

QUARTERLY STATEMENT

OF THE

JACKSON NATIONAL LIFE INSURANCE CO. OF NEW YORK

TO THE

Insurance Department

OF THE

STATE OF

New York

**FOR THE QUARTER ENDED
MARCH 31, 2021**

☒ **LIFE, ACCIDENT AND HEALTH**

☐ **FRATERNAL BENEFIT SOCIETIES**

2021



LIFE, ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES - ASSOCIATION EDITION

QUARTERLY STATEMENT

AS OF MARCH 31, 2021

OF THE CONDITION AND AFFAIRS OF THE

Jackson National Life Insurance Company of New York

NAIC Group Code 0918 (Current) 0918 (Prior) NAIC Company Code 60140 Employer's ID Number 13-3873709

Organized under the Laws of New York, State of Domicile or Port of Entry NY

Country of Domicile United States of America

Licensed as business type: Life, Accident and Health [X] Fraternal Benefit Societies []

Incorporated/Organized 07/11/1995 Commenced Business 08/16/1996

Statutory Home Office 2900 Westchester Avenue, Suite 305 (Street and Number) Purchase, NY, US 10577 (City or Town, State, Country and Zip Code)

Main Administrative Office 1 Corporate Way (Street and Number) Lansing, MI, US 48951 (City or Town, State, Country and Zip Code) 517-381-5500 (Area Code) (Telephone Number)

Mail Address 2900 Westchester Avenue, Suite 305 (Street and Number or P.O. Box) Purchase, NY, US 10577 (City or Town, State, Country and Zip Code)

Primary Location of Books and Records 2900 Westchester Avenue, Suite 305 (Street and Number) Purchase, NY, US 10577 (City or Town, State, Country and Zip Code) 517-381-5500 (Area Code) (Telephone Number)

Internet Website Address www.jackson.com

Statutory Statement Contact Don Wayne Cummings (Name) 517-381-5500 (Area Code) (Telephone Number) statjnlly@jackson.com (E-mail Address) 517-706-5522 (FAX Number)

OFFICERS

President	Laura Louene Prieskorn	Controller	Don Wayne Cummings #
General Counsel and Secretary	Julia Anne Goatley #	Appointed Actuary and Chief Financial Officer	Marcia Lynn Wadsten

OTHER

Aimee Rochelle DeCamillo, Chief Commercial Officer	Devkumar Dilip Ganguly #, Chief Operating Officer	Bradley Olan Harris, Chief Risk Officer
Savvas (Steve) Panagiotis Binioris, SVP	Michael Alan Costello, SVP and Treasurer	Scott Jay Golde #, SVP
Guillermo Esteban Guerra, SVP	Laura Louise Hanson, SVP	Thomas Paul Hyatte, SVP
Dana Scamarcia Rapier, SVP	Stacey Lynn Schabel, SVP	Elizabeth Ann Werner, SVP
Richard Charles White, SVP	Marina Costa Ashiotou, VP	Dennis Allen Blue, VP
Robert Irl Boles #, VP	Barrett Mark Bonemer, VP	Pamela Lynn Bottles, VP
Andrew Robert Campbell, VP	Lisa Ilene Fox, VP	Heather Anne Gahir, VP
Joseph Kent Garrett, VP	Maggie Creamer Garza #, VP	Robert William Hajdu, VP
Courtney Ann Hoffmann, VP	Thomas Andrew Janda, VP	Scott Francis Klus, VP
Toni Lee Klus, VP	Matthew Fox Laker, VP	Aaron Todd Maquire #, VP
Ryan Tait Mellott, VP	Dean Michael Miller, VP	Jacky Morin, VP
Kristan Lee Richardson #, VP	James Aaron Schultz, VP	Muhammad Sajid Shami, VP
Brian Raymond Sward, VP	Dr. Bhatt Lakshmi Narayana Vadlamani, VP	Brian Michael Walta, VP

DIRECTORS OR TRUSTEES

Patrick George Boyle	Richard Kevin Clinton	Laura Louise Hanson
Nancy Freund Heller	Scott Eric Romine (Chairman) #	Marc Allan Socol #

State of Michigan SS: County of Ingham

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Laura Prieskorn Laura Prieskorn President

Julia A. Goatley Julia A. Goatley Secretary

Don W. Cummings Don W. Cummings Controller

Subscribed and sworn to before me this 12 day of May, 2021

Rhonda Phillips-Langham Rhonda Phillips-Langham Notary Public 10-Nov-24

- a. Is this an original filing? Yes [X] No []
- b. If no,
1. State the amendment number.....
2. Date filed
3. Number of pages attached.....

RHONDA PHILLIPS-LANGHAM
NOTARY PUBLIC-STATE OF MICHIGAN
COUNTY OF INGHAM
My Commission Expires November 10, 2024
Acting in the County of Ingham

ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds	1,209,390,351	0	1,209,390,351	1,267,367,784
2. Stocks:				
2.1 Preferred stocks	0	0	0	0
2.2 Common stocks	0	0	0	0
3. Mortgage loans on real estate:				
3.1 First liens	0	0	0	0
3.2 Other than first liens.....	0	0	0	0
4. Real estate:				
4.1 Properties occupied by the company (less \$0 encumbrances)	0	0	0	0
4.2 Properties held for the production of income (less \$0 encumbrances)	0	0	0	0
4.3 Properties held for sale (less \$0 encumbrances)	0	0	0	0
5. Cash (\$(16,476,619)), cash equivalents (\$64,782,271) and short-term investments (\$0)	48,305,652	0	48,305,652	97,282,611
6. Contract loans (including \$0 premium notes)	398,973	0	398,973	398,774
7. Derivatives	0	0	0	0
8. Other invested assets	0	0	0	0
9. Receivables for securities	109,880	0	109,880	0
10. Securities lending reinvested collateral assets	1,140	0	1,140	1,190
11. Aggregate write-ins for invested assets	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11)	1,258,205,996	0	1,258,205,996	1,365,050,359
13. Title plants less \$0 charged off (for Title insurers only)	0	0	0	0
14. Investment income due and accrued	9,596,067	0	9,596,067	9,457,518
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection	(329,784,103)	0	(329,784,103)	(347,150,099)
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$0 earned but unbilled premiums)	18,176	0	18,176	13,438
15.3 Accrued retrospective premiums (\$0) and contracts subject to redetermination (\$0)	0	0	0	0
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers	42,465,652	0	42,465,652	35,399,424
16.2 Funds held by or deposited with reinsured companies	0	0	0	0
16.3 Other amounts receivable under reinsurance contracts	300,852,476	0	300,852,476	230,266,438
17. Amounts receivable relating to uninsured plans	0	0	0	0
18.1 Current federal and foreign income tax recoverable and interest thereon	5,498,236	0	5,498,236	6,189,947
18.2 Net deferred tax asset	0	0	0	0
19. Guaranty funds receivable or on deposit	0	0	0	0
20. Electronic data processing equipment and software	0	0	0	0
21. Furniture and equipment, including health care delivery assets (\$0)	0	0	0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates	0	0	0	0
23. Receivables from parent, subsidiaries and affiliates	16,721,715	0	16,721,715	0
24. Health care (\$0) and other amounts receivable	0	0	0	0
25. Aggregate write-ins for other than invested assets	5,081,397	5,081,397	0	180,000
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	1,308,655,612	5,081,397	1,303,574,215	1,299,407,025
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts	16,016,708,186	0	16,016,708,186	15,306,543,839
28. Total (Lines 26 and 27)	17,325,363,798	5,081,397	17,320,282,401	16,605,950,864
DETAILS OF WRITE-INS				
1101.				
1102.				
1103.				
1198. Summary of remaining write-ins for Line 11 from overflow page	0	0	0	0
1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above)	0	0	0	0
2501. Amounts due from agents	246,557	246,557	0	0
2502. Disallowed interest maintenance reserve	4,834,840	4,834,840	0	0
2503. Insurance department assessment on deposit	0	0	0	180,000
2598. Summary of remaining write-ins for Line 25 from overflow page	0	0	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	5,081,397	5,081,397	0	180,000

STATEMENT AS OF MARCH 31, 2021 OF THE JACKSON NATIONAL LIFE INSURANCE CO. OF NEW YORK

LIABILITIES, SURPLUS AND OTHER FUNDS

	1 Current Statement Date	2 December 31 Prior Year
1. Aggregate reserve for life contracts \$652,268,749 less \$0 included in Line 6.3 (including \$0 Modco Reserve)	652,268,749	674,724,554
2. Aggregate reserve for accident and health contracts (including \$0 Modco Reserve)	0	0
3. Liability for deposit-type contracts (including \$0 Modco Reserve)	12,779,048	13,650,650
4. Contract claims:		
4.1 Life	18,480,945	17,920,394
4.2 Accident and health	0	0
5. Policyholders' dividends/refunds to members \$0 and coupons \$0 due and unpaid	0	0
6. Provision for policyholders' dividends, refunds to members and coupons payable in following calendar year - estimated amounts:		
6.1 Policyholders' dividends and refunds to members apportioned for payment (including \$0 Modco)	0	0
6.2 Policyholders' dividends and refunds to members not yet apportioned (including \$0 Modco)	0	0
6.3 Coupons and similar benefits (including \$0 Modco)	0	0
7. Amount provisionally held for deferred dividend policies not included in Line 6	0	0
8. Premiums and annuity considerations for life and accident and health contracts received in advance less \$0 discount; including \$0 accident and health premiums	5,059	4,455
9. Contract liabilities not included elsewhere:		
9.1 Surrender values on canceled contracts	0	0
9.2 Provision for experience rating refunds, including the liability of \$0 accident and health experience rating refunds of which \$0 is for medical loss ratio rebate per the Public Health Service Act	0	0
9.3 Other amounts payable on reinsurance, including \$0 assumed and \$0 ceded	0	0
9.4 Interest Maintenance Reserve	0	0
10. Commissions to agents due or accrued-life and annuity contracts \$6,304,781 , accident and health \$0 and deposit-type contract funds \$0	6,304,781	6,159,027
11. Commissions and expense allowances payable on reinsurance assumed	0	0
12. General expenses due or accrued	2,091,097	2,875,115
13. Transfers to Separate Accounts due or accrued (net) (including \$(36,076,835) accrued for expense allowances recognized in reserves, net of reinsured allowances)	(43,131,535)	(39,023,789)
14. Taxes, licenses and fees due or accrued, excluding federal income taxes	2,003,866	1,945,888
15.1 Current federal and foreign income taxes, including \$0 on realized capital gains (losses)	0	0
15.2 Net deferred tax liability	0	0
16. Unearned investment income	0	0
17. Amounts withheld or retained by reporting entity as agent or trustee	(301,110)	1,027,037
18. Amounts held for agents' account, including \$0 agents' credit balances	0	0
19. Remittances and items not allocated	12,550,334	1,902,593
20. Net adjustment in assets and liabilities due to foreign exchange rates	0	0
21. Liability for benefits for employees and agents if not included above	0	0
22. Borrowed money \$0 and interest thereon \$0	0	0
23. Dividends to stockholders declared and unpaid	0	0
24. Miscellaneous liabilities:		
24.01 Asset valuation reserve	9,122,149	8,766,251
24.02 Reinsurance in unauthorized and certified (\$0) companies	0	0
24.03 Funds held under reinsurance treaties with unauthorized and certified (\$0) reinsurers	0	0
24.04 Payable to parent, subsidiaries and affiliates	0	869,347
24.05 Drafts outstanding	0	0
24.06 Liability for amounts held under uninsured plans	0	0
24.07 Funds held under coinsurance	0	0
24.08 Derivatives	0	0
24.09 Payable for securities	0	0
24.10 Payable for securities lending	1,140	1,190
24.11 Capital notes \$0 and interest thereon \$0	0	0
25. Aggregate write-ins for liabilities	1,124,807	821,935
26. Total liabilities excluding Separate Accounts business (Lines 1 to 25)	673,299,330	691,644,647
27. From Separate Accounts Statement	16,016,708,186	15,306,543,839
28. Total liabilities (Lines 26 and 27)	16,690,007,516	15,998,188,486
29. Common capital stock	2,000,000	2,000,000
30. Preferred capital stock	0	0
31. Aggregate write-ins for other than special surplus funds	0	0
32. Surplus notes	0	0
33. Gross paid in and contributed surplus	494,696,783	494,696,783
34. Aggregate write-ins for special surplus funds	0	0
35. Unassigned funds (surplus)	133,578,102	111,065,595
36. Less treasury stock, at cost:		
36.10 shares common (value included in Line 29 \$0)	0	0
36.20 shares preferred (value included in Line 30 \$0)	0	0
37. Surplus (Total Lines 31+32+33+34+35-36) (including \$0 in Separate Accounts Statement)	628,274,885	605,762,378
38. Totals of Lines 29, 30 and 37	630,274,885	607,762,378
39. Totals of Lines 28 and 38 (Page 2, Line 28, Col. 3)	17,320,282,401	16,605,950,864
DETAILS OF WRITE-INS		
2501. Escheat escrow liability - outstanding checks	398,475	86,460
2502. Interest payable on contract claims	726,332	735,475
2503.		
2598. Summary of remaining write-ins for Line 25 from overflow page	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	1,124,807	821,935
3101.		
3102.		
3103.		
3198. Summary of remaining write-ins for Line 31 from overflow page	0	0
3199. Totals (Lines 3101 through 3103 plus 3198)(Line 31 above)	0	0
3401.		
3402.		
3403.		
3498. Summary of remaining write-ins for Line 34 from overflow page	0	0
3499. Totals (Lines 3401 through 3403 plus 3498)(Line 34 above)	0	0

STATEMENT AS OF MARCH 31, 2021 OF THE JACKSON NATIONAL LIFE INSURANCE CO. OF NEW YORK

SUMMARY OF OPERATIONS

	1	2	3
	Current Year To Date	Prior Year To Date	Prior Year Ended December 31
1. Premiums and annuity considerations for life and accident and health contracts	37,306,391	40,767,479	141,735,749
2. Considerations for supplementary contracts with life contingencies	0	0	0
3. Net investment income	10,984,406	11,229,063	43,810,504
4. Amortization of Interest Maintenance Reserve (IMR)	107,226	90,152	463,410
5. Separate Accounts net gain from operations excluding unrealized gains or losses	0	0	0
6. Commissions and expense allowances on reinsurance ceded	32,605,329	41,625,537	154,461,591
7. Reserve adjustments on reinsurance ceded	0	0	0
8. Miscellaneous Income:			
8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts	9,126,388	7,827,557	32,458,554
8.2 Charges and fees for deposit-type contracts	0	0	0
8.3 Aggregate write-ins for miscellaneous income	2,122	21,750	9,367
9. Totals (Lines 1 to 8.3)	90,131,862	101,561,538	372,939,175
10. Death benefits	100,000	606,008	1,198,052
11. Matured endowments (excluding guaranteed annual pure endowments)	0	0	0
12. Annuity benefits	9,570,584	9,994,537	38,122,751
13. Disability benefits and benefits under accident and health contracts	1,794	2,127	10,495
14. Coupons, guaranteed annual pure endowments and similar benefits	0	0	0
15. Surrender benefits and withdrawals for life contracts	36,162,753	34,613,102	120,271,818
16. Group conversions	0	0	0
17. Interest and adjustments on contract or deposit-type contract funds	349,866	(201,768)	(1,815,769)
18. Payments on supplementary contracts with life contingencies	0	0	0
19. Increase in aggregate reserves for life and accident and health contracts	(22,455,805)	27,122,026	47,191,617
20. Totals (Lines 10 to 19)	23,729,192	72,136,032	204,978,964
21. Commissions on premiums, annuity considerations, and deposit-type contract funds (direct business only)	37,538,179	32,476,176	132,599,363
22. Commissions and expense allowances on reinsurance assumed	0	0	0
23. General insurance expenses and fraternal expenses	(2,531,405)	14,985,277	41,052,545
24. Insurance taxes, licenses and fees, excluding federal income taxes	476,122	500,295	1,360,781
25. Increase in loading on deferred and uncollected premiums	24,479	(66,903)	(71,529)
26. Net transfers to or (from) Separate Accounts net of reinsurance	8,042,741	(1,758,284)	(9,438,418)
27. Aggregate write-ins for deductions	(688,462)	(1,046,008)	(4,184,034)
28. Totals (Lines 20 to 27)	66,590,846	117,226,585	366,297,672
29. Net gain from operations before dividends to policyholders and federal income taxes (Line 9 minus Line 28)	23,541,016	(15,665,047)	6,641,503
30. Dividends to policyholders and refunds to members	0	0	0
31. Net gain from operations after dividends to policyholders, refunds to members and before federal income taxes (Line 29 minus Line 30)	23,541,016	(15,665,047)	6,641,503
32. Federal and foreign income taxes incurred (excluding tax on capital gains)	137,159	(4,584,944)	(14,275,775)
33. Net gain from operations after dividends to policyholders, refunds to members and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32)	23,403,857	(11,080,103)	20,917,278
34. Net realized capital gains (losses) (excluding gains (losses) transferred to the IMR) less capital gains tax of \$0 (excluding taxes of \$554,552	0	(43,519)	(978,565)
35. Net income (Line 33 plus Line 34)	23,403,857	(11,123,622)	19,938,713
CAPITAL AND SURPLUS ACCOUNT			
36. Capital and surplus, December 31, prior year	607,762,378	612,694,053	612,694,053
37. Net income (Line 35)	23,403,857	(11,123,622)	19,938,713
38. Change in net unrealized capital gains (losses) less capital gains tax of \$0	0	(50)	(100)
39. Change in net unrealized foreign exchange capital gain (loss)	0	0	0
40. Change in net deferred income tax	0	1,760,247	(21,097,517)
41. Change in nonadmitted assets	153,013	(2,846,711)	4,332,007
42. Change in liability for reinsurance in unauthorized and certified companies	0	0	0
43. Change in reserve on account of change in valuation basis, (increase) or decrease	0	0	(10,110,549)
44. Change in asset valuation reserve	(355,898)	(237,233)	(481,481)
45. Change in treasury stock	0	0	0
46. Surplus (contributed to) withdrawn from Separate Accounts during period	0	0	0
47. Other changes in surplus in Separate Accounts Statement	0	0	0
48. Change in surplus notes	0	0	0
49. Cumulative effect of changes in accounting principles	0	0	0
50. Capital changes:			
50.1 Paid in	0	0	0
50.2 Transferred from surplus (Stock Dividend)	0	0	0
50.3 Transferred to surplus	0	0	0
51. Surplus adjustment:			
51.1 Paid in	0	0	2,534,399
51.2 Transferred to capital (Stock Dividend)	0	0	0
51.3 Transferred from capital	0	0	0
51.4 Change in surplus as a result of reinsurance	(688,465)	(1,046,008)	(4,184,034)
52. Dividends to stockholders	0	0	0
53. Aggregate write-ins for gains and losses in surplus	0	0	4,136,887
54. Net change in capital and surplus for the year (Lines 37 through 53)	22,512,507	(13,493,377)	(4,931,675)
55. Capital and surplus, as of statement date (Lines 36 + 54)	630,274,885	599,200,676	607,762,378
DETAILS OF WRITE-INS			
08.301. General account policy fees	2,122	21,750	9,367
08.302.			
08.303.			
08.398. Summary of remaining write-ins for Line 8.3 from overflow page	0	0	0
08.399. Totals (Lines 08.301 through 08.303 plus 08.398) (Line 8.3 above)	2,122	21,750	9,367
2701. Reinsurance on in-force business	(688,462)	(1,046,008)	(4,184,034)
2702.			
2703.			
2798. Summary of remaining write-ins for Line 27 from overflow page	0	0	0
2799. Totals (Lines 2701 through 2703 plus 2798)(Line 27 above)	(688,462)	(1,046,008)	(4,184,034)
5301. Change in CARVM allowance on account of change in valuation basis	0	0	4,136,887
5302.			
5303.			
5398. Summary of remaining write-ins for Line 53 from overflow page	0	0	0
5399. Totals (Lines 5301 through 5303 plus 5398)(Line 53 above)	0	0	4,136,887

STATEMENT AS OF MARCH 31, 2021 OF THE JACKSON NATIONAL LIFE INSURANCE CO. OF NEW YORK

CASH FLOW

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
Cash from Operations			
1. Premiums collected net of reinsurance	19,911,782	90,092,256	229,528,713
2. Net investment income	11,094,551	11,476,916	43,900,205
3. Miscellaneous income	54,730,887	39,766,857	184,789,446
4. Total (Lines 1 to 3)	85,737,220	141,336,029	458,218,364
5. Benefit and loss related payments	72,163,801	25,725,064	144,304,910
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts	74,048,284	(9,547,149)	35,483,039
7. Commissions, expenses paid and aggregate write-ins for deductions	36,092,132	48,903,568	173,677,379
8. Dividends paid to policyholders	0	0	0
9. Federal and foreign income taxes paid (recovered) net of \$0 tax on capital gains (losses)	0	0	(2,808,373)
10. Total (Lines 5 through 9)	182,304,217	65,081,483	350,656,955
11. Net cash from operations (Line 4 minus Line 10)	(96,566,997)	76,254,546	107,561,409
Cash from Investments			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds	61,305,984	51,961,592	200,122,685
12.2 Stocks	0	0	73
12.3 Mortgage loans	0	0	0
12.4 Real estate	0	0	0
12.5 Other invested assets	0	0	0
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments	0	0	2,751
12.7 Miscellaneous proceeds	50	7,826,266	402,266
12.8 Total investment proceeds (Lines 12.1 to 12.7)	61,306,034	59,787,858	200,527,775
13. Cost of investments acquired (long-term only):			
13.1 Bonds	2,942,550	119,507,593	338,884,072
13.2 Stocks	0	0	0
13.3 Mortgage loans	0	0	0
13.4 Real estate	0	0	0
13.5 Other invested assets	0	0	0
13.6 Miscellaneous applications	109,880	235,500	0
13.7 Total investments acquired (Lines 13.1 to 13.6)	3,052,430	119,743,093	338,884,072
14. Net increase (or decrease) in contract loans and premium notes	199	3,639	16,891
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	58,253,405	(59,958,874)	(138,373,188)
Cash from Financing and Miscellaneous Sources			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes	0	0	0
16.2 Capital and paid in surplus, less treasury stock	0	0	2,534,399
16.3 Borrowed funds	0	0	0
16.4 Net deposits on deposit-type contracts and other insurance liabilities	(2,776,670)	(958,363)	(4,197,351)
16.5 Dividends to stockholders	0	0	0
16.6 Other cash provided (applied)	(7,886,696)	6,283,091	3,812,008
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6)	(10,663,366)	5,324,728	2,149,056
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17) ..	(48,976,958)	21,620,400	(28,662,723)
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year	97,282,611	125,945,334	125,945,334
19.2 End of period (Line 18 plus Line 19.1)	48,305,652	147,565,734	97,282,611

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0001. Transfer of debt securities for debt securities and / or equity securities.	1,445,375	1,589,656	10,633,517
---	-----------	-----------	------------

EXHIBIT 1

DIRECT PREMIUMS AND DEPOSIT-TYPE CONTRACTS			
	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
1. Industrial life	0	0	0
2. Ordinary life insurance	160,556	53,480	464,146
3. Ordinary individual annuities	366,956,966	349,362,427	1,342,759,240
4. Credit life (group and individual)	0	0	0
5. Group life insurance	0	0	0
6. Group annuities	11,000	9,150	15,650
7. A & H - group	0	0	0
8. A & H - credit (group and individual)	0	0	0
9. A & H - other	0	0	0
10. Aggregate of all other lines of business	0	0	0
11. Subtotal (Lines 1 through 10)	367,128,522	349,425,057	1,343,239,036
12. Fraternal (Fraternal Benefit Societies Only)	0	0	0
13. Subtotal (Lines 11 through 12)	367,128,522	349,425,057	1,343,239,036
14. Deposit-type contracts	0	513,658	976,121
15. Total (Lines 13 and 14)	367,128,522	349,938,715	1,344,215,157
DETAILS OF WRITE-INS			
1001.			
1002.			
1003.			
1098. Summary of remaining write-ins for Line 10 from overflow page	0	0	0
1099. Totals (Lines 1001 through 1003 plus 1098)(Line 10 above)	0	0	0

NOTES TO FINANCIAL STATEMENTS

1. Summary of Significant Accounting Policies and Going Concern

A. Accounting Practices

The financial statements of Jackson National Life Insurance Company of New York (the “Company”) are presented on the basis of accounting practices prescribed or permitted by the New York State Department of Financial Services.

The New York State Department of Financial Services (“NYDFS”) recognizes only statutory accounting practices prescribed or permitted by the state of New York for determining and reporting the financial condition and results of operations of an insurance company, for determining its solvency under New York Insurance Law. The National Association of Insurance Commissioners’ (“NAIC”) *Accounting Practices and Procedures Manual* (“NAIC SAP”) has been adopted as a component of prescribed or permitted practices by the state of New York. The state has adopted certain prescribed accounting practices that differ from those found in NAIC SAP.

A Continuous CARVM reserve basis is prescribed for New York domiciled companies. In NAIC SAP, the application of Curtate CARVM reserve valuation is required. The Company’s reserves are not valued under Curtate CARVM according to NAIC SAP, but rather, are valued under Continuous CARVM according to New York Insurance Law. If the application of Curtate CARVM reserve valuation were used, statutory capital and surplus would be increased by \$312,138 and \$367,842 as of March 31, 2021 and December 31, 2020, respectively. Additionally, net income would be decreased by \$46,704 and \$44,035, respectively, for the periods then ended.

Valuation Manual-21: Requirements for Principle-Based Reserves for Variable Annuities (“VM-21”) was amended and effective for NAIC SAP for 2020. New York state law incorporates VM-21 but also includes an additional floor calculation for purposes of defining minimum reserve standards. The Company’s reserves are not valued solely under VM-21 according to NAIC SAP, but rather, are valued with the additional floor calculation according to New York Insurance Law. If reserves were established according to NAIC SAP, statutory capital and surplus would be increased by \$17,708,851 and \$24,779,947 as of March 31, 2021 and December 31, 2020 respectively. Additionally, net income would be decreased by \$8,901,727 and increased by \$6,977,180 respectively for the periods then ended.

Valuation Manual-22: Maximum Valuation Interest Rates for Income Annuities (“VM-22”) was effective for NAIC SAP for 2018. New York state law did not reference the Valuation Manual for purposes of defining minimum reserve standards in calendar year 2018, did not require VM-22 for 2019, and adopted an adaptation of VM-22 beginning in 2020. Thus, reserves for payout business are not valued according to VM-22, but rather, are valued per New York regulation. If reserves were established according to NAIC SAP, statutory capital and surplus would be decreased by \$616,523 and \$670,345 as of March 31, 2021 and December 31, 2020, respectively. Additionally, net income would be increased by \$32,242 and decreased by \$247,858, respectively, for the periods then ended.

The Company’s asset adequacy testing uses the cash flow testing methodology which, under New York’s prescribed assumptions, develops negative surplus in certain years, resulting in NYDFS requiring the Company to establish additional reserves of \$59,000,000 at both March 31, 2021 and December 31, 2020. If reserves were established according to NAIC SAP, statutory capital and surplus would be increased by \$59,000,000 as of both March 31, 2021 and December 31, 2020. See Note 21 for additional information.

Effective December 31, 2020, the Company received approval from the New York State Department of Financial Services regarding the use of a permitted practice which allows early adoption of an exemption for ordinary life insurance certificates issued during 2020 that would be subject to VM-20 of the NAIC Valuation Manual. The exemption for companies meeting the conditions of APF 2020-09 will be part of the 2022 Valuation Manual. The permitted practice does not result in differences in net income or capital and surplus between NAIC SAP and practices prescribed or permitted by the state of New York, as reserves are established utilizing the same methodology as prior years. However, the additional PBR requirements are eliminated.

NOTES TO FINANCIAL STATEMENTS

A reconciliation of the Company's net income and capital and surplus between NAIC SAP and practices prescribed and permitted by the State of New York is shown below.

	SSAP #	F/S Page	F/S Line #	3/31/2021	12/31/2020
<u>NET INCOME</u>					
Jackson National Life Insurance Company of New York, New York basis				\$ 23,403,857	\$ 19,938,712
New York Prescribed Practices that increase/(decrease) NAIC SAP:					
Continuous CARVM per New York state basis	51	4	19	(3,299,558)	(14,250,968)
Curtate CARVM per NAIC SAP	51	4	19	(3,252,854)	(14,206,933)
(Increase) in aggregate reserves to reflect Curtate CARVM				(46,704)	(44,035)
VM-21 reserve					
VA reserve, New York basis	51	4	19	(17,895,191)	43,253,861
VA reserve, NAIC SAP	51	4	19	(8,993,464)	29,424,427
(Increase)/decrease in aggregate reserves to reflect VM-21				(8,901,727)	13,829,434
VM-22 reserve					
IA reserve, New York basis	51	4	19	(1,396,907)	4,669,115
IA reserve, NAIC SAP	51	4	19	(1,437,679)	4,358,255
Decrease in aggregate reserves to reflect VM-22				40,772	310,860
Additional reserves under asset adequacy testing	51	4	19	-	20,000,000
Tax effect of prescribed practice differences	51	4	32	(8,530)	(6,915,256)
NAIC SAP				\$ 14,487,668	\$ 47,119,715
<u>SURPLUS</u>					
Jackson National Life Insurance Company of New York, New York basis				\$ 630,274,885	\$ 607,762,378
New York Prescribed Practices that increase/(decrease) NAIC SAP:					
Continuous CARVM per New York state basis	51	3	1	376,426,523	379,726,081
Curtate CARVM per NAIC SAP	51	3	1	376,105,385	379,358,239
Decrease in aggregate reserves to reflect Curtate CARVM				321,138	367,842
VM-21 reserve					
VA reserve, New York basis	51	3	1	164,332,054	182,227,246
VA reserve, NAIC SAP	51	3	1	146,623,203	155,616,667
Decrease in aggregate reserves to reflect VM-21				17,708,851	26,610,579
VM-22 reserve					
IA reserve, New York basis	51	3	1	65,748,497	67,145,404
IA reserve, NAIC SAP	51	3	1	66,522,223	67,959,902
(Increase) in aggregate reserves to reflect VM-22				(773,726)	(814,498)
Additional reserves under asset adequacy testing	51	3	1	59,000,000	59,000,000
Tax effect of prescribed practice differences	51	2	18.2	157,203	(1,664,898)
NAIC SAP				\$ 706,688,351	\$ 691,261,403

Significant changes have not occurred since 12/31/2020 that warrant disclosure in Note 1, item B.

C. Accounting Policy

- (2) Bonds not backed by other loans are stated at amortized cost except those with an NAIC rating of “6,” which are stated at the lower of amortized cost or fair value. Acquisition premiums and discounts are amortized into investment income through call or maturity dates using the interest method. The Company did not have SVO-Identified investments reported at a different measurement method from prior periods.
- (6) Loan-backed and structured securities, hereafter collectively referred to as “loan-backed securities”, are stated at amortized cost except those with an NAIC carry rating of “6,” which are carried at the lower of amortized cost or fair value. The retrospective adjustment method is used to value loan-backed securities where the collection of all contractual cash flows is probable. For loan-backed securities where the collection of all contractual cash flows is not probable, the Company:
 - Recognizes the accretable yield over the life of the loan-backed security as determined at the acquisition or transaction date,
 - Continues to estimate cash flows expected to be collected at least quarterly, and
 - Recognizes an other-than-temporary impairment loss if the loan-backed security is impaired (i.e., the fair value is less than the amortized cost basis) and if the Company does not expect to recover the entire amortized cost basis when compared to the present value of cash flows expected to be collected.

Investments are reduced to estimated fair value (discounted cash flows for loan-backed securities) for declines in value that are determined to be other-than-temporary. In determining whether an other-than-temporary impairment has occurred, the Company considers a security’s forecasted cash flows as well as the severity and duration of depressed fair values.

NOTES TO FINANCIAL STATEMENTS

If the Company intends to sell an impaired loan-backed security or does not have the intent and ability to retain the impaired loan-backed security for a period of time sufficient to recover the amortized cost basis, an other-than-temporary impairment has occurred. In these situations, the other-than-temporary impairment loss recognized is the difference between the amortized cost basis and fair value. For loan-backed securities, the credit portion of the recognized loss is recorded to the asset valuation reserve (“AVR”) and the non-credit portion is recorded to the interest maintenance reserve (“IMR”). If the Company does not expect to recover the entire amortized cost basis when compared to the present value of cash flows expected to be collected, it cannot assert that it has the ability to recover the loan-backed security’s amortized cost basis even though it has no intent to sell and has the intent and ability to retain the loan-backed security. Therefore, an other-than-temporary impairment has occurred and a realized loss is recognized for the non-interest related decline, which is calculated as the difference between the loan-backed security’s amortized cost basis and the present value of cash flows expected to be collected.

For situations where an other-than-temporary impairment is recognized, the previous amortized cost basis less the other-than temporary impairment recognized as a realized loss becomes the new amortized cost basis of the loan-backed security. The new amortized cost basis is not adjusted for subsequent recoveries in fair value. Therefore, the prospective adjustment method is used for periods subsequent to other-than-temporary impairment loss recognition.

D. Going Concern

There is not substantial doubt about the Company’s ability to continue as a going concern.

2. Accounting Changes and Corrections of Errors

Significant changes have not occurred since 12/31/2020 that warrant disclosure in Note 2.

3. Business Combinations and Goodwill

Significant changes have not occurred since 12/31/2020 that warrant disclosure in Note 3.

4. Discontinued Operations

Significant changes have not occurred since 12/31/2020 that warrant disclosure in Note 4.

5. Investments

D. Loan Backed and Structured Securities

- (1) Principal prepayment assumptions for loan-backed and structured securities are obtained from broker-dealers, independent providers of broker-dealer estimates, or internal models.
- (2) There were no loan-backed and structured securities with a recognized other-than-temporary impairment where the Company has either the intent to sell the securities or lacks the ability or intent to retain the securities as of the statement date.
- (3) There were no loan-backed and structured securities with a recognized other-than-temporary impairment where the Company has the intent and ability to hold the securities for sufficient time to recover the amortized cost.
- (4) The following table summarizes loan-backed and structured securities in an unrealized loss position as of March 31, 2021:

	Total	<12 Months	12+ Months
Fair Value	\$ 8,285,849	\$ 7,304,248	\$ 981,601
Unrealized Loss	\$ 73,996	\$ 65,783	\$ 8,213

The carrying value and fair value of all loan-backed and structured securities, regardless of whether the security is in an unrealized loss position, was \$211,311,322 and \$222,706,175, respectively.

- (5) The Company periodically reviews its debt securities and equities on a case-by-case basis to determine if any decline in fair value to below amortized cost is other-than-temporary. Factors considered in determining whether a decline is other-than-temporary include the length of time a security has been in an unrealized loss position, reasons for the decline in value, expectations for the amount and timing of recovery in value, and the Company’s intent and ability not to sell a security prior to a recovery in fair value.

Securities the Company determines are underperforming or potential problem securities are subject to regular review. To facilitate this review, securities with significant declines in value, or where other objective criteria evidencing credit deterioration have been met, are included on a watch list. Among the criteria for securities to be included on a watch list are: credit deterioration that has led to a significant decline in the value of the security; a significant covenant related to the security has been breached; or an issuer has filed or indicated a possibility of filing for bankruptcy, has missed or announced it intends to miss a scheduled interest or principal payment, or has experienced a specific material adverse change that may impair its creditworthiness.

NOTES TO FINANCIAL STATEMENTS

In performing these reviews, the Company considers the relevant facts and circumstances relating to each investment and does exercise considerable judgment in determining whether a security is other-than-temporarily impaired. Assessment factors include judgments about an obligor's current and projected financial position, an issuer's current and projected ability to service and repay its debt obligations, the existence of, and realizable value of, any collateral supporting the obligations, and the macro-economic and micro-economic outlooks for specific industries or issuers. This assessment may also involve assumptions regarding underlying collateral such as prepayment rates, default and recovery rates, and third-party service capabilities.

E. Dollar Repurchase Agreements and/or Securities Lending Transactions

- (3) b. The aggregate fair value of all securities acquired from the sale, trade, or use of the accepted collateral (reinvested collateral) was \$1,140 and \$1,190 as of March 31, 2021 and December 31, 2020, respectively.

F. Repurchase Agreements Transactions Accounted for as Secured Borrowing

As discussed in Note 10, the Company has a Master Repurchase Agreement with Jackson National Life Insurance Company. There were no such borrowings in 2021 or 2020.

G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing

The Company does not have reverse repurchase agreements.

H. Repurchase Agreements Transactions Accounted for as a Sale

The Company did not have repurchase agreements in 2021 or 2020.

I. Reverse Repurchase Agreements Transactions Accounted for as a Sale

The Company does not have reverse repurchase agreements.

M. Working Capital Finance Investments

- (2) The Company does not have working capital finance investments.

- (3) The Company did not have any defaults on working capital finance investments.

N. Offsetting and Netting of Assets and Liabilities

The Company does not have investments in derivatives. Repurchase agreements and securities lending assets and liabilities are reported on a gross basis.

R. Reporting Entity's Share of Cash Pool by Asset Type

The Company does not have a cash pooling arrangement.

No other significant changes have occurred since 12/31/2020 that warrant disclosure in Note 5.

6. Joint Ventures, Partnerships and Limited Liability Companies

Significant changes have not occurred since 12/31/2020 that warrant disclosure in Note 6.

7. Investment Income

Significant changes have not occurred since 12/31/2020 that warrant disclosure in Note 7.

8. Derivative Instruments

A. Derivatives under *SSAP No. 86-Derivatives*

- (8) The Company does not have investments in derivative instruments.

B. Derivatives under *SSAP No. 108-Derivatives Hedging Variable Annuity Guarantees*

The Company does not have any derivative hedging variable annuity guarantees.

Significant changes have not occurred since 12/31/2020 that warrant disclosure in Note 8.

9. Income Taxes

Significant changes have not occurred since 12/31/2020 that warrant disclosure in Note 9.

10. Information Concerning Parent, Subsidiaries and Affiliates

Significant changes have not occurred since 12/31/2020 that warrant disclosure in Note 10.

NOTES TO FINANCIAL STATEMENTS

11. Debt

At March 31, 2021 and December 31, 2020, the Company had no capital notes, repurchase agreements, FHLB debt or dollar repurchase agreements outstanding.

12. Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans

A. Defined Benefit Plan

The Company does not offer a defined benefit plan.

Significant changes have not occurred since 12/31/2020 that warrant disclosure in Note 12.

13. Capital and Surplus, Shareholders' Dividend Restrictions and Quasi-Reorganizations

Significant changes have not occurred since 12/31/2020 that warrant disclosure in Note 13.

14. Liabilities, Contingencies and Assessments

Significant changes have not occurred since 12/31/2020 that warrant disclosure in Note 14.

15. Leases

Significant changes have not occurred since 12/31/2020 that warrant disclosure in Note 15.

16. Information about Financial Instruments with Off-Balance Sheet Risk and Financial Instruments with Concentrations of Credit Risk

Significant changes have not occurred since 12/31/2020 that warrant disclosure in Note 16.

17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

B(2)b. The Company does not have servicing assets or liabilities.

B(4)a. Not applicable.

B(4)b. Not applicable.

C. Wash Sales - No reportable wash sales have occurred during the year.

Significant changes have not occurred since 12/31/2020 that warrant disclosure in Note 17.

18. Gain or Loss to the Reporting Entity from Uninsured A&H Plans and the Uninsured Portion of Partially Insured Plans

Significant changes have not occurred since 12/31/2020 that warrant disclosure in Note 18.

19. Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

Significant changes have not occurred since 12/31/2020 that warrant disclosure in Note 19.

20. Fair Value Measurements

A. (1) Fair Value Measurements at Reporting Date

Description	Level 1	Level 2	Level 3	Net Asset Value (NAV)	Total
Assets at fair value:					
Separate account assets	-	16,016,708,186	-	-	16,016,708,186
Total assets at fair value/NAV	\$ -	\$ 16,016,708,186	\$ -	\$ -	\$ 16,016,708,186

(2) Fair Value Measurements in (Level 3) of the Fair Value Hierarchy

There were no Level 3 assets at March 31, 2021 or December 31, 2020.

(3) The Company’s policy for determining and disclosing transfers between levels is to recognize transfers using beginning-of-year balances.

(4) Bonds and Equity Securities

The fair values for bonds and equity securities are determined by management using information available from independent pricing services, broker-dealer quotes, or internally derived estimates. Priority is given to publicly available prices from independent sources, when available. Securities for which the independent pricing service does not provide a quotation are either submitted to independent broker-dealers for prices or priced internally.

NOTES TO FINANCIAL STATEMENTS

Typical inputs used by these three pricing methods include, but are not limited to, reported trades, benchmark yields, credit spreads, liquidity premiums, and/or estimated cash flows based on default and prepayment assumptions.

As a result of typical trading volumes and the lack of specific quoted market prices for most bonds, independent pricing services will normally derive the security prices through recently reported trades for identical or similar securities, making adjustments through the reporting date based upon available market observable information as outlined above. If there are no recently reported trades, the independent pricing services and brokers may use matrix or pricing model processes to develop a security price where future cash flow expectations are developed based upon collateral performance and discounted at relevant market rates. Certain securities are priced using broker-dealer quotes, which may utilize proprietary inputs and models. Additionally, the majority of these quotes are non-binding.

Included in the pricing of loan-backed and structured securities are estimates of the rate of future prepayments of principal over the remaining life of the securities. Such estimates are derived based on the characteristics of the underlying structure and prepayment assumptions believed to be relevant for the underlying collateral. Actual prepayment experience may vary from these estimates.

Internally derived estimates may be used to develop a fair value for securities for which the Company is unable to obtain either a reliable price from an independent pricing service or a suitable broker-dealer quote. These estimates may incorporate Level 2 and Level 3 inputs and are generally derived using expected future cash flows, discounted at market interest rates available from market sources based on the credit quality and duration of the instrument to determine fair value. For securities that may not be reliably priced using these internally developed pricing models, a fair value may be estimated using indicative market prices. These prices are indicative of an exit price, but the assumptions used to establish the fair value may not be observable or corroborated by market observable information and, therefore, are considered to be Level 3 inputs.

The Company performs a monthly analysis on the prices and credit spreads received from third parties to ensure that the prices represent a reasonable estimate of the fair value. This process involves quantitative and qualitative analysis and is overseen by investment and accounting professionals. Examples of procedures performed include, but are not limited to, initial and on-going review of third party pricing service methodologies, review of pricing statistics and trends, back testing recent trades and monitoring of trading volumes. In addition, the Company considers whether prices received from independent brokers represent a reasonable estimate of fair value through the use of internal and external cash flow models, which are developed based on spreads and, when available, market indices. As a result of this analysis, if the Company determines there is a more appropriate fair value based upon the available market data, the price received from the third party may be adjusted accordingly.

(5) The Company has no derivative assets or liabilities.

B. The Company provides additional fair value information in Note 5.

C. The following tables detail the aggregate fair value of the Company’s financial instruments:

March 31, 2021						
Description	Aggregate Fair Value	Admitted Value	Level 1	Level 2	Level 3	Net Asset Value (NAV)
Assets:						
Bonds	\$ 1,275,613,701	\$ 1,209,390,351	\$ 8,189,680	\$ 1,267,424,021	\$ -	\$ -
Cash and cash equivalents	48,305,652	48,305,652	48,305,652	-	-	-
Policy loans	398,973	398,973	-	-	398,973	-
Securities lending assets	1,140	1,140	1,140	-	-	-
Separate account assets	16,016,708,186	16,016,708,186	-	16,016,708,186	-	-
Total assets at fair value	<u>\$ 17,341,027,652</u>	<u>\$ 17,274,804,302</u>	<u>\$ 56,496,472</u>	<u>\$ 17,284,132,207</u>	<u>\$ 398,973</u>	<u>\$ -</u>
Lia bilities:						
Reserves for life insurance and annuities (1)	\$ 591,941,411	\$ 620,084,331	\$ -	\$ -	\$ 591,941,411	\$ -
Liability for deposit-type contracts	12,986,550	12,779,048	-	-	12,986,550	-
Securities lending liabilities	1,140	1,140	-	1,140	-	-
Separate account liabilities	\$ 16,016,708,186	\$ 16,016,708,186	\$ -	\$ 16,016,708,186	-	-
Total liabilities at fair value	<u>\$ 16,621,637,287</u>	<u>\$ 16,649,572,705</u>	<u>\$ -</u>	<u>\$ 16,016,709,326</u>	<u>\$ 604,927,961</u>	<u>\$ -</u>

(1) Annuity reserves represent only the components of deposits on investment contracts that are considered to be financial instruments.

NOTES TO FINANCIAL STATEMENTS

December 31, 2020						
Description	Aggregate Fair Value	Admitted Value	Level 1	Level 2	Level 3	Net Asset Value (NAV)
Assets at fair value:						
Bonds	\$ 1,374,990,408	\$ 1,267,367,784	\$ 16,941,920	\$ 1,358,048,467	\$ 21	\$ -
Cash and cash equivalents	97,282,611	97,282,611	97,282,611	-	-	-
Policy loans	398,774	398,774	-	-	398,774	-
Securities lending	1,190	1,190	1,190	-	-	-
Separate account assets	15,306,543,839	15,306,543,839	-	15,306,543,839	-	-
Total assets at fair value	\$ 16,779,216,822	\$ 16,671,594,198	\$ 114,225,721	\$ 16,664,592,306	\$ 398,795	\$ -
Liabilities at fair value:						
Reserves for life insurance						
and annuities (1)	\$ 672,960,132	\$ 641,471,249	\$ -	\$ -	\$ 672,960,132	\$ -
Liability for deposit-type contracts	13,791,337	13,650,650	-	-	13,791,337	-
Securities lending	1,190	1,190	-	1,190	-	-
Separate account liabilities	15,306,543,839	15,306,543,839	-	15,306,543,839	-	-
Total liabilities at fair value	\$ 15,993,296,498	\$ 15,961,666,928	\$ -	\$ 15,306,545,029	\$ 686,751,469	\$ -

(1) Annuity reserves represent only the components of deposits on investment contracts that are considered to be financial instruments.

D. At March 31, 2021 and December 31, 2020, the Company had no financial instruments for which it was not practicable to estimate fair value.

21. Other Items

As a result of the spread of the COVID-19 coronavirus, economic uncertainties have arisen which are likely to impact the Company’s capital position. As the economic uncertainties are on-going, the potential impact continues to vary and is unknown at this time. The Company has implemented business continuity plans that were already in place to ensure the availability of services for our customers, work at home capabilities for our staff, where appropriate, and other ongoing risk management activities related to the current ongoing market stress.

For purposes of establishing reserves on annuity products, the NYDFS has insisted the Company perform more conservative asset adequacy testing than the Company would perform independently. This additional conservatism resulted in \$59,000,000 of reserves being posted at both March 31, 2021 and December 31, 2020. These reserves are included in the aggregate reserves and will be reported in Exhibit 5. The Company does not agree with the NYDFS that these additional reserves were necessary or required by regulation, but complied with the directive of the NYDFS and posted the additional reserves.

No other significant changes have occurred since 12/31/2020 that warrant disclosure in Note 21.

22. Events Subsequent

The Company is not aware of any events occurring subsequent to the balance sheet date which require disclosure to keep the financial statements from being misleading or that may have a material effect on the financial condition of the Company.

23. Reinsurance

Significant changes have not occurred since 12/31/2020 that warrant disclosure in Note 23.

24. Retrospectively Rated Contracts & Contracts Subject to Redetermination

The Company does not issue health insurance, and therefore, does not have premium subject to the risk sharing provisions of the Affordable Care Act.

Significant changes have not occurred since 12/31/2020 that warrant disclosure in Note 24.

25. Change in Incurred Losses and Loss Adjustment Expenses

The Company does not have incurred losses or loss adjustment expenses that require disclosure in Note 25.

26. Intercompany Pooling Arrangements

Significant changes have not occurred since 12/31/2020 that warrant disclosure in Note 26.

27. Structured Settlements

Significant changes have not occurred since 12/31/2020 that warrant disclosure in Note 27.

28. Health Care Receivables

Significant changes have not occurred since 12/31/2020 that warrant disclosure in Note 28.

NOTES TO FINANCIAL STATEMENTS

29. Participating Policies

Significant changes have not occurred since 12/31/2020 that warrant disclosure in Note 29.

30. Premium Deficiency Reserves

Significant changes have not occurred since 12/31/2020 that warrant disclosure in Note 30.

31. Reserves for Life Contracts and Annuity Contracts

Significant changes have not occurred since 12/31/2020 that warrant disclosure in Note 31.

32. Analysis of Annuity Actuarial Reserves and Deposit Contract Type Liabilities by Withdrawal Characteristics

Significant changes have not occurred since 12/31/2020 that warrant disclosure in Note 32.

33. Analysis of Life Actuarial Reserves by Withdrawal Characteristics

Significant changes have not occurred since 12/31/2020 that warrant disclosure in Note 33.

34. Premium and Annuity Considerations Deferred and Uncollected

Significant changes have not occurred since 12/31/2020 that warrant disclosure in Note 34.

35. Separate Accounts

Significant changes have not occurred since 12/31/2020 that warrant disclosure in Note 35.

36. Loss/Claim Adjustment Expenses

Significant changes have not occurred since 12/31/2020 that warrant disclosure in Note 36.

STATEMENT AS OF MARCH 31, 2021 OF THE JACKSON NATIONAL LIFE INSURANCE CO. OF NEW YORK

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

- 1.1

Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act?

Yes [☐] No [☒]
- 1.2

If yes, has the report been filed with the domiciliary state?

Yes [☐] No [☐]
- 2.1

Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity?

Yes [☐] No [☒]
- 2.2

If yes, date of change:
- 3.1

Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer?

If yes, complete Schedule Y, Parts 1 and 1A.

Yes [☒] No [☐]
- 3.2

Have there been any substantial changes in the organizational chart since the prior quarter end?

Yes [☐] No [☒]
- 3.3

If the response to 3.2 is yes, provide a brief description of those changes.
- 3.4

Is the reporting entity publicly traded or a member of a publicly traded group?

Yes [☒] No [☐]
- 3.5

If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group.

0001116578
- 4.1

Has the reporting entity been a party to a merger or consolidation during the period covered by this statement?

If yes, complete and file the merger history data file with the NAIC.

Yes [☐] No [☒]
- 4.2

If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.
- | 1 | 2 | 3 |
|----------------|-------------------|-------------------|
| Name of Entity | NAIC Company Code | State of Domicile |
| | | |
5.

If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved?

If yes, attach an explanation.

Yes [☐] No [☒] N/A [☐]
- 6.1

State as of what date the latest financial examination of the reporting entity was made or is being made.

12/31/2018
- 6.2

State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released.

12/31/2018
- 6.3

State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date).

06/19/2020
- 6.4

By what department or departments?
New York State Department of Financial Services
- 6.5

Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments?

Yes [☒] No [☐] N/A [☐]
- 6.6

Have all of the recommendations within the latest financial examination report been complied with?

Yes [☒] No [☐] N/A [☐]
- 7.1

Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period?

Yes [☐] No [☒]
- 7.2

If yes, give full information:
- 8.1

Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board?

Yes [☐] No [☒]
- 8.2

If response to 8.1 is yes, please identify the name of the bank holding company.
- 8.3

Is the company affiliated with one or more banks, thrifts or securities firms?

Yes [☒] No [☐]
- 8.4

If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1	2	3	4	5	6
Affiliate Name	Location (City, State)	FRB	OCC	FDIC	SEC
Jackson National Life Distributors, LLC	Franklin, TN				YES

STATEMENT AS OF MARCH 31, 2021 OF THE JACKSON NATIONAL LIFE INSURANCE CO. OF NEW YORK

GENERAL INTERROGATORIES

- 9.1

Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards?
(a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
(b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
(c) Compliance with applicable governmental laws, rules and regulations;
(d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
(e) Accountability for adherence to the code.

Yes [X] No []
- 9.11

If the response to 9.1 is No, please explain:
- 9.2

Has the code of ethics for senior managers been amended?

Yes [] No [X]
- 9.21

If the response to 9.2 is Yes, provide information related to amendment(s).
- 9.3

Have any provisions of the code of ethics been waived for any of the specified officers?

Yes [] No [X]
- 9.31

If the response to 9.3 is Yes, provide the nature of any waiver(s).

FINANCIAL

- 10.1

Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement?

Yes [X] No []
- 10.2

If yes, indicate any amounts receivable from parent included in the Page 2 amount:

\$16,721,716

INVESTMENT

- 11.1

Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.)

Yes [X] No []
- 11.2

If yes, give full and complete information relating thereto:
On deposit with state: \$498,141
12.

Amount of real estate and mortgages held in other invested assets in Schedule BA:

\$0
13.

Amount of real estate and mortgages held in short-term investments:

\$0
- 14.1

Does the reporting entity have any investments in parent, subsidiaries and affiliates?

Yes [] No [X]
- 14.2

If yes, please complete the following:

	1	2
	Prior Year-End Book/Adjusted Carrying Value	Current Quarter Book/Adjusted Carrying Value
14.21 Bonds	\$0	\$0
14.22 Preferred Stock	\$0	\$0
14.23 Common Stock	\$0	\$0
14.24 Short-Term Investments	\$0	\$0
14.25 Mortgage Loans on Real Estate	\$0	\$0
14.26 All Other	\$0	\$0
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)	\$0	\$0
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above	\$0	\$0

- 15.1

Has the reporting entity entered into any hedging transactions reported on Schedule DB?

Yes [] No [X]
- 15.2

If yes, has a comprehensive description of the hedging program been made available to the domiciliary state?
If no, attach a description with this statement.

Yes [] No [] N/A [X]
16.

For the reporting entity's security lending program, state the amount of the following as of the current statement date:
- 16.1

Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2.

\$1,140
- 16.2

Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2

\$1,140
- 16.3

Total payable for securities lending reported on the liability page.

\$1,140

STATEMENT AS OF MARCH 31, 2021 OF THE JACKSON NATIONAL LIFE INSURANCE CO. OF NEW YORK

GENERAL INTERROGATORIES

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? Yes [X] No []
- 17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
The Bank of New York Mellon	One Wall Street, 14th Floor, New York, NY 10286

- 17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes [] No [X]
- 17.4 If yes, give full information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

- 17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

1 Name of Firm or Individual	2 Affiliation
PPM America, Inc.	A.....

- 17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's invested assets?..... Yes [] No [X]
- 17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets?..... Yes [] No [X]

- 17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
107269	PPM America, Inc.	JJRYDUHUMCZ72M58YS96	Securities and Exchange Commission	DS.....

- 18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? Yes [X] No []
- 18.2 If no, list exceptions:

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:
- a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
 - b. Issuer or obligor is current on all contracted interest and principal payments.
 - c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.
- Has the reporting entity self-designated 5GI securities? Yes [] No [X]

20. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:
- a. The security was purchased prior to January 1, 2018.
 - b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
 - c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
 - d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.
- Has the reporting entity self-designated PLGI securities? Yes [] No [X]

21. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:
- a. The shares were purchased prior to January 1, 2019.
 - b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
 - c. The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.
 - d. The fund only or predominantly holds bonds in its portfolio.
 - e. The current reported NAIC Designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.
 - f. The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.
- Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria? Yes [] No [X]

GENERAL INTERROGATORIES

PART 2 - LIFE AND ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES

Life and Accident Health Companies/Fraternal Benefit Societies:

1.

Report the statement value of mortgage loans at the end of this reporting period for the following categories:

1

Amount

1.1

Long-Term Mortgages In Good Standing

1.11

Farm Mortgages

\$

0

1.12

Residential Mortgages

\$

0

1.13

Commercial Mortgages

\$

0

1.14

Total Mortgages in Good Standing

\$

0

1.2

Long-Term Mortgages In Good Standing with Restructured Terms

1.21

Total Mortgages in Good Standing with Restructured Terms

\$

0

1.3

Long-Term Mortgage Loans Upon which Interest is Overdue more than Three Months

1.31

Farm Mortgages

\$

0

1.32

Residential Mortgages

\$

0

1.33

Commercial Mortgages

\$

0

1.34

Total Mortgages with Interest Overdue more than Three Months

\$

0

1.4

Long-Term Mortgage Loans in Process of Foreclosure

1.41

Farm Mortgages

\$

0

1.42

Residential Mortgages

\$

0

1.43

Commercial Mortgages

\$

0

1.44

Total Mortgages in Process of Foreclosure

\$

0

1.5

Total Mortgage Loans (Lines 1.14 + 1.21 + 1.34 + 1.44) (Page 2, Column 3, Lines 3.1 + 3.2)

\$

0

1.6

Long-Term Mortgages Foreclosed, Properties Transferred to Real Estate in Current Quarter

1.61

Farm Mortgages

\$

0

1.62

Residential Mortgages

\$

0

1.63

Commercial Mortgages

\$

0

1.64

Total Mortgages Foreclosed and Transferred to Real Estate

\$

0

2.

Operating Percentages:

2.1

A&H loss percent

0.000

%

2.2

A&H cost containment percent

0.000

%

2.3

A&H expense percent excluding cost containment expenses

0.000

%

3.1

Do you act as a custodian for health savings accounts?

Yes

[]

No

[X]

3.2

If yes, please provide the amount of custodial funds held as of the reporting date

\$

0

3.3

Do you act as an administrator for health savings accounts?

Yes

[]

No

[X]

3.4

If yes, please provide the balance of the funds administered as of the reporting date

\$

0

4.

Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states?

Yes

[X]

No

[]

4.1

If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity?

Yes

[]

No

[]

Fraternal Benefit Societies Only:

5.1

In all cases where the reporting entity has assumed accident and health risks from another company, provisions should be made in this statement on account of such reinsurances for reserve equal to that which the original company would have been required to establish had it retained the risks. Has this been done?

Yes

[]

No

[]

N/A

[]

5.2

If no, explain:

6.1

Does the reporting entity have outstanding assessments in the form of liens against policy benefits that have increased surplus?

Yes

[]

No

[]

6.2

If yes, what is the date(s) of the original lien and the total outstanding balance of liens that remain in surplus?

Date	Outstanding Lien Amount

STATEMENT AS OF MARCH 31, 2021 OF THE JACKSON NATIONAL LIFE INSURANCE CO. OF NEW YORK

SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurance Treaties - Current Year to Date

[illegible]

STATEMENT AS OF MARCH 31, 2021 OF THE JACKSON NATIONAL LIFE INSURANCE CO. OF NEW YORK

SCHEDULE T - PREMIUMS AND ANNUITY CONSIDERATIONS

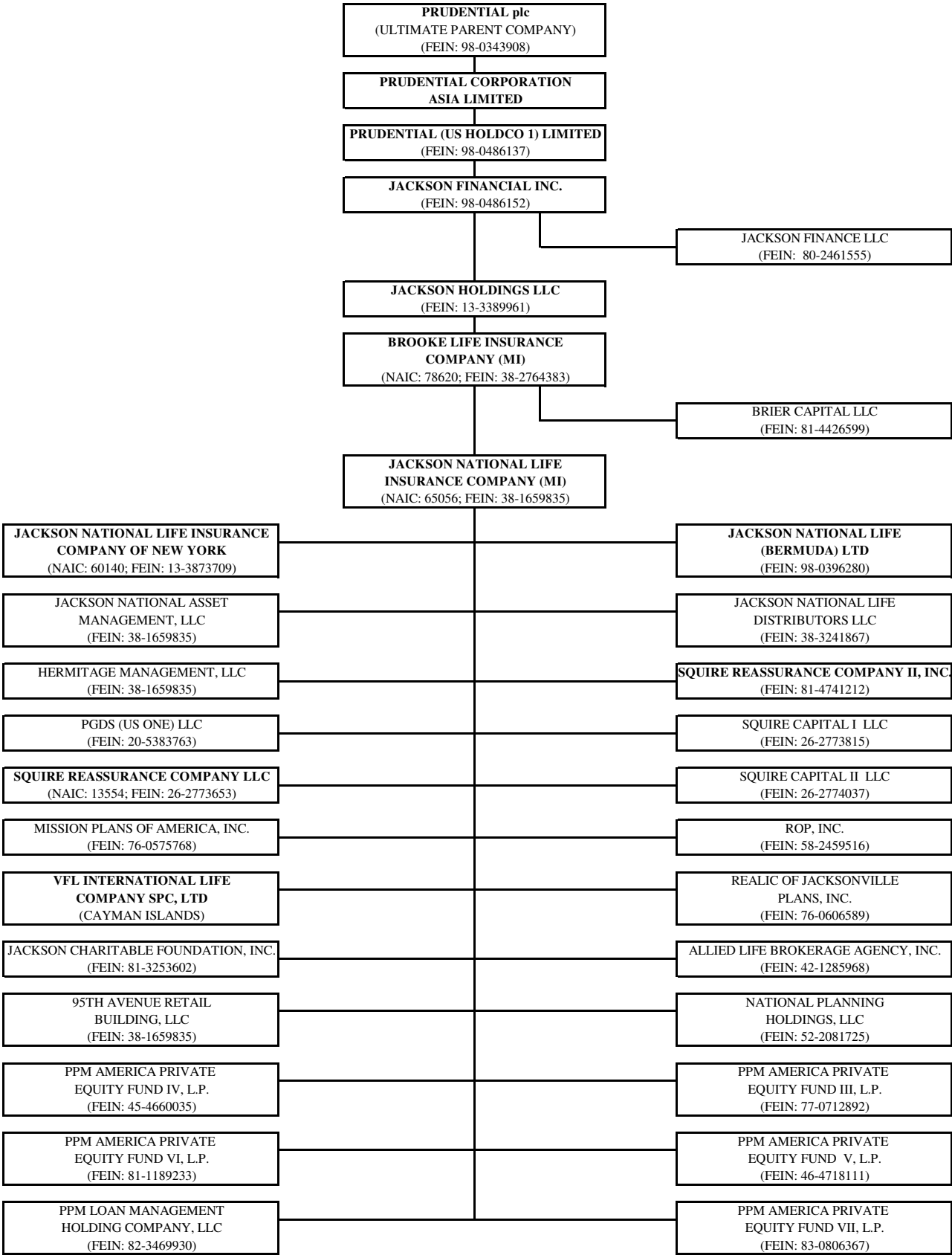
Current Year To Date - Allocated by States and Territories

States, Etc.			1	Life Contracts		Direct Business Only			
				2	3	4	5	6	7
1.	Alabama	AL	N	0	2,200	0	0	2,200	0
2.	Alaska	AK	N	0	0	0	0	0	0
3.	Arizona	AZ	N	0	5,000	0	0	5,000	0
4.	Arkansas	AR	N	0	0	0	0	0	0
5.	California	CA	N	0	466,176	0	0	466,176	0
6.	Colorado	CO	N	0	600	0	0	600	0
7.	Connecticut	CT	N	66	805,600	0	0	805,666	0
8.	Delaware	DE	L	288	582,393	0	0	582,681	0
9.	District of Columbia	DC	N	0	0	0	0	0	0
10.	Florida	FL	N	5,520	3,940,700	0	0	3,946,220	0
11.	Georgia	GA	N	1,930	172,351	0	0	174,281	0
12.	Hawaii	HI	N	0	0	0	0	0	0
13.	Idaho	ID	N	0	0	0	0	0	0
14.	Illinois	IL	N	0	0	0	0	0	0
15.	Indiana	IN	N	0	43,374	0	0	43,374	0
16.	Iowa	IA	N	0	0	0	0	0	0
17.	Kansas	KS	N	92	33,926	0	0	34,018	0
18.	Kentucky	KY	N	0	900	0	0	900	0
19.	Louisiana	LA	N	0	0	0	0	0	0
20.	Maine	ME	N	805	0	0	0	805	0
21.	Maryland	MD	N	0	28,398	0	0	28,398	0
22.	Massachusetts	MA	N	0	0	0	0	0	0
23.	Michigan	MI	L	0	1,449	0	0	1,449	0
24.	Minnesota	MN	N	0	0	0	0	0	0
25.	Mississippi	MS	N	0	0	0	0	0	0
26.	Missouri	MO	N	0	416	0	0	416	0
27.	Montana	MT	N	0	0	0	0	0	0
28.	Nebraska	NE	N	0	0	0	0	0	0
29.	Nevada	NV	N	0	0	0	0	0	0
30.	New Hampshire	NH	N	0	220,870	0	0	220,870	0
31.	New Jersey	NJ	N	7,508	1,712,694	0	0	1,720,202	0
32.	New Mexico	NM	N	0	0	0	0	0	0
33.	New York	NY	L	108,602	355,274,749	0	0	355,383,351	0
34.	North Carolina	NC	N	(46)	728,823	0	0	728,777	0
35.	North Dakota	ND	N	0	0	0	0	0	0
36.	Ohio	OH	N	0	1,800	0	0	1,800	0
37.	Oklahoma	OK	N	0	0	0	0	0	0
38.	Oregon	OR	N	90	0	0	0	90	0
39.	Pennsylvania	PA	N	1,203	1,179,273	0	0	1,180,476	0
40.	Rhode Island	RI	N	246	0	0	0	246	0
41.	South Carolina	SC	N	1,392	1,013,415	0	0	1,014,807	0
42.	South Dakota	SD	N	0	0	0	0	0	0
43.	Tennessee	TN	N	0	(753)	0	0	(753)	0
44.	Texas	TX	N	272	333,922	0	0	334,194	0
45.	Utah	UT	N	0	0	0	0	0	0
46.	Vermont	VT	N	0	65,713	0	0	65,713	0
47.	Virginia	VA	N	917	352,177	0	0	353,094	0
48.	Washington	WA	N	498	0	0	0	498	0
49.	West Virginia	WV	N	0	0	0	0	0	0
50.	Wisconsin	WI	N	0	0	0	0	0	0
51.	Wyoming	WY	N	0	1,800	0	0	1,800	0
52.	American Samoa	AS	N	0	0	0	0	0	0
53.	Guam	GU	N	0	0	0	0	0	0
54.	Puerto Rico	PR	N	0	0	0	0	0	0
55.	U.S. Virgin Islands	VI	N	0	0	0	0	0	0
56.	Northern Mariana Islands	MP	N	0	0	0	0	0	0
57.	Canada	CAN	N	0	0	0	0	0	0
58.	Aggregate Other Aliens	OT	XXX	0	0	0	0	0	0
59.	Subtotal	XXX		129,383	366,967,966	0	0	367,097,349	0
90.	Reporting entity contributions for employee benefits plans	XXX		0	0	0	0	0	0
91.	Dividends or refunds applied to purchase paid-up additions and annuities	XXX		0	0	0	0	0	0
92.	Dividends or refunds applied to shorten endowment or premium paying period	XXX		0	0	0	0	0	0
93.	Premium or annuity considerations waived under disability or other contract provisions	XXX		1,794	0	0	0	1,794	0
94.	Aggregate or other amounts not allocable by State	XXX		0	0	0	0	0	0
95.	Totals (Direct Business)	XXX		131,177	366,967,966	0	0	367,099,143	0
96.	Plus Reinsurance Assumed	XXX		0	0	0	0	0	0
97.	Totals (All Business)	XXX		131,177	366,967,966	0	0	367,099,143	0
98.	Less Reinsurance Ceded	XXX		42,858	347,144,503	0	0	347,187,361	0
99.	Totals (All Business) less Reinsurance Ceded	XXX		88,319	19,823,463	0	0	19,911,782	0
DETAILS OF WRITE-INS									
58001.	XXX							
58002.	XXX							
58003.	XXX							
58998.	Summary of remaining write-ins for Line 58 from overflow page	XXX		0	0	0	0	0	0
58999.	Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)	XXX		0	0	0	0	0	0
9401.	XXX							
9402.	XXX							
9403.	XXX							
9498.	Summary of remaining write-ins for Line 94 from overflow page	XXX		0	0	0	0	0	0
9499.	Totals (Lines 9401 through 9403 plus 9498)(Line 94 above)	XXX		0	0	0	0	0	0

(a) Active Status Counts:
L - Licensed or Chartered - Licensed Insurance carrier or domiciled RRG.....3 R - Registered - Non-domiciled RRGs.....0
E - Eligible - Reporting entities eligible or approved to write surplus lines in the state.....0 Q - Qualified - Qualified or accredited reinsurer.....0
N - None of the above - Not allowed to write business in the state.....54

Premiums and annuity considerations are allocated by state according to the residency of the policy or contract holder on the date the premium is received.

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF
INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART



STATEMENT AS OF MARCH 31, 2021 OF THE JACKSON NATIONAL LIFE INSURANCE CO. OF NEW YORK

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Owner-ship Provide Percen-tage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
.0918	JACKSON	.65056	38-1659835				JACKSON NATIONAL LIFE INSURANCE COMPANY	.MI	.UDP	BROOKE LIFE INSURANCE COMPANY	Ownership	100.000	PRUDENTIAL plc	.N	
.0918	JACKSON	.78620	38-2764383				BROOKE LIFE INSURANCE COMPANY	.MI	.UIP	JACKSON HOLDINGS LLC	Ownership	100.000	PRUDENTIAL plc	.N	
							JACKSON NATIONAL LIFE INSURANCE COMPANY OF NEW YORK	.NY	.RE	JACKSON NATIONAL LIFE INSURANCE COMPANY	Ownership	100.000	PRUDENTIAL plc	.N	
.0918	JACKSON	.60140	13-3873709				SQUIRE REASSURANCE COMPANY LLC	.MI	.IA	JACKSON NATIONAL LIFE INSURANCE COMPANY	Ownership	100.000	PRUDENTIAL plc	.N	
.0918	JACKSON	.13554	26-2773653				SQUIRE REASSURANCE COMPANY II, INC.	.MI	.IA	JACKSON NATIONAL LIFE INSURANCE COMPANY	Ownership	100.000	PRUDENTIAL plc	.N	
.0918	JACKSON	.16094	81-4741212				BRIER CAPITAL LLC	.MI	.NIA	BROOKE LIFE INSURANCE COMPANY	Ownership	100.000	PRUDENTIAL plc	.N	
			13-3389961				JACKSON HOLDINGS LLC	.DE	.UIP	JACKSON FINANCIAL INC	Ownership	100.000	PRUDENTIAL plc	.N	
			98-0486152				JACKSON FINANCIAL INC.	.DE	.UIP	PRUDENTIAL (US HOLDCO 1) LIMITED	Ownership	90.100	PRUDENTIAL plc	.N	
			80-2461555				JACKSON FINANCE LLC	.MI	.NIA	JACKSON FINANCIAL INC	Ownership	100.000	PRUDENTIAL plc	.N	
			98-0486137				PRUDENTIAL (US HOLDCO 1) LIMITED	.GBR	.UIP	PRUDENTIAL CORPORATION ASIA LIMITED	Ownership	100.000	PRUDENTIAL plc	.N	
							PRUDENTIAL CORPORATION ASIA LIMITED	.HKG	.UIP	PRUDNETIAL plc	Ownership	100.000	PRUDENTIAL plc	.N	
			98-0343908			NEW YORK STOCK EXCHANGE	PRUDENTIAL plc	.GBR	.UIP	PUBLICLY TRADED	Board of Directors	0.000	PRUDENTIAL plc	.N	
			38-1659835				HERMITAGE MANAGEMENT, LLC	.MI	.NIA	JACKSON NATIONAL LIFE INSURANCE COMPANY	Ownership	100.000	PRUDENTIAL plc	.N	
			38-1659835				JACKSON NATIONAL ASSET MANAGEMENT, LLC	.MI	.NIA	JACKSON NATIONAL LIFE INSURANCE COMPANY	Ownership	100.000	PRUDENTIAL plc	.N	
			38-3241867				JACKSON NATIONAL LIFE DISTRIBUTORS LLC	.MI	.NIA	JACKSON NATIONAL LIFE INSURANCE COMPANY	Ownership	100.000	PRUDENTIAL plc	.N	
			98-0396280				JACKSON NATIONAL LIFE (BERMUDA) LTD.	.BMU	.IA	JACKSON NATIONAL LIFE INSURANCE COMPANY	Ownership	100.000	PRUDENTIAL plc	.N	
			20-5383763				PGDS (US ONE) LLC	.DE	.NIA	JACKSON NATIONAL LIFE INSURANCE COMPANY	Ownership	100.000	PRUDENTIAL plc	.N	
			26-2773815				SQUIRE CAPITAL I LLC	.MI	.NIA	JACKSON NATIONAL LIFE INSURANCE COMPANY	Ownership	100.000	PRUDENTIAL plc	.N	
			26-2774037				SQUIRE CAPITAL II LLC	.MI	.NIA	JACKSON NATIONAL LIFE INSURANCE COMPANY	Ownership	100.000	PRUDENTIAL plc	.N	
			76-0575768				MISSION PLANS OF AMERICA, INC.	.TX	.NIA	JACKSON NATIONAL LIFE INSURANCE COMPANY	Ownership	100.000	PRUDENTIAL plc	.N	
			58-2459516				ROP, INC.	.DE	.NIA	JACKSON NATIONAL LIFE INSURANCE COMPANY	Ownership	100.000	PRUDENTIAL plc	.N	
							VFL INTERNATIONAL LIFE COMPANY SPC, LTD.	.CYM	.IA	JACKSON NATIONAL LIFE INSURANCE COMPANY	Ownership	100.000	PRUDENTIAL plc	.N	
			76-0606589				REALIC OF JACKSONVILLE PLANS, INC.	.TX	.NIA	JACKSON NATIONAL LIFE INSURANCE COMPANY	Ownership	100.000	PRUDENTIAL plc	.N	
			42-1285968				ALLIED LIFE BROKERAGE AGENCY, INC.	.IA	.NIA	JACKSON NATIONAL LIFE INSURANCE COMPANY	Ownership	100.000	PRUDENTIAL plc	.N	
			81-3253602				JACKSON CHARITABLE FOUNDATION, INC.	.MI	.NIA	JACKSON NATIONAL LIFE INSURANCE COMPANY	Ownership	100.000	PRUDENTIAL plc	.N	
			38-1659835				95TH AVENUE RETAIL BUILDING, LLC	.IL	.NIA	JACKSON NATIONAL LIFE INSURANCE COMPANY	Management	0.000	PRUDENTIAL plc	.N	
			77-0712892				PPM AMERICA PRIVATE EQUITY FUND III L.P.	.DE	.NIA	PPM AMERICA, INC	Management	0.000	PRUDENTIAL plc	.N	
			45-4660035				PPM AMERICA PRIVATE EQUITY FUND IV L.P.	.DE	.NIA	PPM AMERICA, INC	Management	0.000	PRUDENTIAL plc	.N	
			46-4718111				PPM AMERICA PRIVATE EQUITY FUND V L.P.	.DE	.NIA	PPM AMERICA, INC	Management	0.000	PRUDENTIAL plc	.N	
			81-1189233				PPM AMERICA PRIVATE EQUITY FUND VI L.P.	.DE	.NIA	PPM AMERICA, INC	Management	0.000	PRUDENTIAL plc	.N	
			83-0806367				PPM AMERICA PRIVATE EQUITY FUND VII L.P.	.DE	.NIA	PPM AMERICA, INC	Management	0.000	PRUDENTIAL plc	.N	
			82-3469930				PPM LOAN MANAGEMENT HOLDING COMPANY, LLC	.DE	.NIA	PPM AMERICA, INC	Management	0.000	PRUDENTIAL plc	.N	
			52-2081725				NATIONAL PLANNING HOLDINGS, LLC	.DE	.NIA	JACKSON NATIONAL LIFE INSURANCE COMPANY	Ownership	100.000	PRUDENTIAL plc	.N	

Asterisk	Explanation

STATEMENT AS OF MARCH 31, 2021 OF THE JACKSON NATIONAL LIFE INSURANCE CO. OF NEW YORK

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	Response
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?	NO
2. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO
3. Will the Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	NO
4. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	NO
5. Will the Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI be filed with the state of domicile and electronically with the NAIC?	NO
6. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Average Market Value) be filed with the state of domicile and electronically with the NAIC?	NO
7. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) be filed with the state of domicile and electronically with the NAIC?	NO
8. Will the Life PBR Statement of Exemption be filed with the state of domicile by July 1st and electronically with the NAIC with the second quarterly filing per the Valuation Manual (by August 15)? (2nd Quarter Only) The response for 1st and 3rd quarters should be N/A. A NO response resulting with a bar code is only appropriate in the 2nd quarter.	N/A

Explanation:

1.
2.
3.
4.
5.
6.
7.

Bar Code:

1. Trusteed Surplus Statement [Document Identifier 490]
2. Medicare Part D Coverage Supplement [Document Identifier 365]
3. Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 445]
4. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 446]
5. Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI [Document Identifier 447]
6. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI [Document Identifier 448]
7. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) [Document Identifier 449]



SCHEDULE A - VERIFICATION

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Current year change in encumbrances		
4. Total gain (loss) on disposals		
5. Deduct amounts received on disposals		
6. Total foreign exchange change in book/adjusted carrying		
7. Deduct current year's other than temporary impairment recognized		
8. Deduct current year's depreciation		
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8)		
10. Deduct total nonadmitted amounts		
11. Statement value at end of current period (Line 9 minus Line 10)		

SCHEDULE B - VERIFICATION

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and mortgage interest points and commitment fees		
9. Total foreign exchange change in book value/recorded investment excluding accrued interest		
10. Deduct current year's other than temporary impairment recognized		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		
12. Total valuation allowance		
13. Subtotal (Line 11 plus Line 12)		
14. Deduct total nonadmitted amounts		
15. Statement value at end of current period (Line 13 minus Line 14)		

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and depreciation		
9. Total foreign exchange change in book/adjusted carrying value		
10. Deduct current year's other than temporary impairment recognized		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		
12. Deduct total nonadmitted amounts		
13. Statement value at end of current period (Line 11 minus Line 12)		

SCHEDULE D - VERIFICATION

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	1,267,367,784	1,129,945,734
2. Cost of bonds and stocks acquired	4,387,925	349,517,589
3. Accrual of discount	286,435	976,374
4. Unrealized valuation increase (decrease)	0	(126)
5. Total gain (loss) on disposals	609,963	(477,975)
6. Deduct consideration for bonds and stocks disposed of	62,885,005	211,534,936
7. Deduct amortization of premium	510,398	1,619,636
8. Total foreign exchange change in book/adjusted carrying value	0	0
9. Deduct current year's other than temporary impairment recognized	0	217,901
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees	133,646	778,661
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10)	1,209,390,351	1,267,367,784
12. Deduct total nonadmitted amounts	0	0
13. Statement value at end of current period (Line 11 minus Line 12)	1,209,390,351	1,267,367,784

STATEMENT AS OF MARCH 31, 2021 OF THE JACKSON NATIONAL LIFE INSURANCE CO. OF NEW YORK

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a)	602,921,714	1,445,375	39,712,832	(10,452,815)	554,201,442	0	0	602,921,714
2. NAIC 2 (a)	627,301,842	2,942,550	20,503,553	7,885,727	617,626,566	0	0	627,301,842
3. NAIC 3 (a)	28,484,850	0	1,405,004	2,050,546	29,130,392	0	0	28,484,850
4. NAIC 4 (a)	8,659,378	0	520,000	292,573	8,431,951	0	0	8,659,378
5. NAIC 5 (a)	0	0	0	0	0	0	0	0
6. NAIC 6 (a)	0	0	0	0	0	0	0	0
7. Total Bonds	1,267,367,784	4,387,925	62,141,389	(223,969)	1,209,390,351	0	0	1,267,367,784
PREFERRED STOCK								
8. NAIC 1	0	0	0	0	0	0	0	0
9. NAIC 2	0	0	0	0	0	0	0	0
10. NAIC 3	0	0	0	0	0	0	0	0
11. NAIC 4	0	0	0	0	0	0	0	0
12. NAIC 5	0	0	0	0	0	0	0	0
13. NAIC 6	0	0	0	0	0	0	0	0
14. Total Preferred Stock	0	0	0	0	0	0	0	0
15. Total Bonds and Preferred Stock	1,267,367,784	4,387,925	62,141,389	(223,969)	1,209,390,351	0	0	1,267,367,784

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

NAIC 1 \$0 ; NAIC 2 \$0 ; NAIC 3 \$0 NAIC 4 \$0 ; NAIC 5 \$0 ; NAIC 6 \$0

Schedule DA - Part 1 - Short-Term Investments

N O N E

Schedule DA - Verification - Short-Term Investments

N O N E

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards

N O N E

Schedule DB - Part B - Verification - Futures Contracts

N O N E

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

N O N E

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

N O N E

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of
Derivatives

N O N E

SCHEDULE E - PART 2 - VERIFICATION

(Cash Equivalents)

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	118,002,019	140,450,816
2. Cost of cash equivalents acquired	156,909,586	773,585,664
3. Accrual of discount	0	0
4. Unrealized valuation increase (decrease)	0	0
5. Total gain (loss) on disposals	0	0
6. Deduct consideration received on disposals	0	0
7. Deduct amortization of premium	210,129,334	796,034,461
8. Total foreign exchange change in book/adjusted carrying value	0	0
9. Deduct current year's other than temporary impairment recognized	0	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	64,782,271	118,002,019
11. Deduct total nonadmitted amounts	0	0
12. Statement value at end of current period (Line 10 minus Line 11)	64,782,271	118,002,019

Schedule A - Part 2 - Real Estate Acquired and Additions Made

N O N E

Schedule A - Part 3 - Real Estate Disposed

N O N E

Schedule B - Part 2 - Mortgage Loans Acquired and Additions Made

N O N E

Schedule B - Part 3 - Mortgage Loans Disposed, Transferred or Repaid

N O N E

Schedule BA - Part 2 - Other Long-Term Invested Assets Acquired and Additions Made

N O N E

Schedule BA - Part 3 - Other Long-Term Invested Assets Disposed, Transferred or Repaid

N O N E

STATEMENT AS OF MARCH 31, 2021 OF THE JACKSON NATIONAL LIFE INSURANCE CO. OF NEW YORK

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
166756-AR-7	CHEVRON USA		01/06/2021	Tax Free Exchange		946,674	1,000,000	18,288	1.D FE
20825C-AV-6	CONOCOPHILLIPS		02/08/2021	Tax Free Exchange		498,701	500,000	6,615	1.G FE
723787-AQ-0	PIONEER NATURAL RESOURCE		01/14/2021	GOLDMAN SACHS		2,942,550	3,000,000	25,017	2.B FE
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						4,387,925	4,500,000	49,920	XXX
8399997. Total - Bonds - Part 3						4,387,925	4,500,000	49,920	XXX
8399998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX
8399999. Total - Bonds						4,387,925	4,500,000	49,920	XXX
8999997. Total - Preferred Stocks - Part 3						0	XXX	0	XXX
8999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX
8999999. Total - Preferred Stocks						0	XXX	0	XXX
9799997. Total - Common Stocks - Part 3						0	XXX	0	XXX
9799998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX
9799999. Total - Common Stocks						0	XXX	0	XXX
9899999. Total - Preferred and Common Stocks						0	XXX	0	XXX
9999999 - Totals						4,387,925	XXX	49,920	XXX

STATEMENT AS OF MARCH 31, 2021 OF THE JACKSON NATIONAL LIFE INSURANCE CO. OF NEW YORK

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	For- eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid- eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization)/ Accretion	Current Year's Other Than Temporary Impairment Recogn- ized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con- tractual Maturity Date	NAIC Desig- nation, NAIC Desig- nation Modifier and SVO Admini- strative Symbol
36202E-BD-0	GINNIE MAE I I POOL 3636		03/01/2021	Paydown		.986	.986	.992	.990	.0	(.4)	.0	(.4)	.0	.986	.0	.0	.0	.7	.05/01/2033	1.A FE
36202S-KJ-2	GINNIE MAE I POOL 608281		03/01/2021	Paydown		2,432	2,432	2,421	2,423	.0	.10	.0	.10	.0	2,432	.0	.0	.0	.11	.02/01/2032	1.A FE
36211N-R7-5	GINNIE MAE I POOL 518110		03/01/2021	Paydown		.163	.163	.162	.162	.0	.1	.0	.1	.0	.163	.0	.0	.0	.2	.04/01/2028	1.A FE
36290W-K9-2	GINNIE MAE I POOL 619620		03/01/2021	Paydown		1,607	1,607	1,592	1,594	.0	.13	.0	.13	.0	1,607	.0	.0	.0	.19	.10/01/2032	1.A FE
36291A-R9-2	GINNIE MAE I POOL 622512		03/01/2021	Paydown		.272	.272	.271	.271	.0	.1	.0	.1	.0	.272	.0	.0	.0	.2	.07/01/2032	1.A FE
36292L-CK-8	GINNIE MAE I POOL 651774		03/01/2021	Paydown		.669	.669	.666	.667	.0	.2	.0	.2	.0	.669	.0	.0	.0	.6	.11/01/2034	1.A FE
912828-2A-7	US TREASURY NOTES		02/11/2021	WELLS FARGO SECURITIES		8,409,968	8,000,000	7,894,251	7,937,940	.0	1,244	.0	1,244	.0	7,939,184	.0	470,784	470,784	59,022	.08/15/2026	1.A
0599999	Subtotal - Bonds - U.S. Governments					8,416,097	8,006,129	7,900,355	7,944,047	.0	1,267	.0	1,267	.0	7,945,313	.0	470,784	470,784	59,069	XXX	XXX
96928*-DC-8	GSA HENDERSON NEVADA		03/15/2021	Redemption		.31,626	.31,626	.31,968	.31,811	.0	(.185)	.0	(.185)	.0	.31,626	.0	.0	.0	.281	.09/15/2031	1.B
1799999	Subtotal - Bonds - U.S. States, Territories and Possessions					31,626	31,626	31,968	31,811	.0	(.185)	.0	(.185)	.0	31,626	.0	.0	.0	.281	XXX	XXX
3128M6-QV-3	FREDDIE MAC GOLD POOL G04668		03/01/2021	Paydown		.12,882	.12,882	.12,711	.12,711	.0	.171	.0	.171	.0	.12,882	.0	.0	.0	.108	.02/01/2037	1.A FE
3128M7-MV-5	FREDDIE MAC GOLD POOL		03/01/2021	Paydown		.13,029	.13,029	.13,143	.13,132	.0	(.102)	.0	(.102)	.0	.13,029	.0	.0	.0	.112	.05/01/2038	1.A FE
312934-NK-4	FREDDIE MAC GOLD POOL		03/01/2021	Paydown		.89,832	.89,832	.90,533	.90,336	.0	(.505)	.0	(.505)	.0	.89,832	.0	.0	.0	.617	.01/01/2038	1.A FE
3137A3-RB-4	FREDDIE MAC REMICS 3762 WP		03/01/2021	Paydown		.151,430	.151,430	.145,403	.149,948	.0	1,482	.0	1,482	.0	.151,430	.0	.0	.0	.1,198	.12/15/2039	1.A FE
3199999	Subtotal - Bonds - U.S. Special Revenues					267,173	267,173	261,790	266,127	.0	1,046	.0	1,046	.0	267,173	.0	.0	.0	.2,035	XXX	XXX
00287Y-CT-4	ABBVIE		02/11/2021	TD SECURITIES (USA)		2,596,875	2,500,000	2,571,858	2,579,777	.0	(.6,603)	.0	(.6,603)	.0	2,573,174	.0	23,701	23,701	.30,469	.10/01/2022	2.C FE
00436M-AA-3	AALLC 2018-1 A		03/02/2021	Paydown		.36,039	.36,039	.36,030	.36,032	.0	.7	.0	.7	.0	.36,039	.0	.0	.0	.234	.12/02/2033	1.F FE
00436M-AB-1	AALLC 2018-1 B		03/02/2021	Paydown		.36,040	.36,040	.36,032	.36,034	.0	.6	.0	.6	.0	.36,040	.0	.0	.0	.273	.12/02/2033	2.B FE
03773S-CR-6	APPALACHIAN POWER		03/30/2021	Maturity		2,000,000	2,000,000	1,994,420	1,999,565	.0	.435	.0	.435	.0	2,000,000	.0	.0	.0	.46,000	.03/30/2021	2.A FE
042498-AD-3	ARMY & AIR FORCE EXCHANGE		03/15/2021	Redemption		.39,295	.39,295	.39,295	.39,295	.0	.0	.0	.0	.0	.39,295	.0	.0	.0	.325	.10/15/2024	1.D
04542B-MS-8	ABFC 2005-A01 A4		03/01/2021	Paydown		.59,971	.59,971	.59,955	.59,955	.0	.15	.0	.15	.0	.59,971	.0	.0	.0	.468	.01/25/2034	1.A FM
05367A-AD-5	AVIATION CAPITAL GROUP		02/03/2021	DIRECT		1,000,000	1,000,000	1,005,000	.999,904	.0	(.37)	.0	(.37)	.0	.999,866	.0	.134	.134	.32,769	.04/06/2021	2.C FE
05588U-AA-0	HGVGI 2019-A A		03/25/2021	Paydown		.45,728	.45,728	.45,717	.45,717	.0	.12	.0	.12	.0	.45,728	.0	.0	.0	.238	.09/26/2033	1.F FE
05588U-AB-8	HGVGI 2019-A B		03/25/2021	Paydown		.22,864	.22,864	.22,863	.22,863	.0	.1	.0	.1	.0	.22,864	.0	.0	.0	.137	.09/26/2033	2.B FE
05606V-AA-6	BXGNT 2013-A A		03/02/2021	Paydown		.41,188	.41,188	.41,179	.41,187	.0	.1	.0	.1	.0	.41,188	.0	.0	.0	.199	.12/04/2028	1.F FE
05607B-AB-7	BXGNT 2017-A B		03/02/2021	Paydown		.30,954	.30,954	.30,953	.30,953	.0	.1	.0	.1	.0	.30,954	.0	.0	.0	.187	.10/04/2032	2.C FE
05607Q-AN-8	BX 2020-BXLP C		02/15/2021	Paydown		.1,108	.1,108	.1,108	.1,108	.0	.0	.0	.0	.0	.1,108	.0	.0	.0	.2	.12/15/2036	1.A FM
058933-AQ-5	BAFC 2006-D 5A3		03/01/2021	Paydown		.19,906	.19,902	.17,504	.17,410	.0	2,496	.0	2,496	.0	.19,906	.0	.0	.0	.100	.05/20/2036	1.D FM
110122-DA-3	BRISTOL-MYERS SQUIBB		02/23/2021	Call 103.9890		2,079,780	2,000,000	2,118,673	2,084,478	.0	(.4,490)	.0	(.4,490)	.0	2,079,987	.0	(.79,987)	(.79,987)	.221,558	.08/15/2023	1.F FE
124857-AG-8	VIACOMCBS		03/15/2021	DIRECT		1,000,000	1,000,000	.934,100	.989,387	.0	1,900	.0	1,900	.0	.991,287	.0	.8,713	(.79,987)	.47,238	.03/01/2022	2.B FE
12652C-AC-3	CSMC 2017-HL2 A3		03/01/2021	Paydown		.108,086	.108,086	.108,271	.108,086	.0	.0	.0	.0	.0	.108,086	.0	.0	.0	.584	.10/25/2047	1.A FM
126678-AA-5	CVS PASS THRU TRUST		03/10/2021	Redemption		.57,215	.57,215	.57,215	.57,215	.0	.0	.0	.0	.0	.57,215	.0	.0	.0	.702	.07/10/2030	2.B
12669F-VS-9	CIHL 2004-S 1A5		03/01/2021	Paydown		.25,393	.25,393	.23,738	.24,882	.0	.511	.0	.511	.0	.25,393	.0	.0	.0	.275	.06/25/2034	1.A FM
12673P-AH-8	CA		01/21/2021	Call 101.7800		1,017,800	1,000,000	.999,100	.999,674	.0	.21	.0	.21	.0	.999,695	.0	.305	.305	.63,300	.08/15/2022	2.C FE
14448C-AP-9	CARRIER GLOBAL		02/16/2021	CITICORP		1,066,670	1,000,000	1,000,000	1,000,000	.0	.0	.0	.0	.0	1,000,000	.0	.66,670	.66,670	.12,673	.02/15/2027	2.C FE
17328C-AD-4	CGOIT 2019-CT A4		02/11/2021	BARCLAYS CAPITAL		5,525,977	5,000,000	5,674,219	5,653,588	.0	(.8,866)	.0	(.8,866)	.0	5,644,722	.0	(.118,745)	(.118,745)	.32,313	.12/15/2072	1.A FE
19687X-AA-5	COLT 2019-A A1		02/01/2021	Paydown		1,024,971	1,024,971	1,024,957	1,024,971	.0	.0	.0	.0	.0	1,024,971	.0	.0	.0	.6,404	.03/25/2049	1.A FM
20605P-AH-4	CONCHO RESOURCES		02/08/2021	Tax Free Exchange		.498,701	.500,000	.498,180	.498,680	.0	.21	.0	.21	.0	.498,701	.0	.0	.0	.7,115	.10/01/2027	1.F FE
22541S-Z4-3	CSFB 2004-8 CB2		02/01/2021	Paydown		.16,020	.16,020	.6,497	.1	.0	.16,018	.0	.16,018	.0	.16,020	.0	.0	.0	.523	.12/25/2034	1.D FM
233050-AC-7	DBUS 2011-LC1A A3		02/01/2021	Paydown		.136,138	.136,138	.137,491	.136,138	.0	.0	.0	.0	.0	.136,138	.0	.0	.0	.806	.11/10/2046	1.A FM
26138E-AP-4	DR PEPPER SNAPPLE GROUP		03/31/2021	DIRECT		2,000,000	2,000,000	1,994,400	1,999,388	.0	.161	.0	.161	.0	1,999,549	.0	.451	.451	.60,300	.11/15/2021	2.B FE
28415P-AA-2	EHGVT 2016-A A		03/25/2021	Paydown		.41,774	.41,774	.41,773	.41,773	.0	.0	.0	.0	.0	.41,774	.0	.0	.0	.186	.04/25/2028	1.F FE
28416T-AA-3	EHGVT 2019-A A		03/25/2021	Paydown		.92,970	.92,970	.92,945	.92,945	.0	.25	.0	.25	.0	.92,970	.0	.0	.0	.386	.01/25/2034	1.A FE
28416T-AB-1	EHGVT 2019-A B		03/25/2021	Paydown		.46,485	.46,485	.46,477	.46,477	.0	.8	.0	.8	.0	.46,485	.0	.0	.0	.215	.01/25/2034	1.F FE
29364D-AQ-3	ENTERGY ARKANSAS		02/15/2021	Maturity		1,000,000	1,000,000	.999,710	.999,932	.0	.68	.0	.68	.0	1,000,000	.0	.0	.0	.18,750	.02/15/2021	1.F FE
31620M-AK-2	FIDELITY NATIONAL		03/03/2021	DIRECT		1,055,000	1,055,000	1,053,894	1,054,611	.0	.30	.0	.30	.0	1,054,641	.0	.359	.359	.81,675	.04/15/2023	2.B FE
31620M-AT-3	FIDELITY NATIONAL		03/03/2021	DIRECT		1,000,000	1,000,000	.910,980	.932,800	.0	1,840	.0	1,840	.0	.934,641	.0	.65,359	.65,359	.117,820	.08/15/2026	2.B FE
32057H-AG-2	FIAOT 2016-2A C		02/15/2021	Paydown		.171,807	.171,807	.171,774	.171,799	.0	.7	.0	.7	.0	.171,807	.0	.0	.0	.531	.07/15/2022	1.A FE
35041J-AA-3	FFIN 2019-1A A		03/15/2021	Paydown		.46,181	.46,181	.46,174	.46,176	.0	.5	.0	.5	.0	.46,181	.0	.0	.0	.297	.11/15/2034	1.C FE
36185M-EG-3	GMACM 2006-J1 A6		03/01/2021	Paydown		.77,135	.80,301	.70,633	.68,861	.0	8,274	.0	8,274	.0	.77,135	.0	.0	.0	.747	.04/25/2036	1.D FM
36192B-AY-3	GSMS 2012-G06 A3		03/01/2021	Paydown		.51,520	.51,520	.52,138	.51,536	.0	(.16)	.0	(.16)	.0	.51,520	.0	.0	.0	.449	.01/10/2045	1.A FM
38081E-AA-9	GLDN 2016-1A A		03/20/2021	Paydown		.63,229	.63,229	.63,229	.63,229	.0	.0	.0	.0	.0	.63,229	.0	.0	.0	.1,186	.09/20/2047	1.A FE
38082J-AA-7	GLDN 2016-2A A		03/20/2021	Paydown		.45,969	.45,969	.45,969	.45,969	.0	.0	.0	.0	.0	.45,969	.0	.0	.0	.726	.09/20/2047	1.A FE

STATEMENT AS OF MARCH 31, 2021 OF THE JACKSON NATIONAL LIFE INSURANCE CO. OF NEW YORK

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	For- eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid- eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization)/ Accretion	Current Year's Other Than Temporary Impairment Recogn- ized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con- tractual Maturity Date	NAIC Desig- nation, NAIC Desig- nation Modifier and SVO Admini- strative Symbol
38217K-AA-2	GOODG 2016-1A A		03/15/2021	Redemption		48,526	48,526	48,502	48,503	.0	23	.0	23	.0	48,526	.0	.0	.0	.0	.04/15/2044	1.A FE
38217V-AA-8	GOODG 2017-1A A		03/15/2021	Redemption		27,436	27,436	27,423	27,424	.0	13	.0	13	.0	27,436	.0	.0	.0	.0	.04/15/2045	1.A FE
38218D-AA-7	GOODG 2019-1A		02/16/2021	Paydown		26,690	26,690	26,681	26,690	.0	.0	.0	.0	.0	26,690	.0	.0	.0	.447	.10/15/2054	1.C FE
40417Q-AA-3	HERO 2016-4A A1		03/22/2021	Redemption		25,812	25,812	25,802	25,805	.0	.7	.0	.7	.0	25,812	.0	.0	.0	.153	.09/20/2037	1.A FE
42770U-AA-1	HERO 2015-2A A		03/20/2021	Paydown		29,114	29,114	29,111	29,111	.0	.3	.0	.3	.0	29,114	.0	.0	.0	.128	.09/20/2040	1.A FE
42770W-AA-7	HERO 2016-2A A		03/20/2021	Paydown		47,144	47,144	47,128	47,131	.0	.13	.0	.13	.0	47,144	.0	.0	.0	.267	.09/20/2041	1.A FE
42770X-AA-5	HERO 2016-3A A1		03/22/2021	Redemption		61,073	61,073	61,068	61,078	.0	(5)	.0	(5)	.0	61,073	.0	.0	.0	.290	.09/20/2036	1.A FE
42771T-AA-3	HERO 2015-3A A		03/20/2021	Paydown		54,954	54,954	54,950	54,951	.0	.3	.0	.3	.0	54,954	.0	.0	.0	.311	.09/20/2041	1.A FE
446150-AJ-3	HUNTINGTON BANCSHARES		02/16/2021	Redemption		934,000	934,000	932,160	933,863	.0	137	.0	137	.0	934,000	.0	.0	.0	.12,259	.03/14/2021	2.A FE
460690-BK-5	INTERPUBLIC GROUP		02/18/2021	BARCLAYS CAPITAL		1,064,090	1,000,000	996,990	999,281	.0	70	.0	.70	.0	999,351	.0	64,739	64,739	.19,479	.02/15/2023	2.B FE
460690-BL-3	INTERPUBLIC GROUP		03/29/2021	DIRECT		250,000	250,000	249,530	249,812	.0	.21	.0	.21	.0	249,833	.0	.167	.167	.30,425	.04/15/2024	2.B FE
46616M-AA-8	HENDR 2010-3A A		03/15/2021	Paydown		29,775	29,775	29,769	29,772	.0	.3	.0	.3	.0	29,775	.0	.0	.0	.193	.12/15/2048	1.A FE
46616M-AB-6	HENDR 2010-3A B		03/15/2021	Paydown		15,325	15,325	15,324	15,324	.0	.0	.0	.0	.0	15,325	.0	.0	.0	.178	.12/15/2050	1.A FE
46616Y-AA-2	HENDR 2012-2A A		03/15/2021	Paydown		16,694	16,694	16,689	16,690	.0	.4	.0	.4	.0	16,694	.0	.0	.0	.114	.10/15/2059	1.A FE
46617A-AA-3	HENDR 2012-3A A		03/15/2021	Paydown		20,553	20,553	20,540	20,550	.0	.3	.0	.3	.0	20,553	.0	.0	.0	.112	.09/15/2065	1.A FE
46617L-AA-9	HENDR 2013-3A A		03/15/2021	Paydown		14,650	14,650	14,638	14,649	.0	.1	.0	.1	.0	14,650	.0	.0	.0	.81	.01/17/2073	1.A FE
46649Y-AC-9	JPMIT 2018-9 A3		03/01/2021	Paydown		106,529	106,529	107,011	107,011	.0	(483)	.0	(483)	.0	106,529	.0	.0	.0	.712	.02/25/2049	1.A FM
48250N-AC-9	KFC/PIZZA HUT/TACO BELL		03/25/2021	JP MORGAN SECURITIES		262,500	250,000	254,375	252,608	.0	(138)	.0	(138)	.0	252,471	.0	10,029	10,029	.3,892	.06/01/2027	3.B FE
494368-BF-9	KIMBERLY-CLARK		03/01/2021	Maturity		1,000,000	1,000,000	991,480	999,744	.0	.256	.0	.256	.0	1,000,000	.0	.0	.0	.19,375	.03/01/2021	1.F FE
53948K-AA-7	LPSLT 2020-26F A		03/20/2021	Paydown		177,014	177,014	178,814	178,814	.0	(1,800)	.0	(1,800)	.0	177,014	.0	.0	.0	.757	.07/20/2047	1.F FE
53948N-AA-1	LPSLT 2020-36S A		03/20/2021	Paydown		112,637	112,637	112,590	112,592	.0	.44	.0	.44	.0	112,637	.0	.0	.0	.246	.12/20/2047	1.F FE
55283A-AA-7	MCA 2020-1 A		03/03/2021	BARCLAYS CAPITAL		990,062	970,649	970,649	970,649	.0	.0	.0	.0	.0	970,649	.0	19,413	19,413	.10,778	.11/15/2035	1.F FE
55283A-AA-7	MCA 2020-1 A		02/01/2021	Paydown		27,014	27,014	27,014	27,014	.0	.0	.0	.0	.0	27,014	.0	.0	.0	.217	.11/15/2035	1.F FE
552953-CF-6	MGM RESORTS INTERNATIONAL		03/15/2021	UBS WARBURG		176,505	164,000	175,070	173,286	.0	(280)	.0	(280)	.0	173,007	.0	3,498	3,498	.3,808	.04/15/2027	3.C FE
55336V-BM-1	MPLX		01/15/2021	Call 102.6250		256,563	250,000	261,524	256,705	.0	(143)	.0	(143)	.0	256,563	.0	(6,563)	(6,563)	.13,125	.01/15/2025	2.B FE
55388P-AB-6	MVIOT 2015-1A B		03/20/2021	Paydown		15,329	15,329	15,325	15,329	.0	.1	.0	.1	.0	15,329	.0	.0	.0	.74	.12/20/2032	2.B FE
553891-AA-0	MVIOT 2014-1A A		03/20/2021	Paydown		30,599	30,599	30,591	30,598	.0	.1	.0	.1	.0	30,599	.0	.0	.0	.110	.09/22/2031	1.F FE
553891-AB-8	MVIOT 2014-1A B		03/20/2021	Paydown		45,899	45,899	45,892	45,898	.0	.1	.0	.1	.0	45,899	.0	.0	.0	.198	.09/22/2031	2.B FE
55400D-AC-5	MVIOT 2019-2A C		03/20/2021	Paydown		110,009	110,009	109,973	109,973	.0	.36	.0	.36	.0	110,009	.0	.0	.0	.513	.10/20/2038	2.B FE
574599-BL-9	MASCO		03/22/2021	DIRECT		500,000	500,000	497,620	498,601	.0	.79	.0	.79	.0	498,681	.0	1,319	1,319	.83,886	.04/01/2026	2.B FE
57643L-LC-8	MABS 2005-AB1 A4		03/01/2021	Paydown		312,733	312,733	312,714	312,714	.0	.18	.0	.18	.0	312,733	.0	.0	.0	.1,504	.11/25/2035	1.A FM
609207-AS-4	MONDELEZ INTERNATIONAL		03/31/2021	DIRECT		1,000,000	1,000,000	997,690	998,214	.0	.200	.0	.200	.0	998,414	.0	1,586	1,586	.42,550	.04/13/2023	2.B FE
61945L-AA-1	MSAIC 2019-2A A		03/19/2021	GOLDMAN SACHS		4,175,559	3,996,646	4,191,349	4,190,957	.0	(1,782)	.0	(1,782)	.0	4,189,174	.0	(13,616)	(13,616)	.20,143	.09/20/2040	1.D FE
61945L-AA-1	MSAIC 2019-2A A		03/20/2021	Paydown		252,431	252,431	264,729	264,704	.0	(12,273)	.0	(12,273)	.0	252,431	.0	.0	.0	.10,848	.09/20/2040	1.D FE
61946C-AA-0	MSAIC 2019-1A		03/20/2021	Paydown		142,226	142,226	142,179	142,179	.0	.47	.0	.47	.0	142,226	.0	.0	.0	.1,019	.12/21/2043	1.F FE
61946F-AA-3	MSAIC 2018-1A A		03/20/2021	Paydown		119,682	119,682	119,676	119,676	.0	.6	.0	.6	.0	119,682	.0	.0	.0	.797	.06/22/2043	1.F FE
61946G-AA-1	MSAIC 2017-2A A		03/20/2021	Paydown		57,090	57,090	57,082	57,083	.0	.7	.0	.7	.0	57,090	.0	.0	.0	.360	.06/22/2043	1.F FE
655044-AP-0	NOBLE ENERGY		01/06/2021	Tax Free Exchange		946,674	1,000,000	934,730	946,580	.0	.94	.0	.94	.0	946,674	.0	.0	.0	.18,288	.01/15/2028	2.C FE
68504L-AA-9	ONGLT 2016-A A		03/08/2021	Paydown		22,560	22,560	22,557	22,558	.0	.1	.0	.1	.0	22,560	.0	.0	.0	.94	.03/08/2029	1.F FE
68504L-AB-7	ONGLT 2016-A B		03/08/2021	Paydown		11,280	11,280	11,280	11,280	.0	.0	.0	.0	.0	11,280	.0	.0	.0	.52	.03/08/2029	2.B FE
68504T-AB-0	ONGLT 2015-AA B		03/08/2021	Paydown		16,214	16,214	16,212	16,214	.0	.1	.0	.1	.0	16,214	.0	.0	.0	.92	.09/08/2027	2.B FE
68504U-AB-7	ONGLT 2019-A B		03/09/2021	Paydown		38,326	38,326	38,321	38,321	.0	.5	.0	.5	.0	38,326	.0	.0	.0	.190	.04/09/2038	1.F FE
68504U-AC-5	ONGLT 2019-A C		03/09/2021	Paydown		38,326	38,326	38,325	38,325	.0	.1	.0	.1	.0	38,326	.0	.0	.0	.204	.04/09/2038	2.B FE
693680-AC-4	PSMC 2016-2 A3		02/01/2021	Paydown		281,323	281,323	276,708	280,272	.0	1,052	.0	1,052	.0	281,323	.0	.0	.0	.1,126	.06/25/2048	1.A FM
69376B-AA-4	PACEF 2020-1A A		03/20/2021	Paydown		86,573	86,573	86,573	86,573	.0	.0	.0	.0	.0	86,573	.0	.0	.0	.595	.09/20/2055	1.A FE
709599-AV-6	PENSKE TRUCK LEASING		03/01/2021	Call 100.0000		1,500,000	1,500,000	1,493,145	1,499,546	.0	.278	.0	.278	.0	1,499,825	.0	.175	.175	.20,625	.04/01/2021	2.B FE
737446-AK-0	POST HOLDINGS		03/11/2021	DIRECT		500,000	500,000	500,000	500,000	.0	.0	.0	.0	.0	500,000	.0	.0	.0	.36,201	.08/15/2026	4.B FE
74113M-AE-0	PART 2016-2A C		02/15/2021	Paydown		83,750	83,750	83,736	83,750	.0	.0	.0	.0	.0	83,750	.0	.0	.0	.246	.11/15/2022	1.A FE
756109-AN-4	REALTY INCOME		01/08/2021	DIRECT		1,000,000	1,000,000	993,820	998,709	.0	.13	.0	.13	.0	998,722	.0	1,278	1,278	.57,145	.10/15/2022	1.G FE
75973L-AA-6	RENEW 2017-1A A		03/22/2021	Redemption		27,113	27,113	27,106	27,105	.0	.9	.0	.9	.0	27,113	.0	.0	.0	.164	.03/20/2041	1.A FE
761735-AT-6	REYNOLDS GROUP		02/16/2021	Call 101.2810		20,256	20,000	20,000	20,000	.0	.0	.0	.0	.0	20,000	.0	.0	.0	.851	.07/15/2023	4.A FE
82652Y-AA-2	SRFC 2016-3A A		03/20/2021	Paydown		8,021	8,021	8,020	8,021	.0	.1	.0	.1	.0	8,021	.0	.0	.0	.32	.10/20/2033	1.F FE
82652Y-AB-0	SRFC 2016-3A B		03/20/2021	Paydown		14,897	14,897	14,895	14,896	.0	.1	.0	.1	.0	14,897	.0	.0	.0	.64	.10/20/2033	2.B FE
82653D-AA-7	SRFC 2018-2A A		03/20/2021	Paydown		214,409	214,409	216,620	216,307	.0	(1,898)	.0	(1,898)	.0	214,409	.0	.0	.0	.1,259	.06/20/2035	1.A FE

STATEMENT AS OF MARCH 31, 2021 OF THE JACKSON NATIONAL LIFE INSURANCE CO. OF NEW YORK

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	For- eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid- eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization)/ Accretion	Current Year's Other Than Temporary Impairment Recogn- ized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con- tractual Maturity Date	NAIC Desig- nation, NAIC Desig- nation Modifier and SVO Admini- strative Symbol
82653E-AB-3	SRFC 2019-1A B		03/20/2021	Paydown		41,969	41,969	41,960	41,961	.0	.8	.0	.8	.0	41,969	.0	.0	.0	231	.01/20/2036	1.F FE
82653E-AC-1	SRFC 2019-1A C		03/20/2021	Paydown		20,985	20,985	20,983	20,983	.0	.1	.0	.1	.0	20,985	.0	.0	.0	127	.01/20/2036	2.B FE
86175E-AA-5	SSCRF 2015-1A A		03/15/2021	Paydown		73,121	73,121	73,077	73,085	.0	.37	.0	.37	.0	73,121	.0	.0	.0	457	.12/15/2054	1.A FE
86361Y-AB-3	SFS 2006-B B		03/15/2021	Paydown		6,070	6,070	6,070	6,070	.0	.0	.0	.0	.0	6,070	.0	.0	.0	77	.03/15/2038	1.E FE
86365F-AA-2	SFS 2010-A A		03/15/2021	Paydown		17,150	17,150	17,150	17,150	.0	.0	.0	.0	.0	17,150	.0	.0	.0	148	.01/16/2046	1.A FE
86365L-AA-9	SFS 2010-B A		03/15/2021	Paydown		23,875	23,875	23,873	23,874	.0	.1	.0	.1	.0	23,875	.0	.0	.0	160	.08/15/2036	1.A FE
86504-AA-8	SPSS 2011-1A A		03/15/2021	Paydown		27,964	27,964	27,930	27,943	.0	.20	.0	.20	.0	27,964	.0	.0	.0	212	.11/15/2058	1.A FE
869507-AA-1	SPSS 2017-1A A		03/15/2021	Paydown		3,447	3,447	3,792	3,789	.0	(342)	.0	(342)	.0	3,447	.0	.0	.0	18	.01/15/2071	1.A FE
87613F-AA-0	TARGET SHELBY MICHIGAN		03/15/2021	Redemption		51,904	51,904	55,298	53,065	.0	(1,161)	.0	(1,161)	.0	51,904	.0	.0	.0	654	.10/15/2027	1.C
88576X-AA-4	HENDR 2010-1A A		03/15/2021	Paydown		4,640	4,640	4,837	4,736	.0	(96)	.0	(96)	.0	4,640	.0	.0	.0	41	.07/15/2059	1.A FE
90363B-AA-8	USTA NATIONAL TENNIS CENTER		01/08/2021	Redemption		152,906	152,906	152,906	152,906	.0	.0	.0	.0	.0	152,906	.0	.0	.0	2,378	.09/08/2024	1.G FE
91828B-AC-5	VSTNA 2017-A C		03/20/2021	Paydown		90,848	90,848	90,848	90,848	.0	.0	.0	.0	.0	90,848	.0	.0	.0	426	.03/20/2035	2.B FE
918290-AA-5	VSTNA 2016-A A		03/01/2021	Paydown		40,334	40,334	40,330	40,330	.0	.4	.0	.4	.0	40,334	.0	.0	.0	165	.07/20/2033	1.F FE
918290-AB-3	VSTNA 2016-B B		03/01/2021	Paydown		30,253	30,253	30,249	30,249	.0	.4	.0	.4	.0	30,253	.0	.0	.0	134	.07/20/2033	2.B FE
92922F-VK-1	WAMU 2004-S3 B1		03/01/2021	Paydown		21,140	21,140	20,960	21,019	.0	121	.0	121	.0	21,140	.0	.0	.0	192	.07/25/2034	1.A FM
92935V-AG-3	WFRBS 2011-C3 A4		03/01/2021	Paydown		2,366,924	2,366,924	2,390,480	2,366,924	.0	.0	.0	.0	.0	2,366,924	.0	.0	.0	13,972	.03/15/2044	1.A FM
92936C-AJ-8	WFRBS 2011-C4 A4		03/01/2021	Paydown		1,459,644	1,459,644	1,474,199	1,459,644	.0	.0	.0	.0	.0	1,459,644	.0	.0	.0	13,664	.06/15/2044	1.A FM
93934F-CS-9	WMALT 2005-8 2CB5		03/01/2021	Paydown		106,685	106,685	90,137	53,978	.0	52,707	.0	52,707	.0	106,685	.0	.0	.0	1,095	.10/25/2035	1.D FM
94945B-AA-1	WLKRG 2015-AA A		03/15/2021	Paydown		41,687	41,687	41,683	41,687	.0	.0	.0	.0	.0	41,687	.0	.0	.0	191	.06/16/2031	1.F FE
94945B-AB-9	WLKRG 2015-AA B		03/15/2021	Paydown		26,403	26,403	26,398	26,403	.0	.0	.0	.0	.0	26,403	.0	.0	.0	142	.06/16/2031	2.A FE
94946D-AB-7	WLKRG 2019-AA B		03/15/2021	Paydown		40,494	40,494	40,482	40,482	.0	.12	.0	.12	.0	40,494	.0	.0	.0	209	.06/15/2038	1.F FE
95001T-AA-3	WFMB 2019-1 A1		03/01/2021	Paydown		204,506	204,506	205,816	205,816	.0	(1,310)	.0	(1,310)	.0	204,506	.0	.0	.0	1,851	.11/25/2048	1.A FM
95001T-AA-3	WFMB 2019-1 A1		01/01/2021	Paydown		175,028	175,028	176,149	176,149	.0	(1,121)	.0	(1,121)	.0	175,028	.0	.0	.0	583	.11/25/2048	1.A FE
064149-CB-8	BANK OF NOVA SCOTIA		01/13/2021	Maturity		3,000,000	3,000,000	2,995,200	2,999,890	.0	110	.0	110	.0	3,000,000	.0	.0	.0	65,625	.01/13/2021	1.E FE
59151K-AK-4	METHANEX		01/27/2021	CITICORP		525,000	500,000	475,530	478,013	.0	381	.0	381	.0	478,393	.0	46,607	46,607	3,424	.12/01/2024	3.B FE
30251G-AU-1	FMG RESOURCES	C	03/26/2021	Call 104.7000		261,750	250,000	255,000	251,428	.0	(295)	.0	(295)	.0	251,133	.0	(1,133)	(1,133)	16,071	.05/15/2022	3.A FE
30251G-AY-3	FMG RESOURCES	C	03/26/2021	Call 107.0000		267,500	250,000	250,000	250,000	.0	.0	.0	.0	.0	250,000	.0	.0	.0	24,298	.03/15/2030	3.A FE
38218G-AA-0	GOODG 2018-1A A	C	03/15/2021	Redemption		46,579	46,579	46,574	46,572	.0	.7	.0	.7	.0	46,579	.0	.0	.0	.0	.10/15/2030	1.A FE
38218Q-AA-8	GOODG 2017-2A A	C	03/15/2021	Redemption		65,455	65,455	65,448	65,445	.0	.9	.0	.9	.0	65,455	.0	.0	.0	.0	.10/15/2045	1.A FE
42772B-AA-1	HERO 2020-1A A	C	03/20/2021	Redemption		45,331	45,331	45,323	45,323	.0	.8	.0	.8	.0	45,331	.0	.0	.0	233	.05/20/2029	1.A FE
59982V-AA-7	MCSLT 2019-2G5 A	C	03/20/2021	Paydown		27,029	27,029	27,027	27,027	.0	.2	.0	.2	.0	27,029	.0	.0	.0	164	.07/20/2043	1.F FE
59982W-AA-5	MCSLT 2019-1A A	C	03/20/2021	Paydown		81,758	81,758	83,742	83,742	.0	(1,985)	.0	(1,985)	.0	81,758	.0	.0	.0	660	.03/20/2043	1.F FE
80105N-AG-0	SANOFI	C	03/29/2021	Maturity		1,500,000	1,500,000	1,484,640	1,499,389	.0	611	.0	611	.0	1,500,000	.0	.0	.0	30,000	.03/29/2021	1.E FE
86960B-AX-0	SVENSKA HANDELSBANKEN	C	03/24/2021	CREDIT SUISSE		544,185	500,000	499,350	499,593	.0	27	.0	27	.0	499,620	.0	44,565	44,565	6,825	.11/20/2023	1.C FE
92841F-AA-4	VISTAJET	C	01/15/2021	Redemption		252,821	252,821	252,821	252,821	.0	.0	.0	.0	.0	252,821	.0	.0	.0	9,228	.07/15/2027	1.G PL
980236-AL-7	WOODSIDE FINANCE	C	02/11/2021	Call 100.0000		1,000,000	1,000,000	999,210	999,859	.0	(14)	.0	(14)	.0	999,845	.0	155	155	11,500	.05/10/2021	2.A FE
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						54,170,113	53,048,123	53,851,623	53,854,028	0	43,248	0	43,248	0	53,897,284	0	139,179	139,179	1,409,692	XXX	XXX
8399997. Total - Bonds - Part 4						62,885,009	61,353,051	62,045,736	62,096,013	0	45,376	0	45,376	0	62,141,396	0	609,963	609,963	1,471,077	XXX	XXX
8399998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
8399999. Total - Bonds						62,885,009	61,353,051	62,045,736	62,096,013	0	45,376	0	45,376	0	62,141,396	0	609,963	609,963	1,471,077	XXX	XXX
8999997. Total - Preferred Stocks - Part 4						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
8999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
8999999. Total - Preferred Stocks						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
9799997. Total - Common Stocks - Part 4						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
9799998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
9799999. Total - Common Stocks						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
9899999. Total - Preferred and Common Stocks						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
9999999 - Totals						62,885,009	XXX	62,045,736	62,096,013	0	45,376	0	45,376	0	62,141,396	0	609,963	609,963	1,471,077	XXX	XXX

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open

N O N E

Schedule DB - Part B - Section 1 - Futures Contracts Open

N O N E

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made

N O N E

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open

N O N E

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By

N O N E

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To

N O N E

Schedule DB - Part E - Derivatives Hedging Variable Annuity Guarantees

N O N E

SCHEDULE DL - PART 1
SECURITIES LENDING COLLATERAL ASSETS

Reinvested Collateral Assets Owned Current Statement Date

(Securities lending collateral assets reported in aggregate on Line 10 of the Assets page and not included on Schedules A, B, BA, D, DB and E)

1	2	3	4	5	6	7
CUSIP Identification	Description	Code	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol	Fair Value	Book/Adjusted Carrying Value	Maturity Date
0599999.	Total - U.S. Government Bonds			0	0	XXX
1099999.	Total - All Other Government Bonds			0	0	XXX
1799999.	Total - U.S. States, Territories and Possessions Bonds			0	0	XXX
2499999.	Total - U.S. Political Subdivisions Bonds			0	0	XXX
3199999.	Total - U.S. Special Revenues Bonds			0	0	XXX
3899999.	Total - Industrial and Miscellaneous (Unaffiliated) Bonds			0	0	XXX
4899999.	Total - Hybrid Securities			0	0	XXX
5599999.	Total - Parent, Subsidiaries and Affiliates Bonds			0	0	XXX
5999999.	Subtotal - SVO Identified Funds			0	0	XXX
6299999.	Subtotal - Unaffiliated Bank Loans			0	0	XXX
6399999.	Total - Issuer Obligations			0	0	XXX
6499999.	Total - Residential Mortgage-Backed Securities			0	0	XXX
6599999.	Total - Commercial Mortgage-Backed Securities			0	0	XXX
6699999.	Total - Other Loan-Backed and Structured Securities			0	0	XXX
6799999.	Total - SVO Identified Funds			0	0	XXX
6899999.	Total - Affiliated Bank Loans			0	0	XXX
6999999.	Total - Unaffiliated Bank Loans			0	0	XXX
7099999.	Total Bonds			0	0	XXX
7399999.	Total - Preferred Stocks (Schedule D, Part 2, Section 1 type)			0	0	XXX
7999999.	Total - Common Stocks (Schedule D, Part 2, Section 2 type)			0	0	XXX
8099999.	Total - Preferred and Common Stocks			0	0	XXX
	Dreyfus Government Cash Management Fund			1,140	1,140	
9099999.	Total - Cash (Schedule E Part 1 type)			1,140	1,140	XXX
9999999.	Totals			1,140	1,140	XXX

General Interrogatories:

1. Total activity for the year
- Fair Value \$(50)
- Book/Adjusted Carrying Value \$(50)
2. Average balance for the year
- Fair Value \$11,684
- Book/Adjusted Carrying Value \$11,684
3. Reinvested securities lending collateral assets book/adjusted carrying value included in this schedule by NAIC designation:
- NAIC 1 \$1,140
- NAIC 2 \$0
- NAIC 3 \$0
- NAIC 4 \$0
- NAIC 5 \$0
- NAIC 6 \$0

SCHEDULE DL - PART 2
SECURITIES LENDING COLLATERAL ASSETS

Reinvested Collateral Assets Owned Current Statement Date (Securities lending collateral assets included on Schedules A, B, BA, D, DB and E and not reported in aggregate on Line 10 of the Assets page)						
1	2	3	4	5	6	7
CUSIP Identification	Description	Code	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol	Fair Value	Book/Adjusted Carrying Value	Maturity Date
NONE						
9999999 - Totals						XXX

General Interrogatories:
1. Total activity for the year Fair Value \$ Book/Adjusted Carrying Value \$
2. Average balance for the year Fair Value \$ Book/Adjusted Carrying Value \$

SCHEDULE E - PART 1 - CASH

Month End Depository Balances

1	2	3	4	5	Book Balance at End of Each Month During Current Quarter			9
					6	7	8	
					First Month	Second Month	Third Month	
Depository	Code	Rate of Interest	Amount of Interest Received During Current Quarter	Amount of Interest Accrued at Current Statement Date				*
Northern Trust Chicago, IL		0.000	0	0	(20,493,389)	(23,053,844)	(18,953,484)	XXX
The Bank of New York Mellon ... New York, NY		0.000	0	0	(9,812)	(2)	(1)	XXX
The Bank of New York Mellon ... New York, NY		0.010	3	0	0	0	0	XXX
Bank of America Troy, MI		0.000	0	0	(546,487)	31,248	80,158	XXX
Wells Fargo Sioux Falls, SD		0.000	0	0	5,775,862	1,888,118	2,396,708	XXX
0199998. Deposits in ... 0 depositories that do not exceed the allowable limit in any one depository (See instructions) - Open Depositories	XXX	XXX	0	0	0	0	0	XXX
0199999. Totals - Open Depositories	XXX	XXX	3	0	(15,273,826)	(21,134,480)	(16,476,619)	XXX
0299998. Deposits in ... 0 depositories that do not exceed the allowable limit in any one depository (See instructions) - Suspended Depositories	XXX	XXX	0	0	0	0	0	XXX
0299999. Totals - Suspended Depositories	XXX	XXX	0	0	0	0	0	XXX
0399999. Total Cash on Deposit	XXX	XXX	3	0	(15,273,826)	(21,134,480)	(16,476,619)	XXX
0499999. Cash in Company's Office	XXX	XXX	XXX	XXX	0	0	0	XXX
0599999. Total - Cash	XXX	XXX	3	0	(15,273,826)	(21,134,480)	(16,476,619)	XXX

SCHEDULE E - PART 2 - CASH EQUIVALENTS

[illegible]