15/2020	Redemption		49,975	49,975	53,243	51,242	.0	(1,267)	.0	(1,267)	.0	49,975	.0	.0	.0	2,517	10/15/2027	1
88576X-AA-4	HENDR 2010-1A A		09/15/2020	Paydown		5,670	5,670	5,911	5,803	.0	(133)	.0	(133)	.0	5,670	.0	.0	.0	210	07/15/2059	1FE
90363B-AA-8	USTA NATIONAL TENNIS CENTER		07/08/2020	Redemption		150,565	150,565	150,565	150,565	.0	.0	.0	.0	.0	150,565	.0	.0	.0	4,683	09/08/2024	1FE
91529Y-AH-9	UNUM GROUP		09/15/2020	Maturity		2,500,000	2,500,000	2,580,445	2,506,932	.0	(6,932)	.0	(6,932)	.0	2,500,000	.0	.0	.0	140,625	09/15/2020	2FE
918204-BA-5	VF CORP		08/13/2020	ING FINANCIAL MARKETS		530,465	500,000	499,135	.0	.43	.43	.43	.43	.0	499,178	.0	31,287	31,287	3,800	04/23/2025	1FE
91828B-AC-5	VSTNA 2017-A C		09/20/2020	Paydown		121,281	121,281	121,280	121,280	.0	.0	.0	.0	.0	121,281	.0	.0	.0	2,363	03/20/2035	2FE
918290-AA-5	VSTNA 2016-A A		09/01/2020	Paydown		51,281	51,281	51,276	51,276	.0	.5	.0	.5	.0	51,281	.0	.0	.0	868	07/20/2033	1FE
918290-AB-3	VSTNA 2016-A B		09/01/2020	Paydown		38,470	38,464	38,459	38,459	.0	.5	.0	.5	.0	38,464	.0	.0	.0	702	07/20/2033	2FE
92890H-AE-2	WEA FINANCE		09/05/2020	Call		604,000	604,000	601,928	603,642	.0	298	.0	298	.0	603,940	.0	60	60	17,994	10/05/2020	1FE
92922F-VK-1	WAMU 2004-S3 B1		09/01/2020	Paydown		21,216	21,216	21,036	21,072	.0	144	.0	144	.0	21,216	.0	.0	.0	769	07/25/2034	1FM
92935V-AG-3	WFRBS 2011-C3 A4		09/01/2020	Paydown		109,305	109,305	110,393	109,311	.0	(6)	.0	(6)	.0	109,305	.0	.0	.0	3,515	03/15/2044	1FM
93934F-CS-9	WMALT 2005-B 2CB5		09/01/2020	Paydown		71,538	72,445	61,208	46,853	.0	24,685	.0	24,685	.0	71,538	.0	.0	.0	2,457	10/25/2035	1FM
94945B-AA-1	WLKRG 2015-AA A		09/15/2020	Paydown		54,415	54,415	54,411	54,413	.0	.2	.0	.2	.0	54,415	.0	.0	.0	1,026	06/16/2031	1FE
94945B-AB-9	WLKRG 2015-AA B		09/15/2020	Paydown		34,464	34,464	34,458	34,462	.0	.2	.0	.2	.0	34,464	.0	.0	.0	764	06/16/2031	2FE
94946D-AB-7	WLKRG 2019-AA B		09/15/2020	Paydown		37,116	37,116	37,105	37,105	.0	.11	.0	.11	.0	37,116	.0	.0	.0	744	06/15/2038	1FE
95001T-AA-3	WFMSB 2019-1 A1		09/01/2020	Paydown		581,312	581,312	585,036	584,931	.0	(3,618)	.0	(3,618)	.0	581,312	.0	.0	.0	15,293	11/25/2048	1FM
89352H-AT-6	TRANSCANADA PIPELINES		07/06/2020	BARCLAYS CAPITAL		1,773,375	1,500,000	1,688,245	1,617,764	.0	(10,552)	.0	(10,552)	.0	1,617,764	.0	155,611	155,611	71,703	01/15/2026	2FE
59982W-AA-5	MCSLT 2019-1A A	C	09/20/2020	Paydown		135,448	135,448	138,735	45,132	.0	(3,288)	.0	(3,288)	.0	135,448	.0	.0	.0	2,229	03/20/2043	1FE
75405T-AA-7	RAS LAFFAN LIQUEFIED NATURAL GAS II	C	09/30/2020	Redemption		113,000	113,000	113,000	113,000	.0	.0	.0	.0	.0	113,000	.0	.0	.0	5,987	09/30/2020	1FE
66363B-AD-9	INAC AVIATION	C	07/22/2020	Tax Free Exchange		3,860,000	5,000,000	5,000,000	5,000,000	.0	.0	.0	.0	.0	5,000,000	.0	(1,140,000)	(1,140,000)	243,333	02/22/2025	2PL
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						38,154,330	38,301,597	38,608,252	34,838,876	0	(27)	4,212	(4,239)	0	38,425,134	0	(368,877)	(368,877)	1,561,733	XXX	XXX
8399997. Total - Bonds - Part 4						40,022,236	40,169,503	40,459,770	36,699,986	0	6,767	4,212	2,555	0	40,293,040	0	(368,877)	(368,877)	1,601,394	XXX	XXX
8399998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
8399999. Total - Bonds						40,022,236	40,169,503	40,459,770	36,699,986	0	6,767	4,212	2,555	0	40,293,040	0	(368,877)	(368,877)	1,601,394	XXX	XXX
8999997. Total - Preferred Stocks - Part 4						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
8999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
8999999. Total - Preferred Stocks						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
9799997. Total - Common Stocks - Part 4						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
9799998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
9799999. Total - Common Stocks						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
9899999. Total - Preferred and Common Stocks						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
9999999 - Totals						40,022,236	XXX	40,459,770	36,699,986	0	6,767	4,212	2,555	0	40,293,040	0	(368,877)	(368,877)	1,601,394	XXX	XXX

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open
N O N E

Schedule DB - Part B - Section 1 - Futures Contracts Open
N O N E

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made
N O N E

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open
N O N E

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By
N O N E

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To
N O N E

Schedule DB - Part E - Derivatives Hedging Variable Annuity Guarantees
N O N E

SCHEDULE DL - PART 1
SECURITIES LENDING COLLATERAL ASSETS

Reinvested Collateral Assets Owned Current Statement Date
(Securities lending collateral assets reported in aggregate on Line 10 of the Assets page and not included on Schedules A, B, BA, D, DB and E)

1	2	3	4	5	6	7
CUSIP Identification	Description	Code	NAIC Designation and Administrative Symbol	Fair Value	Book/Adjusted Carrying Value	Maturity Date
0599999.	Total - U.S. Government Bonds			0	0	XXX
1099999.	Total - All Other Government Bonds			0	0	XXX
1799999.	Total - U.S. States, Territories and Possessions Bonds			0	0	XXX
2499999.	Total - U.S. Political Subdivisions Bonds			0	0	XXX
3199999.	Total - U.S. Special Revenues Bonds			0	0	XXX
3899999.	Total - Industrial and Miscellaneous (Unaffiliated) Bonds			0	0	XXX
4899999.	Total - Hybrid Securities			0	0	XXX
5599999.	Total - Parent, Subsidiaries and Affiliates Bonds			0	0	XXX
5999999.	Subtotal - SVO Identified Funds			0	0	XXX
6299999.	Subtotal - Unaffiliated Bank Loans			0	0	XXX
6399999.	Total - Issuer Obligations			0	0	XXX
6499999.	Total - Residential Mortgage-Backed Securities			0	0	XXX
6599999.	Total - Commercial Mortgage-Backed Securities			0	0	XXX
6699999.	Total - Other Loan-Backed and Structured Securities			0	0	XXX
6799999.	Total - SVO Identified Funds			0	0	XXX
6899999.	Total - Affiliated Bank Loans			0	0	XXX
6999999.	Total - Unaffiliated Bank Loans			0	0	XXX
7099999.	Total Bonds			0	0	XXX
7399999.	Total - Preferred Stocks (Schedule D, Part 2, Section 1 type)			0	0	XXX
7999999.	Total - Common Stocks (Schedule D, Part 2, Section 2 type)			0	0	XXX
8099999.	Total - Preferred and Common Stocks			0	0	XXX
9999999.	Totals			0	0	XXX

General Interrogatories:
1. Total activity for the year Fair Value \$(255,000) Book/Adjusted Carrying Value \$(255,000)
2. Average balance for the year Fair Value \$442,761 Book/Adjusted Carrying Value \$442,761
3. Reinvested securities lending collateral assets book/adjusted carrying value included in this schedule by NAIC designation:
NAIC 1 \$0 NAIC 2 \$0 NAIC 3 \$0 NAIC 4 \$0 NAIC 5 \$0 NAIC 6 \$0

SCHEDULE E - PART 1 - CASH

Month End Depository Balances

1	2	3	4	5	Book Balance at End of Each Month During Current Quarter			9
					6	7	8	
					First Month	Second Month	Third Month	
Depository	Code	Rate of Interest	Amount of Interest Received During Current Quarter	Amount of Interest Accrued at Current Statement Date				*
Northern Trust Chicago, IL		0.000	0	0	(17,541,899)	(14,616,601)	(15,626,287)	XXX
The Bank of New York Mellon ... New York, NY		0.000	0	0	(1)	(1)	(1)	XXX
The Bank of New York Mellon ... New York, NY		0.010	103	1	0	0	0	XXX
Bank of America Troy, MI		0.000	0	0	(136,251)	(366,414)	46,670	XXX
Wells Fargo Sioux Falls, SD		0.000	0	0	1,547,907	8,084,603	1,235,961	XXX
0199998. Deposits in ... 0 depositories that do not exceed the allowable limit in any one depository (See instructions) - Open Depositories	XXX	XXX	0	0	0	0	0	XXX
0199999. Totals - Open Depositories	XXX	XXX	103	1	(16,130,244)	(6,898,413)	(14,343,657)	XXX
0299998. Deposits in ... 0 depositories that do not exceed the allowable limit in any one depository (See instructions) - Suspended Depositories	XXX	XXX	0	0	0	0	0	XXX
0299999. Totals - Suspended Depositories	XXX	XXX	0	0	0	0	0	XXX
0399999. Total Cash on Deposit	XXX	XXX	103	1	(16,130,244)	(6,898,413)	(14,343,657)	XXX
0499999. Cash in Company's Office	XXX	XXX	XXX	XXX	0	0	0	XXX
0599999. Total - Cash	XXX	XXX	103	1	(16,130,244)	(6,898,413)	(14,343,657)	XXX

SCHEDULE E - PART 2 - CASH EQUIVALENTS

[illegible]