

Monthly Return of Equity Issuer on Movements in Securities

For the month ended (dd/mm/yyyy) :	30/09/2016		
To : Hong Kong Exchanges	and Clearing Limited		
Name of Issuer Date Submitted	Prudential plc 6 October 2016		
I. Movements in Authorised	Share Capital		
1. Ordinary Shares			
(1) Stock code : 2378	Description :Ordinary	shares of GBI	2 0.05 each
	No. of ordinary shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of precedir	ng month N/A		
Increase/(decrease)			
Balance at close of the mon	th		
(2) Stock code : N/A	Description :		
	No. of ordinary shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of precedir	ng month		
Increase/(decrease)			
Balance at close of the mon	th		

2. Preference Shares			
Stock code : N/A Descrip	tion :		
	No. of preference shares	Par value (State currency)	capital
Balance at close of preceding month_			
Increase/(decrease)		_	
Balance at close of the month			
3. Other Classes of Shares			
Stock code : N/A Descrip	tion :		
	No. of other classes of shares	(State	capital
Balance at close of preceding month_			
Increase/(decrease)		_	
Balance at close of the month			
Total authorised share capital at the e currency):	nd of the month	(State N/A	

II. Movements in Issued Share Capital

	No. of ordina	ary shares	No of preference	e No. of other		
	(1)	(2)	shares	classes of shares		
Balance at close of preceding month	2,579,099,540	N/A	N/A	N/A		
Increase/ (decrease) during the month	29,349					
Balance at close of the month	2,579,128,889		_			

III. Details of Movements in Issued Share Capital

Share Options (under Share Option Schemes of the Issuer)

Share Options (unde	r Share Optio	n Schemes of	the Issuer)			
Particulars of share						No. of new shares of
option scheme						issuer which may be
including EGM				the month pursuant	issued pursuant	
approval date	ļ	Movement duri	ng the month	thereto	thereto as at close of	
(dd/mm/yyyy) and					_	the month
class of shares			_			
issuable	Granted	Exercised	Cancelled	Lapsed		
1. 2003/2013						
Prudential						
Savings-						
Related Share						
Option Scheme						
(/ /)						
Ordinary shares	Nil	5,585	Nil	836	5,585	282,674
(Note 1)						
2. 2013/2023						
Prudential						
·						
Savings-						
Related Share						
Option Scheme						
(/ /)						
Ordinary shares	894,813	3,375	Nil	28,636	3,375	3,655,023
(Note 1)						
2 2011/2021						
3. 2011/2021						
Prudential						
International						
Savings-						
Related Share						
Option Scheme						
(/ /)						
Ordinary shares	15,516	Nil	2,193	203	Nil	684,386
(Note 1)						
Option Scheme (/ /) Ordinary shares	15,516	Nil	2,193	203	Nil	684,386

III. Details of Movements in Issued Share Capital (Continued)

Share Options (under Share Option Schemes of the Issuer) (Continued)

Particulars of share option scheme including EGM approval date (dd/mm/yyyy) and	·	Movement duri	the Issuer) (Co		No. of new shares of issuer which may be issued pursuant thereto as at close of the month	
class of shares issuable	Granted	Exercised	Cancelled	Lapsed		trie montri
4. 2011/2021 Prudential International Assurance Sharesave Plan (/ /) Ordinary shares	Nil	Nil	Nil	Nil	Nil	Nil
(Note 1) 5. 2002/2012 Prudential International Savings- Related Share Option Scheme for Non- Employees (/ /) Ordinary shares (Note 1)	Nil	Nil	Nil	Nil	Nil	415,006
6. 2012/2022 Prudential International Savings- Related Share Option Scheme for Non- Employees (/ /) Ordinary shares (Note 1)	535,401	389	390	Nil	389	3,425,556
7. 2011 Prudential Agency Long Term Incentive Plan (/ /) Ordinary shares (Note 1)	Nil	Nil	Nil	1,833	Nil	3,322,055

III. Details of Movements in Issued Share Capital (Continued)

Share Options (under Share Option Schemes of the Issuer) (Continued)

			7 `			
Particulars of share						No. of new shares of
option scheme					•	issuer which may be
including EGM					the month pursuant	issued pursuant
approval date	ľ	Movement duri	ng the month		thereto	thereto as at close of
(dd/mm/yyyy) and					_	the month
class of shares			0 11 1			
issuable	Granted	Exercised	Cancelled	Lapsed		
8. 2013						
Prudential						
Long Term						
Incentive						
Plan						
(/ /)						
Ordinary shares	78,674	11,647	Nil	66,225	20,000	16,041,853
(Note 1)						
		Tota	IA. (Ordina	ry shares)	29,349	
			(Preference	•		
			•	her class)		
Total formula raised dismi	:	h fuama avauaiaa	•	0.000)		
Total funds raised duri		n from exercise		505 00		
options (State currence	(y)		GBP 65,	373.00		

Warrants to Issue Shares of the	Issuer which	are to be Listed				
Description of warrants (Date of expiry - dd/mm/yyyy)	Currency of nominal value	Nominal value at close of preceding month	Exercised during the month	Nominal value at close of the month	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1. N/A						
				· -		. .
Stock code (if listed)						
Class of shares			•			
issuable (Note 1)						
Subscription price						
EGM approval date						
(if applicable) (dd/mm/yyyy)	(/ /	\				
2. N/A	(/ /					
2.17/1						
(/ /)		-		· ·		· · · ·
Stock code (if listed)						
Class of shares			•			
issuable (Note 1)			•			
Subscription price						
EGM approval date (if applicable)						
(dd/mm/yyyy)	(/ /)				
3. N/A	,	,				
				· -		<u> </u>
(/ /)						
Stock code (if listed)						
Class of shares issuable (Note 1)						
Outra animatia na mais a						
EGM approval date						
(if applicable)						
	(/ /)	•			
4. N/A						
(/ /)				<u> </u>		<u> </u>
Stock code (if listed)						
Class of shares						
Subscription price						
EGM approval date						
(if applicable)	(\				
(dd/mm/yyyy)	(/ /)				
		Total B	s. (Ordina	ary shares) N/	A	
				ice shares) N/		-
				other class) N/		-

Class and description	Currency o amount outstanding	Amour f close preced	nt at of Conve ding during	erted the	Amount at close of the month	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1. N/A							
	<u></u>						
Stock code (if listed)							
Class of shares issuable (Note 1)							
Subscription price							
EGM approval date							
(if applicable)	(/ /	\					
(dd/mm/yyyy))	(/ /)					
2. IV/A							
	 ,						
	_						
Stock code (if listed)							
Class of shares							
issuable (Note 1) Subscription price							
EGM approval date							
(if applicable)							
(dd/mm/yyyy)	(/ /)					
3. N/A	_						
-							
	<u> </u>						
Stock code (if listed)							
Class of shares							
issuable (Note 1) Subscription price							
EGM approval date							
(if applicable)							
\	(/ /)					
4. N/A	<u> </u>						
	<u> </u>						
-	<u> </u>						
Stock code (if listed)		. <u></u>					-
Class of shares							
issuable (Note 1) Subscription price							
EGM approval date							
(if applicable)							
(dd/mm/yyyy)	(/ /)					
					/ shares) N/		=
			(Prefe		e shares) <u>N/</u> er class) <u>N</u> /		_
1				(Ott)	U UIASS/ IN/.	^	

Any other Agreements or Arrangements to Issue Shares of the Issuer which are to be Listed, including Options (other than under Share Option Schemes)

Opti	ons (other than under Share Option Schemes)	No. of new shares	No. of new shares of
		of issuer issued	issuer which may be
		during the month pursuant thereto	issued pursuant
	I particulare including ECM approval data (dd/mm/uuu)	p a o a.a	thereto as at close of the month
ifa	I particulars including EGM approval date (dd/mm/yyyy), pplicable, and class of shares issuable:		the month
	N/A		
	shares (Note 1)		
2	N/A		
	17/11		
	(/ /)		
	shares (Note 1)		-
3.	N/A		
0.	17/11		
	(/ /)		
	shares (Note 1)		
	Total D. (Ordinary shares)	N/A	
	(Preference shares)	N/A	
	(Other class)	N/A	
	(Outer diago)	1771	

Ot	her Movements	in Issued Sha	are Capital				No of	No ef
	Time of last						No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
	Type of Issue							monu
				Class of shares issuable (Note 1)				
1.	Rights issue	At price :	State N/A currency	Issue and allotment date : (dd/mm/yyyy)	(/ /)		
				EGM approval date: (dd/mm/yyyy)	(/ /)		
				Class of shares issuable (Note 1)				
2.	Open offer	At price :	State N/A currency		(/ /)		
					(/ /)		
				Class of shares issuable (Note 1)				
3.	Placing	At price :	State N/A currency	Issue and allotment date: (dd/mm/yyyy)	(/ /)		
					(/ /)		
				Class of shares issuable (Note 1)				
4.	Bonus issue		N/A	Issue and allotment date: (dd/mm/yyyy)	(/ /)		
					(/ /)		

	Type of Issue								No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
	.,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,			Class of shares						
				issuable (Note 1)						
5.	Scrip dividend	At price :	State currency N/A	Issue and allotment date : (dd/mm/yyyy)	(/	/)		
				EGM approval date: (dd/mm/yyyy)	(/	/)		
				Class of shares repurchased (Note 1)	_					
6.	Repurchase of shares		N/A	Cancellation date : (dd/mm/yyyy)	(/	/)		
				EGM approval date: (dd/mm/yyyy)	(/	/)		
				Class of shares redeemed (Note 1)	_					
7.	Redemption of shares		N/A	Redemption date : (dd/mm/yyyy)	(/	/)		
				EGM approval date: (dd/mm/yyyy)	(/	/)		
				Class of shares issuable (Note 1)	_					
8.	Consideration issue	At price :	State N/A currency	Issue and allotment date : (dd/mm/yyyy)	(/	/)		
				EGM approval date: (dd/mm/yyyy)	(/	/)		
										-

	Type of Issue				No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
			Class of sharesissuable (Note 1)			
9.	Capital reorganisation	N/A	Issue and allotment date : (dd/mm/yyyy))		
			EGM approval date: (/ / (dd/mm/yyyy))		
			Class of sharesissuable (Note 1)			
10.	Other (Please specify) At price :	State N/A currency	Issue and allotment date : (dd/mm/yyyy))		
			EGM approval date: (/ / (dd/mm/yyyy))		
			Total E. (Ordinary sh (Preference sh (Other c	ares	N/A	- - -

Total increase / (decrease) in ordinary shares during the month (i.e. Total of A to E): (1)	29,349
(2)	N/A
Total increase / (decrease) in preference shares during the month (i.e. Total of A to E):	N/A
Total increase / (decrease) in other classes of shares during the month (i.e. Total of A to E):	N/A
(These figures should be the same as the relevant figures under II above ("Movements in Capital").)	Issued Share

Remarks (if any):	
New ordinary shares are issued when options are exercised under the schemes in Section III, items 1, 2, 4, 5	
and 6 (the "Schemes"). Thus, exercises of options under the Schemes will increase the Company's issued	
ordinary share capital.	
From November 2014, in order to satisfy anticipated exercises of options under Section III, item 3, the	
2011/2021 Prudential International Savings-Related Share Option Scheme (the "Scheme"), new ordinary	
shares are from time to time issued by the Company and allotted to Sanne Fiduciary Services Limited,	
thereby increasing the Company's issued ordinary share capital. These shares are held by the Prudential	
Employee Share Trust on behalf of the participants of the Scheme and released to them when they	
exercise their option.	
Section III, items 7 and 8, 2011 Prudential Agency Long Term Incentive Plan and 2013 Prudential Long	
Term Incentive Plan (the "Plans") are discretionary share plans and not share option schemes. However,	
for the sake of completeness the figures are included in Section III as new shares are issued in order to	
satisfy awards. In order to satisfy anticipated releases of shares to participants following the vesting of	
awards under the Plans, new ordinary shares are from time to time issued by the Company and allotted	
to Sanne Fiduciary Services Limited, thereby increasing the Company's issued ordinary share capital.	
Submitted by: Wong Shuk Ying	
Title: Demote Coope Secretors	
Title: <u>Deputy Group Secretary</u> (Director, Secretary or other duly authorised officer)	
(=, e.e, e. e, a.e, a.e)	

Notes:

- 1. State the class of shares (e.g. ordinary, preference or other).
- 2. If there is insufficient space, please append the prescribed continuation sheet.